



**Gina M. Raimondo,
Governor**

**State of Rhode Island and
Providence Plantations
Fiscal Year 2018
Budget**

**Volume I – General Government
and Quasi-Public Agencies**

General Government and Quasi-Public Agencies

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General Government

Budget

General Government Function Expenditures

	FY 2015 Actuals	FY 2016 Actuals	FY 2017 Enacted	FY 2017 Recommended	FY 2018 Recommended
Expenditure by Object					
Personnel	295,360,524	273,044,739	285,868,301	303,075,139	295,884,904
Operating Supplies and Expenses	406,664,669	416,478,756	432,140,937	443,364,337	441,505,463
Aid To Local Units Of Government	76,761,977	78,341,553	77,559,806	77,694,872	81,260,705
Assistance, Grants and Benefits	388,757,302	385,823,109	405,306,145	422,291,769	408,014,085
Subtotal: Operating Expenditures	\$1,167,544,472	\$1,153,688,157	\$1,200,875,189	\$1,246,426,117	\$1,226,665,157
Capital Purchases and Equipment	17,911,982	24,756,223	65,186,326	71,165,290	57,840,671
Debt Service	191,025,688	161,677,377	178,941,292	170,587,472	185,584,481
Operating Transfers	35,419,124	92,544,061	58,822,169	73,439,207	65,539,853
Total Expenditures	\$1,411,901,266	\$1,432,665,818	\$1,503,824,976	\$1,561,618,086	\$1,535,630,162
Expenditures by Funds					
General Revenue	426,311,404	455,147,747	490,482,549	485,017,223	503,768,703
Federal Funds	121,300,905	87,451,164	75,766,135	96,743,196	70,477,506
Restricted Receipts	106,123,012	116,517,503	117,118,154	133,990,632	116,865,817
Other Funds	758,165,945	773,549,404	820,458,138	845,867,035	844,518,136
Total Expenditures	\$1,411,901,266	\$1,432,665,818	\$1,503,824,976	\$1,561,618,086	\$1,535,630,162
FTE Authorization	2,307.7	2,330.7	2,342.7	2,380.4	2,402.9

Agency

Department Of Administration

Agency Mission

To manage the State's financial, human, and other resources in support of other state agencies carrying out their responsibilities to provide the citizens of the State of Rhode Island with the most responsive and cost effective services possible.

To oversee the provision of statewide supportive services to all departments and agencies in conformance with legislative and policy mandates.

To ensure that programs of the Department are efficiently organized and implemented.

Agency Description

The Department of Administration provides supportive services to all Rhode Island departments and agencies for effective coordination and direction of state programs within the framework of a changing administrative and fiscal environment. The department also provides policy direction for executive leadership in a variety of financial and administrative matters. It is responsible for the statewide implementation of policy decisions and delivery of services administered and supported by the state.

The Department, headed by the Director of Administration, includes the programmatic functions of Central Management, Legal Services, Accounts and Control, Management and Budget, Purchasing, Auditing, Human Resources, Personnel Appeal Board, Facilities Management, Capital Projects and Property Management, Information Technology, Library and Information Services, Planning, General Appropriations, Debt Service Payments, Energy Resources, Construction Permitting, Approvals, and Licensing (CPAL), HealthSource RI (RI Health Benefits Exchange) and various Internal Services Programs.

Statutory History

The Department of Administration was created in 1951 to consolidate central finance, purchasing and management functions of state government. R.I.G.L. 42-11 establishes and provides for the organization and functions of the Department of Administration.

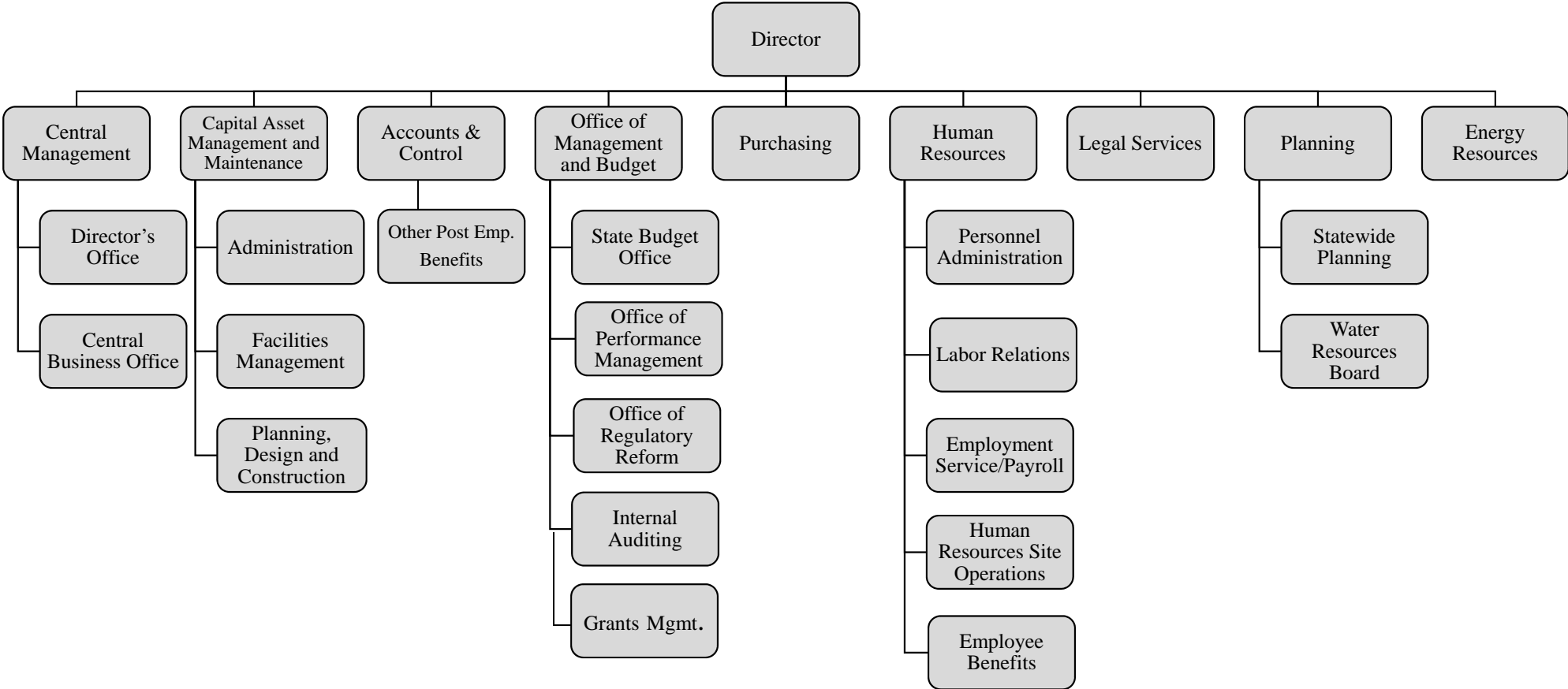
Budget

Department Of Administration

	FY 2015 Audited	FY 2016 Audited	FY 2017 Enacted	FY 2017 Revised	FY 2018 Recommend
Expenditures By Program					
Central Management	2,342,584	3,076,144	2,660,785	2,836,538	2,520,212
Accounts and Control	3,716,400	3,904,557	4,147,433	4,099,406	4,355,796
Office of Management and Budget	3,425,493	4,566,606	10,271,202	10,939,273	10,105,730
Purchasing	2,632,493	2,820,678	3,093,362	3,292,919	3,306,314
Auditing	1,294,235	1,420,096	-	-	-
Human Resources	9,577,006	9,699,928	10,542,300	11,229,871	11,927,509
Personnel Appeal Board	101,357	137,951	133,419	145,252	145,130
General	45,036,147	37,147,162	61,838,849	63,112,027	58,001,179
Debt Service Payments	194,237,049	162,849,916	179,041,292	170,687,472	185,684,481
Legal Services	1,980,498	2,131,683	2,185,988	2,133,735	2,300,956
Facilities Management	36,013,146	35,869,206	-	-	-
Capital Projects and Property Management	1,224,415	1,580,800	-	-	-
Information Technology	35,035,233	35,477,088	41,293,301	47,592,839	42,278,719
Library and Information Services	1,940,583	2,286,807	2,543,100	2,562,882	2,642,845
Planning	16,360,905	3,521,949	5,354,825	5,198,683	5,502,111
Personnel and Operational Reforms	-	-	(1,966,421)	(966,421)	(5,430,124)
Energy Resources	6,297,720	16,613,909	12,918,016	16,709,448	12,516,361
Construction Permitting, Approvals and Licensing	2,571,152	2,630,687	3,263,975	3,528,328	3,593,573
Rhode Island Health Benefits Exchange (HealthSource RI)	50,814,348	22,973,672	12,383,627	16,579,905	9,568,822
The Office of Diversity, Equity and Opportunity	847,891	914,512	1,387,633	1,274,721	1,468,873
Capital Asset Management and Maintenance	-	-	40,859,597	39,758,554	40,669,799
<i>Internal Services</i>	<i>[353,245,832]</i>	<i>[356,442,888]</i>	<i>[394,011,660]</i>	<i>[401,136,449]</i>	<i>[404,667,257]</i>
Total Expenditures	\$415,448,655	\$349,623,351	\$391,952,283	\$400,715,432	\$391,158,286
Expenditures By Object					
Personnel	126,728,748	99,133,349	95,395,497	102,707,002	98,782,619
Operating Supplies and Expenses	36,300,159	35,658,985	34,478,839	34,171,236	29,944,098
Assistance and Grants	22,109,489	16,511,706	15,184,735	18,260,018	13,167,967
Aid to Local Units of Government	10,385,409	10,684,299	11,585,292	11,583,018	11,682,361
Subtotal: Operating Expenditures	195,523,805	161,988,339	156,644,363	166,721,274	153,577,045
Capital Purchases and Equipment	15,637,548	21,451,501	56,366,628	63,406,686	51,996,760
Debt Service (Fixed Charges)	190,675,429	161,677,377	178,941,292	170,587,472	185,584,481
Operating Transfers	13,611,873	4,506,134	-	-	-
Total Expenditures	\$415,448,655	\$349,623,351	\$391,952,283	\$400,715,432	\$391,158,286
Expenditures By Funds					
General Revenue	244,775,881	212,928,494	237,083,518	229,671,472	248,080,830
Federal Funds	77,782,063	31,351,946	14,896,706	21,447,637	13,215,878
Restricted Receipts	20,553,583	29,970,285	34,263,955	42,293,091	33,095,338
Operating Transfers from Other Funds	64,765,107	68,002,934	96,944,043	99,162,305	88,486,924
Other Funds	7,572,021	7,369,692	8,764,061	8,140,927	8,279,316
Total Expenditures	\$415,448,655	\$349,623,351	\$391,952,283	\$400,715,432	\$391,158,286
FTE Authorization	710.7	712.7	708.7	708.7	713.7

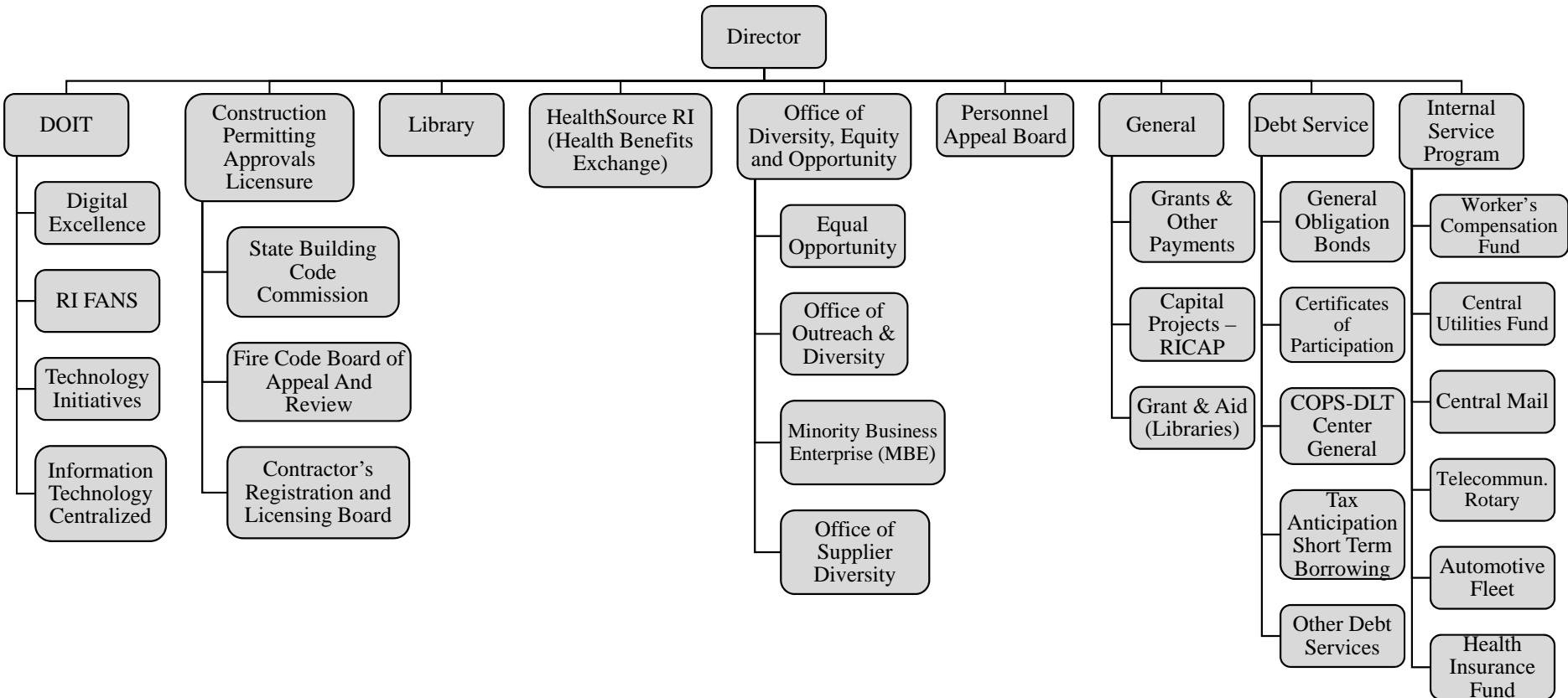
The Agency

Department of Administration



The Agency

Department of Administration, Continued



Personnel

Department Of Administration Agency Summary

	Grade	FY 2017		FY 2018	
		FTE	Cost	FTE	Cost
Classified		743.5	53,782,637	737.5	53,963,773
Unclassified		22.0	2,336,576	27.0	2,754,677
Subtotal		765.5	\$56,119,213	764.5	\$56,718,450
Cost Allocation from Other Programs		-	921,961	-	920,978
Cost Allocation to Other Programs		-	(\$921,961)	-	(\$920,978)
Interdepartmental Transfer		-	(\$466,641)	-	(\$283,991)
Overtime		-	1,005,400	-	992,400
Program Reduction		(6.0)	(\$106,203)	-	-
Reconcile to FTE Authorization		(50.8)	-	(50.8)	-
Temporary and Seasonal		-	144,525	-	144,525
Turnover		-	(\$4,364,671)	-	(\$2,189,716)
Subtotal		(56.8)	(\$3,787,590)	(50.8)	(\$1,336,782)
Total Salaries		708.7	\$52,331,623	713.7	\$55,381,668
Benefits					
Payroll Accrual			295,641		312,238
Retiree Health			3,068,620		3,257,413
Holiday			75,153		75,153
Other			6,000,000		6,000,000
Health Benefits			10,027,539		10,820,590
Workers Compensation			29,115,000		30,515,000
FICA			4,360,707		4,605,054
Retirement			13,402,346		14,025,033
Subtotal			\$66,345,006		\$69,610,481
Total Salaries and Benefits		708.7	\$118,676,629	713.7	\$124,992,149
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$167,253		\$174,930
Statewide Benefit Assessment			\$2,351,194		\$2,374,741
Payroll Costs		708.7	\$121,027,823	713.7	\$127,366,890

Personnel

Department Of Administration Agency Summary

	Grade	FY 2017		FY 2018	
		FTE	Cost	FTE	Cost
Purchased Services					
Buildings and Ground Maintenance			848,501		848,501
Training and Educational Services			37,270		32,270
Legal Services			532,825		536,562
Other Contracts			1,120,313		1,003,224
Information Technology			4,157,244		1,482,796
Clerical and Temporary Services			98,729		139,908
Design and Engineering Services			493,982		493,982
Management & Consultant Services			16,592,179		10,530,884
Medical Services			3,000		3,000
Subtotal			\$23,884,043		\$15,071,127
Total Personnel		708.7	\$144,911,866	713.7	\$142,438,017
Distribution By Source Of Funds					
General Revenue		514.9	\$66,018,293	519.5	\$68,664,539
Federal Funds		64.6	\$16,382,622	65.6	\$8,479,846
Restricted Receipts		53.7	\$11,265,714	54.2	\$12,104,058
Operating Transfers from Other Funds		32.9	\$3,592,973	31.8	\$3,941,768
Other Funds		93.4	\$47,652,264	93.4	\$49,247,806
Total All Funds		759.5	\$144,911,866	764.5	\$142,438,017

Performance Measures

Department Of Administration

Minorities in the Executive Branch of the State Workforce

One of the Department of Administration's strategic objectives is to attract, hire, and retain a talented and diverse workforce. To that end, the department intends to increase the representation of people of color in state government.

	2014	2015	2016	2017	2018
Target	--	--	19%	20.5%	22.2%
Actual	17.2%	17.6%	17.9%	--	--

Performance for this measure is reported by state fiscal year.

Major Processes Subject to a Lean Review

One of the Department of Administration's strategic objectives is to deliver core processes that are predictable, equitable, consistent, timely, and cost-effective. To accomplish this goal, the department intends to standardize and streamline procedures using Lean process improvement techniques.

	2014	2015	2016	2017	2018
Target	--	--	5	8	2
Actual	1	1	3	--	--

Performance for this measure is reported by state fiscal year.

Major Processes that are Online or Paperless

One of the Department of Administration's strategic objectives is to deliver core processes that are predictable, equitable, consistent, timely, and cost-effective. To accomplish this goal, the department intends to make key processes online and/or paperless using the appropriate technology. [Note: The 2015 actual has been updated to reflect complete data.]

	2014	2015	2016	2017	2018
Target	--	--	50%	75%	75%
Actual	--	14%	38%	--	--

Performance for this measure is reported by state fiscal year.

State Government Energy Consumption

As part of its efforts to provide cost-effective services, the Department of Administration is pursuing energy efficiency initiatives that will reduce overall consumption of gas and electricity by state agencies. The figures below illustrate baseline and projected electricity usage, measured in kilowatt-hours (kWh).

	2014	2015	2016	2017	2018
Target	--	--	100,372,910	98,324,484	88,345,739
Actual	102,759,693	102,421,337	98,492,048	--	--

Performance for this measure is reported by state fiscal year.

The Program

Department Of Administration Central Management

Program Mission

To oversee the provision of statewide supportive services to all departments and agencies in conformance with legislative and policy mandates.

To ensure that programs of the department are efficiently organized and implemented.

To select the best candidates for recommendation for appointment to the Judiciary.

To manage the implementation of large-scale information technology projects.

Program Description

Central Management is comprised of three major functions: the Director's Office, the Central Business Office, and the Judicial Nominating Commission.

The Director's Office provides for the overall operation of the department and provides assistance to all Executive Branch Agencies. Primary functions include: Operations, Human Resources, Information technology, Legal Assistance, and Financial Administration. They also provide administrative assistance to the Office of the Governor.

The Central Business Office provides financial management assistance in the areas of budgeting, financial management, accounting, and reporting to divisions within the Department of Administration and the Department of Revenue to ensure maximum use of state and federal resources.

The Judicial Nominating Commission was created by statute to recommend highly qualified candidates to the Governor to fill vacancies in the Rhode Island judiciary. Pursuant to R.I.G.L. 8-16.1, the commission is required by statute to advertise for each judicial vacancy and to actively seek and encourage applications from qualified individuals who will reflect the diversity of the community they will serve.

Statutory History

The legal mandates of the Department of Administration are outlined in R.I.G.L. 42-11-2. The Judicial Nominating Commission was created by R.I.G.L. 8-16.1, which also outlines the criteria for the selection of qualified judicial nominees. The Office of Digital Excellence was transferred from the General Program to the Central Management Program in FY 2013.

The Budget

Department Of Administration Central Management

	2015 Audited	2016 Audited	2017 Enacted	2017 Revised	2018 Recommend
Expenditures By Subprogram					
Director's Office	1,124,534	1,463,676	1,561,589	1,793,965	1,405,771
Financial Management	758,380	931,506	1,079,346	1,020,323	1,092,191
Judicial Nominating Committee	1,695	18,491	19,850	22,250	22,250
Office of Digital Excellence	457,975	662,471	-	-	-
Total Expenditures	\$2,342,584	\$3,076,144	\$2,660,785	\$2,836,538	\$2,520,212
Expenditures By Object					
Personnel	2,128,723	2,915,510	2,570,274	2,739,366	2,423,040
Operating Supplies and Expenses	90,413	78,224	88,011	90,112	90,112
Assistance and Grants	123,448	60,378	-	-	-
Subtotal: Operating Expenditures	2,342,584	3,054,112	2,658,285	2,829,478	2,513,152
Capital Purchases and Equipment	-	22,032	2,500	7,060	7,060
Total Expenditures	\$2,342,584	\$3,076,144	\$2,660,785	\$2,836,538	\$2,520,212
Expenditures By Funds					
General Revenue	2,342,584	3,076,144	2,660,785	2,836,538	2,520,212
Total Expenditures	\$2,342,584	\$3,076,144	\$2,660,785	\$2,836,538	\$2,520,212

Personnel

Department Of Administration Central Management

	Grade	FY 2017		FY 2018	
		FTE	Cost	FTE	Cost
Classified					
ASSOCIATE DIRECTOR (FINANCIAL MANAGEMENT)	00144A	1.0	133,971	1.0	133,971
EXECUTIVE ASSISTANT TO THE DIRECTOR (DEPT. ADMINISTRATOR, FINANCIAL MANAGEMENT)	00141A	1.0	102,860	1.0	102,860
SUPERVISOR OF FISCAL SERVICES (DEPT OF PROGRAMMING SERVICES OFFICER)	00137A	1.0	87,239	1.0	87,239
PRINCIPAL HUMAN SERVICES BUSINESS OFFICER	00136A	1.5	127,811	1.0	79,996
ASSISTANT ADMINISTRATOR, FINANCIAL	00131A	1.0	83,573	1.0	83,573
CHIEF IMPLEMENTATION AIDE	00A28A	1.0	81,661	1.0	81,661
SUPERVISING ACCOUNTANT	00134A	0.5	37,427	1.0	74,853
SUPERVISOR OF BILLINGS AND ACCOUNTS	00128A	2.0	148,213	2.0	148,213
BUSINESS MANAGEMENT OFFICER	00131A	1.0	69,750	1.0	69,750
FISCAL MANAGEMENT OFFICER	03527A	1.0	68,737	1.0	68,737
ADMINISTRATIVE OFFICER	00A26A	1.0	68,569	1.0	73,346
IMPLEMENTATION AIDE	00B26A	2.0	127,601	2.0	130,538
ASSISTANT ADMINISTRATIVE OFFICER	00124A	1.0	63,593	1.0	63,593
ASSISTANT BUSINESS MANAGEMENT OFFICER	00122A	1.0	48,464	1.0	48,943
FISCAL CLERK	00121A	1.0	43,403	1.0	44,985
	00319A	1.0	42,975	1.0	43,909
	00314A	1.0	37,292	1.0	38,064
Subtotal		19.0	\$1,373,139	19.0	\$1,374,231
Unclassified					
DEPUTY DIRECTOR, DEPARTMENT OF	00850A	1.0	155,564	1.0	155,564
DIRECTOR OF ADMINISTRATION	00946KF	1.0	136,510	1.0	136,510
PRINCIPAL TECHNICAL SUPPORT ANALYST	05229A	1.0	88,316	1.0	88,316
PUBLIC INFORMATION OFFICER	00829A	1.0	63,646	1.0	65,969
EXECUTIVE SECRETARY (STATE PROPERTIES)	00321A	1.0	45,972	1.0	45,972
Subtotal		5.0	\$490,008	5.0	\$492,331
Cost Allocation to Other Programs		-	(579,629)	-	(560,771)
Interdepartmental Transfer		-	60,133	-	75,765
Turnover		-	(99,906)	-	(44,985)
Subtotal		-	(\$619,402)	-	(\$529,991)
Total Salaries		24.0	\$1,243,745	24.0	\$1,336,571
Benefits					
Payroll Accrual			7,190		7,707
FICA			91,210		98,808
Retiree Health			74,253		79,929
Health Benefits			222,358		235,218
Retirement			332,894		351,269
Subtotal			\$727,905		\$772,931

Personnel

Department Of Administration Central Management

	Grade	FY 2017		FY 2018	
		FTE	Cost	FTE	Cost
Total Salaries and Benefits		24.0	\$1,971,650	24.0	\$2,109,502
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$82,152		\$87,896
Statewide Benefit Assessment			\$55,888		\$56,138
Payroll Costs		24.0	\$2,027,538	24.0	\$2,165,640
Purchased Services					
Management & Consultant Services			704,428		250,000
Legal Services			6,400		6,400
Other Contracts			1,000		1,000
Subtotal			\$711,828		\$257,400
Total Personnel		24.0	\$2,739,366	24.0	\$2,423,040
Distribution By Source Of Funds					
General Revenue		24.0	\$2,739,366	24.0	\$2,423,040
Total All Funds		24.0	\$2,739,366	24.0	\$2,423,040

The Program

Department Of Administration Accounts and Control

Program Mission

To provide various stakeholders with timely financial reporting that is consistent with generally accepted accounting principles.

To establish policies and procedures to foster sound accounting practices and internal controls.

To continually enhance and maintain the centralized accounting system to promote fiscal integrity across all State agencies.

To support state operations by centrally processing financial transactions in a timely and accurate manner.

Program Description

The primary mission of the Office of Accounts and Control is to promote the financial integrity and accountability of state government through sound administrative and accounting controls and procedures.

The major activities of the Office of Accounts and Control include the central administration of a comprehensive accounting and recording system which classifies transactions of the departments and agencies in accordance with the budget plan; the processing of payroll for all State employees; the pre-audit of state receipts and expenditures; the approval of vouchers drawn on the treasury; and the preparation of financial statements in accordance with generally accepted accounting principles.

This office is also responsible for the preparation and/or coordination of several publications, including the: Comprehensive Annual Financial Report, Condensed State Financial Report, State Payroll Manual, Procedural Handbook of the Department of Administration, and the Consolidated Statewide Cost Allocation Plan.

Statutory History

R.I.G.L. 35-6 establishes the statutory basis for this program. Other legal references are provided in Titles 9, 10, 11, 12, 16, 20, 24, 28, 30, 35, 39, 43, 44, 45, and 46 of the Rhode Island General Laws.

The Budget

Department Of Administration Accounts and Control

	2015 Audited	2016 Audited	2017 Enacted	2017 Revised	2018 Recommend
Expenditures By Subprogram					
Accounts & Control	3,716,400	3,904,557	4,147,433	4,099,406	4,355,796
Total Expenditures	\$3,716,400	\$3,904,557	\$4,147,433	\$4,099,406	\$4,355,796
Expenditures By Object					
Personnel	3,610,089	3,764,336	4,009,988	3,963,344	4,219,734
Operating Supplies and Expenses	106,311	133,434	134,445	133,062	133,062
Assistance and Grants	-	1,500	-	-	-
Subtotal: Operating Expenditures	3,716,400	3,899,270	4,144,433	4,096,406	4,352,796
Capital Purchases and Equipment	-	5,287	3,000	3,000	3,000
Total Expenditures	\$3,716,400	\$3,904,557	\$4,147,433	\$4,099,406	\$4,355,796
Expenditures By Funds					
General Revenue	3,716,400	3,904,557	4,147,433	4,099,406	4,130,796
Restricted Receipts	-	-	-	-	225,000
Total Expenditures	\$3,716,400	\$3,904,557	\$4,147,433	\$4,099,406	\$4,355,796

Personnel

Department Of Administration Accounts and Control

	Grade	FY 2017		FY 2018	
		FTE	Cost	FTE	Cost
Classified					
CONTROLLER	00148A	1.0	148,811	1.0	151,107
ASSISTANT DIRECTOR FOR SPECIAL PROJECTS	00141A	1.0	113,146	1.0	113,146
ASSOCIATE CONTROLLER	00143A	2.0	220,849	2.0	225,604
SUPERVISOR FINANCIAL MANAGEMENT AND FINANCIAL REPORTING MANAGER (OFFICE OF INVESTIGATIVE AUDITOR	00135A	1.0	97,447	1.0	97,447
ADMINISTRATOR, FINANCIAL MANAGEMENT	00139A	1.0	93,347	1.0	93,347
CHIEF PREAUDIT SUPERVISOR	00133A	1.0	91,260	1.0	91,260
SUPERVISING ACCOUNTANT	00137A	1.0	87,240	1.0	87,240
FISCAL MANAGEMENT OFFICER	00131A	4.0	318,273	4.0	318,273
SENIOR MANAGEMENT AND METHODS ANALYST	00831A	1.0	76,911	1.0	76,911
PRINCIPAL ACCOUNTING POLICY AND METHODS	00B26A	3.0	206,694	3.0	208,940
ASSET PROTECTION OFFICER	00325A	1.0	66,039	1.0	66,039
CENTRAL ACCOUNTS PAYABLE SUPERVISING	00828A	2.0	130,496	2.0	130,496
ACCOUNTS AND CONTROL CENTRALIZED	00324A	2.0	112,751	2.0	114,240
SCHEDULING AND RECORDING CLERK	00321A	1.0	52,084	1.0	54,034
	00312A	13.0	621,092	13.0	635,678
		2.0	81,583	2.0	81,583
Subtotal		37.0	\$2,518,023	37.0	\$2,545,345
Overtime		-	3,000	-	-
Turnover		-	(116,517)	-	(72,542)
Subtotal		-	(\$113,517)	-	(\$72,542)
Total Salaries		37.0	\$2,404,506	37.0	\$2,472,803
Benefits					
Payroll Accrual			13,885		14,265
FICA			182,023		187,540
Retiree Health			143,371		147,873
Health Benefits			479,012		500,065
Retirement			630,634		641,083
Subtotal			\$1,448,925		\$1,490,826
Total Salaries and Benefits		37.0	\$3,853,431	37.0	\$3,963,629
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$104,147		\$107,125
Statewide Benefit Assessment			\$107,913		\$104,105
Payroll Costs		37.0	\$3,961,344	37.0	\$4,067,734

Personnel

Department Of Administration Accounts and Control

	Grade	FY 2017		FY 2018	
		FTE	Cost	FTE	Cost
Purchased Services					
Management & Consultant Services			-		100,000
Legal Services			-		50,000
Other Contracts			2,000		2,000
Subtotal			\$2,000		\$152,000
Total Personnel		37.0	\$3,963,344	37.0	\$4,219,734
Distribution By Source Of Funds					
General Revenue		37.0	\$3,963,344	36.5	\$3,994,734
Restricted Receipts		-	-	0.5	\$225,000
Total All Funds		37.0	\$3,963,344	37.0	\$4,219,734

The Program

Department Of Administration Office of Management and Budget

Program Mission

To anticipate and provide ongoing fiscal analysis, management support, and analytical research to the Governor, state departments and agencies, the General Assembly, and taxpayers. This includes establishing a robust public finance and management system that manages an accountable and transparent data-driven budget process, monitoring state departments' and agencies' performance and use of public funds, applying strategic planning and continuous improvement principles to maximize and align limited state resources to effectuate the State's policy goals, and ensuring the State's regulatory environment does not inhibit growth.

Program Description

The Office of Management and Budget (OMB) serves as the executive branch's principal agency to provide credible, accurate, financial information and objective insight to maximize finite resources. OMB develops, coordinates and monitors the State's operating and capital budgets, maintains a performance-based and data-driven process for resource allocation, monitors federal grants to ensure compliance with state and federal requirements, and evaluates and reforms the current regulatory environment to make it easier to do business in the State. The OMB includes the following key functions:

Budget Office: Formulates, prepares and executes the state's operating and capital budgets, analyzing department operating and capital funding requests, developing revenue and caseload estimates, and providing testimony before the General Assembly and bond rating agencies.

Strategic Management: Assists departments and agencies with strategic planning and continuous improvement processes to enhance management capacity.

Performance Management: Develops and monitors performance measures for each department and program to align limited resources with results.

Federal Grants Administration: Monitors and reports on federal grants including stimulus grants, and maximizes use of federal funds for eligible state programs and functions.

Regulatory Reform: Evaluates and reforms state and local regulatory environment to remove barriers to economic growth while protecting taxpayer interests.

Office of Internal Audit: Enhance and better protect organizational value by providing risk-based and objective assurance, advice, and insight across all executive branch departments and educational institutions.

Statutory History

RIGL 35-1.1 created the Office of Management and Budget. RIGL 35-3 establishes the Budget Office's responsibility for the executive budget, including the preparation and submission of the Governor's budget to the general assembly; the execution and management of the enacted budget plan throughout the fiscal year; and development of long-term financial programs, particularly relating to capital improvement programs. RIGL 35-16 requires the Budget Officer to participate in the Revenue Estimating Conference, which reviews and revises both the economic forecast and the estimated general revenues for the state. RIGL 22-12 requires that a fiscal note accompany bills and resolutions that may impact state or municipal revenues or expenditures. RIGL 42-35.1-3 moved the Office of Regulatory Reform from the Economic Development Corporation to the Office of Management and Budget.

The Budget

Department Of Administration Office of Management and Budget

	2015 Audited	2016 Audited	2017 Enacted	2017 Revised	2018 Recommend
Expenditures By Subprogram					
Budget Office	2,003,021	1,986,610	2,655,354	2,306,261	2,302,458
Director, Office of Mgt and Budget	332,057	635,869	1,942,865	1,969,785	869,950
Performance Management	315,936	494,964	1,071,063	1,479,660	1,244,079
Federal Grants Management	256,645	22,375	-	214,075	213,675
Office of Regulatory Reform	517,834	1,285,438	560,405	1,076,689	1,186,924
Office of Internal Audit	-	141,350	4,041,515	3,892,803	4,288,644
Total Expenditures	\$3,425,493	\$4,566,606	\$10,271,202	\$10,939,273	\$10,105,730
Expenditures By Object					
Personnel	3,335,240	3,794,012	7,971,145	8,560,559	8,877,701
Operating Supplies and Expenses	83,230	191,880	795,557	797,038	797,038
Assistance and Grants	-	-	500,000	500,000	400,000
Subtotal: Operating Expenditures	3,418,470	3,985,892	9,266,702	9,857,597	10,074,739
Capital Purchases and Equipment	7,023	580,714	1,004,500	1,081,676	30,991
Total Expenditures	\$3,425,493	\$4,566,606	\$10,271,202	\$10,939,273	\$10,105,730
Expenditures By Funds					
General Revenue	3,409,598	4,361,219	8,535,107	8,969,835	8,086,236
Restricted Receipts	15,895	64,037	355,000	464,647	300,000
Operating Transfers from Other Funds	-	141,350	1,381,095	1,504,791	1,719,494
Total Expenditures	\$3,425,493	\$4,566,606	\$10,271,202	\$10,939,273	\$10,105,730

Personnel

Department Of Administration Office of Management and Budget

	Grade	FY 2017		FY 2018	
		FTE	Cost	FTE	Cost
Classified					
EXECUTIVE DIRECTOR (DOA)/BUDGET OFFICER	00152A	1.0	185,739	1.0	185,739
CHIEF BUREAU OF AUDITS	00145A	1.0	132,183	1.0	132,183
ASSOCIATE DIRECTOR I (MHRH)	00142A	1.0	129,046	1.0	129,046
DEPUTY BUDGET OFFICER	00144A	1.0	126,648	1.0	126,648
ASSOCIATE DIRECTOR (FINANCIAL MANAGEMENT)	00144A	0.6	70,277	0.6	70,277
DIRECTOR REGULATORY REFORM (OMB)	00146A	1.0	116,762	1.0	121,523
DEPUTY CHIEF BUREAU OF AUDITS	00143A	2.0	213,776	2.0	218,292
SENIOR INTERNAL AUDIT MANAGER (DOA)	00140A	1.0	103,011	1.0	103,011
SUPERVISING BUDGET ANALYST	00139A	4.0	396,431	4.0	400,276
SENIOR BUDGET ANALYST	00834A	1.0	93,844	1.0	93,844
CHIEF BUDGET ANALYST	00142A	1.0	93,347	1.0	98,105
INTERNAL AUDIT MANAGER (DOA)	00136A	4.0	369,682	4.0	372,446
BUDGET ANALYST II	00831A	1.0	86,809	1.0	86,809
PRINCIPAL ECONOMIC AND POLICY ANALYST	00138A	2.0	165,406	2.0	171,706
CHIEF PROGRAM DEVELOPMENT	00134A	1.0	78,305	1.0	78,305
ASSISTANT TO THE DIRECTOR (DHS)	00136A	1.0	76,934	1.0	76,934
PRINCIPAL BUDGET ANALYST	00137A	1.0	75,673	1.0	78,354
PRINCIPAL AUDITOR	00328A ¹	0.5	37,715	-	-
PROGRAMMING SERVICES OFFICER	00131A	2.0	146,474	2.0	146,474
CHIEF OF RESEARCH AND ANALYSIS	00134A	1.0	73,017	1.0	76,422
SENIOR ECONOMIC AND POLICY ANALYST	00134A	4.0	280,204	4.0	290,414
SENIOR AUDITOR	00025A	1.0	67,229	1.0	67,229
SENIOR INTERNAL AUDITOR (DOA)	00131A ²	7.5	497,848	8.0	541,252
ECONOMIC AND POLICY ANALYST	00130A	2.0	132,536	2.0	134,986
ADMINISTRATIVE OFFICER	00124A	1.0	61,456	1.0	61,456
BUDGET ANALYST I	00828A	6.0	348,974	6.0	360,680
SENIOR MANAGEMENT AND METHODS ANALYST	00125A	2.0	109,818	2.0	109,818
ELIGIBILITY TECHNICIAN	00321A	7.0	348,966	1.0	58,358
INTERNAL AUDITOR (DOA)	00325A	11.0	529,037	11.0	546,492
AUDITOR	00K22A	1.0	47,715	1.0	49,210
Subtotal		70.6	\$5,194,862	64.6	\$4,986,289
Unclassified					
DIRECTOR, OFFICE OF MANAGEMENT & BUDGET	00852A	1.0	171,281	1.0	171,281
ASSOCIATE DIRECTOR FOR PLANNING, POLICY & CONFIDENTIAL SECRETARY	00843A	1.0	122,186	1.0	122,186
	00822A	1.0	53,003	1.0	53,003
Subtotal		3.0	\$346,470	3.0	\$346,470

Personnel

Department Of Administration Office of Management and Budget

	Grade	FY 2017		FY 2018	
		FTE	Cost	FTE	Cost
Interdepartmental Transfer		-	(70,277)	-	(70,277)
Program Reduction		(6.0)	(106,203)	-	-
Temporary and Seasonal		-	66,400	-	66,400
Turnover		-	(538,172)	-	(171,952)
Subtotal		(6.0)	(\$648,252)	-	(\$175,829)
Total Salaries		67.6	\$4,893,080	67.6	\$5,156,930
Benefits					
Payroll Accrual			27,885		28,915
FICA			360,686		381,105
Retiree Health			288,149		304,417
Health Benefits			863,745		962,586
Retirement			1,266,519		1,315,251
Subtotal			\$2,806,984		\$2,992,274
Total Salaries and Benefits		67.6	\$7,700,064	67.6	\$8,149,204
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$112,924		\$119,568
Statewide Benefit Assessment			\$222,100		\$225,529
Payroll Costs		67.6	\$7,922,164	67.6	\$8,374,733
Purchased Services					
Management & Consultant Services			571,428		332,264
Legal Services			66,967		170,704
Subtotal			\$638,395		\$502,968
Total Personnel		67.6	\$8,560,559	67.6	\$8,877,701
Distribution By Source Of Funds					
General Revenue		51.9	\$6,640,347	52.9	\$6,881,950
Restricted Receipts		-	\$439,164	-	\$300,000
Operating Transfers from Other Funds		15.7	\$1,481,048	14.7	\$1,695,751
Total All Funds		67.6	\$8,560,559	67.6	\$8,877,701

1 Retirement December 2015. Converted to Senior Internal Auditor

2 Principal Auditor Retirement December 2015. Converted to Senior Internal Auditor

The Program

Department Of Administration Purchasing

Program Mission

To obtain goods and services for public purposes in the most cost-effective manner possible and ensure that all procurements are made with care, integrity and conform to rules, regulations and ethical standards relating to purchasing activities. The program ensures that user agencies obtain goods and services in a cost-effective manner without sacrificing quality and standards. The program ensures public access to appropriate information by expanding the applications of the Rhode Island Vendor Information Program to enhance transparency. The program ensures the fullest participation of women and minority business enterprises in state-funded and directed construction programs and projects and state purchases of goods and services.

Program Description

The Purchasing program is composed of five major functions: procurement, operational support services, standards and specifications development and management and vendor information. Procurement solicits bids or requests for proposal and requests for quotes for services and supplies, supports price negotiations and implements affirmative action programs. Operational support services includes purchase order production and record keeping, bid preparation and opening, requisition tracking, and vendor files. Standards and Specifications works with agency representatives to develop statewide standard specifications for goods and services to be solicited. The Vendor Information Program is an electronic system for registering potential bidders and providing bid and other information to vendors and the general public. The Division continues to streamline operations by using legal counsel to standardize front end solicitation documents. This will provide greater uniformity for all users of the procurement process including the Division, agencies, architects and bidders. In addition, the utilization of the Lean process review for Request for Proposals will result in several efficiencies over the upcoming months.

Statutory History

The Purchasing program was established under R.I.G.L. 42-11-2. Operation of the state-purchasing program is set forth in R.I.G.L. 37-2. This section was established by public law in 1939 until its repeal in 1989, when House Bill 89-H-5000 Substitute A, as amended (known as the "Lamb Legislation"), an Act Relating to State Purchases, was enacted. This act established new language to institute legal mandates based on the Model Procurement Act published by the American Bar Association. The major thrust of the legislation was the creation of a framework for enhanced opportunities for competitive procurement and increased accountability for state officials through the centralization of purchasing functions for state agencies. R.I.G.L. 37-2-17.2 requires the institution of an electronic Vendor Information Program.

In 2011, in accordance with RIGL 37-2-18(b) and the proposed Purchasing Regulations (Effective on or about 1/11/11), which provides that, beginning January 1, 2014, all bid proposals for "public works projects" are required to include a copy "to be available for public inspection upon the opening of the bids." Any bid proposal that does not include a copy for public inspection shall be deemed to be non-responsive. R.I.G.L. 37-2-18(b) and (j).

The Budget

Department Of Administration Purchasing

	2015 Audited	2016 Audited	2017 Enacted	2017 Revised	2018 Recommend
Expenditures By Subprogram					
Purchasing	2,632,493	2,820,678	3,093,362	3,292,919	3,306,314
Total Expenditures	\$2,632,493	\$2,820,678	\$3,093,362	\$3,292,919	\$3,306,314
Expenditures By Object					
Personnel	2,562,922	2,749,258	3,014,902	3,214,459	3,235,189
Operating Supplies and Expenses	68,015	64,488	78,460	78,460	71,125
Subtotal: Operating Expenditures	2,630,937	2,813,746	3,093,362	3,292,919	3,306,314
Capital Purchases and Equipment	1,556	6,932	-	-	-
Total Expenditures	\$2,632,493	\$2,820,678	\$3,093,362	\$3,292,919	\$3,306,314
Expenditures By Funds					
General Revenue	2,316,069	2,501,126	2,860,722	3,013,859	3,072,789
Operating Transfers from Other Funds	316,424	319,552	232,640	279,060	233,525
Total Expenditures	\$2,632,493	\$2,820,678	\$3,093,362	\$3,292,919	\$3,306,314

Personnel

Department Of Administration Purchasing

	Grade	FY 2017		FY 2018	
		FTE	Cost	FTE	Cost
Classified					
PURCHASING AGENT	00145A	1.0	132,719	1.0	136,345
DEPUTY PURCHASING AGENT	00141A	1.0	108,003	1.0	108,003
INTERDEPARTMENTAL PROJECT MANAGER	00139A	3.0	282,150	3.0	285,714
CHIEF BUYER (DOA/OP)	00132A	5.0	401,299	5.0	405,352
CHIEF OF PURCHASING MANAGEMENT AND PROGRAMMING SERVICES OFFICER	00138A	1.0	79,562	1.0	82,471
CHIEF IMPLEMENTATION AIDE	00131A	1.0	76,725	1.0	76,725
BUYER II (DOA/OP)	00128A	1.0	68,193	1.0	68,193
ADMINISTRATIVE OFFICER	00327A	2.3	144,816	2.3	217,564
SYSTEMS SUPPORT TECHNICIAN I	00124A	1.0	57,419	1.0	59,202
LEGAL ASSISTANT	00318A	2.0	102,976	2.0	102,976
BUYER I (DOA/OP)	00119A	1.0	49,952	1.0	49,952
STANDARDS TECHNICIAN (DIVISION OF	00324A	1.7	80,482	1.7	16,476
	00318A	10.0	457,310	10.0	459,042
Subtotal		31.0	\$2,041,606	31.0	\$2,068,015
Cost Allocation to Other Programs		-	(17,045)	-	(17,045)
Interdepartmental Transfer		-	(97,815)	-	(97,815)
Turnover		-	(71,637)	-	(44,491)
Subtotal		-	(\$186,497)	-	(\$159,351)
Total Salaries		31.0	\$1,855,109	31.0	\$1,908,664
Benefits					
Payroll Accrual			10,765		11,040
FICA			143,381		145,303
Retiree Health			110,749		114,137
Health Benefits			475,800		462,900
Retirement			489,635		496,983
Subtotal			\$1,230,330		\$1,230,363
Total Salaries and Benefits		31.0	\$3,085,439	31.0	\$3,139,027
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$99,530		\$101,259
Statewide Benefit Assessment			\$83,770		\$80,912
Payroll Costs		31.0	\$3,169,209	31.0	\$3,219,939

Personnel

Department Of Administration Purchasing

	Grade	FY 2017		FY 2018	
		FTE	Cost	FTE	Cost
Purchased Services					
Information Technology			30,000		-
Clerical and Temporary Services			250		250
Legal Services			15,000		15,000
Subtotal			\$45,250		\$15,250
Total Personnel		31.0	\$3,214,459	31.0	\$3,235,189
Distribution By Source Of Funds					
General Revenue		29.5	\$2,935,399	29.5	\$3,001,664
Operating Transfers from Other Funds		1.5	\$279,060	1.5	\$233,525
Total All Funds		31.0	\$3,214,459	31.0	\$3,235,189

The Program

Department Of Administration Auditing

Program Mission

To provide independent and objective assurance regarding governance, risk management, and control processes. To report the effectiveness and efficiency of state operation, the reliability of the financial reporting, and compliance with applicable laws, regulations, and contracts.

To be the independent voice which helps ensure that our state government resources are being utilized in a cost efficient, effective manner and promote a control conscious environment. Our guiding values include objectivity, independence, integrity, and professionalism.

Program Description

- The Bureau of Audits was established to conduct all audits required by the Department of Administration. Its authority is given under RIGL 35-7 which allows the Bureau of Audits:
- To conduct audits of any state department, state agency, or private entity that is a recipient of state funding, or state grants, as deemed necessary or expedient by the Bureau of Audits. Audits may be made relative to financial affairs, or the economy and efficiency of management of each department and agency.
- To determine which audits shall be performed in accordance with a risk based evaluation.
- To conduct investigations, or management advisory and consulting services upon request of the Governor the General Assembly.

The Bureau of Audits performs the internal auditing function for the Executive Branch of State Government, and fall under the Department of Administration. Bureau of Audits reports functionally to the Internal Audit Advisory Group and administratively to the Director of the Department of Administration.

Bureau of Audits provides the Governor and the Director of Administration with an independent appraisal and evaluation of the effectiveness of financial and operational controls through objective analyses, evaluations, and recommendations on operations, systems, and contracted services of state government. Additionally, Bureau of Audits provides expert technical advice on how to coordinate process improvement and quality control activities across state government.

Bureau of Audits provides independent and objective assurance on governance, risk management, and control processes in order to furnish a reasonable basis to report on the effectiveness and efficiency of state operation, the reliability of financial reporting, and compliance with applicable laws, regulations, and contracts. Additionally, Bureau of Audits conducts fraud awareness and ethics training to state employees, forensic audits, state vendor and contractor audits, accounting assistance, investigative reviews, enterprise risk assessment, and more. This function was merged into the Office of Management and Budget in FY 2017.

Statutory History

Chapter 35-7 establishes the Bureau of Audits and authorizes in to conduct audits of the financial affairs, the economy and efficiency of management, and the books and accounts of all state departments and agencies in accordance with a risk-based evaluation system. The Bureau may also, at the written request of the Governor, the Director of Department of Administration, or of either branch of the general assembly, conduct investigations of and provide management advisory and consulting services to state departments, agencies, and quasi-state agencies. Unless there is an issue of misappropriation, the provisions of the chapter shall not apply to non-profit organizations.

The Budget

Department Of Administration Auditing

	2015 Audited	2016 Audited	2017 Enacted	2017 Revised	2018 Recommend
Expenditures By Subprogram					
Auditing	1,294,235	1,420,096	-	-	-
Total Expenditures	\$1,294,235	\$1,420,096	-	-	-
Expenditures By Object					
Personnel	1,249,612	1,380,695	-	-	-
Operating Supplies and Expenses	44,623	34,537	-	-	-
Subtotal: Operating Expenditures	1,294,235	1,415,232	-	-	-
Capital Purchases and Equipment	-	4,864	-	-	-
Total Expenditures	\$1,294,235	\$1,420,096	-	-	-
Expenditures By Funds					
General Revenue	1,294,235	1,420,096	-	-	-
Total Expenditures	\$1,294,235	\$1,420,096	-	-	-

The Program

Department Of Administration Human Resources

Program Mission

To make State Government an Employer of Choice in the State of Rhode Island by building, maintaining and developing a skilled workforce committed to excellence that reflects the diversity and talent of our community.

To provide Human Resources services to all State Departments in support of their missions.

To continually assess and enhance the services provided to ensure efficiency, appropriateness and cost effectiveness.

Program Description

The Division of Human Resources is led by a Director of Human Resources whose office is responsible for the overall management of the quality of the State's Human Resources functions and services, including strategic planning, directing, developing and implementing a statewide program of personnel administration, payroll, recruitment, employee/labor relations, employee benefits/wellness, training and development and Workers' Compensation for State employees.

The major activities of Human Resources include:

- Personnel Administration – administration of the provisions of the law, rules and regulations relating to the operation of the merit system of personnel administration, including Training/ Development and Classification/Civil Service Examinations
- Labor Relations – Grievance processing, collective bargaining, contract interpretation and overall interactions between management and employees
- Recruitment/Employment/Employee Services/Payroll and Data – Recruitment/hiring/onboarding, payroll processing, personnel transactions and administrative services;
- Agency Liaisons – Primary point of contact for all Departments while serving as a proactive advisor to Department leadership.
- Disability Management
- Employee Benefits Administration – Responsible for the administration of benefit programs including, but not limited to medical, prescription drug, dental, vision, life insurance and disability plans for State employees and qualified retirees. The Office of Employee Benefits also develops, recommends and installs new/modified plans, ensures compliance with all applicable laws/regulations and oversees the State's wellness initiatives
- State Employees Worker's Compensation

Statutory History

Title 36, Chapters 3 and 4 of the Rhode Island General Laws establish the basic principles of the Merit System Law, under which the Division of Human Resources operates.

The Budget

Department Of Administration Human Resources

	2015 Audited	2016 Audited	2017 Enacted	2017 Revised	2018 Recommend
Expenditures By Subprogram					
Human Resources	161,147	631,990	1,212,230	1,022,160	1,085,579
Personnel Administration	2,706,531	2,093,868	1,762,119	1,027,752	1,036,219
General Gov. HR Service Center	-	976	-	609	609
Human Resources Centralization	6,709,328	6,973,094	7,567,951	9,179,350	9,805,102
Total Expenditures	\$9,577,006	\$9,699,928	\$10,542,300	\$11,229,871	\$11,927,509
Expenditures By Object					
Personnel	9,401,113	9,401,263	10,214,501	10,880,853	11,580,271
Operating Supplies and Expenses	161,443	171,842	318,299	337,018	335,238
Assistance and Grants	-	409	-	-	-
Subtotal: Operating Expenditures	9,562,556	9,573,514	10,532,800	11,217,871	11,915,509
Capital Purchases and Equipment	14,450	126,414	9,500	12,000	12,000
Total Expenditures	\$9,577,006	\$9,699,928	\$10,542,300	\$11,229,871	\$11,927,509
Expenditures By Funds					
General Revenue	7,171,969	7,157,340	7,783,906	7,977,246	8,602,573
Federal Funds	685,698	755,695	784,618	1,044,844	1,068,199
Restricted Receipts	432,533	481,067	487,070	624,246	637,889
Other Funds	1,286,806	1,305,826	1,486,706	1,583,535	1,618,848
Total Expenditures	\$9,577,006	\$9,699,928	\$10,542,300	\$11,229,871	\$11,927,509

Personnel

Department Of Administration

Human Resources

	Grade	FY 2017		FY 2018	
		FTE	Cost	FTE	Cost
Classified					
ASSOCIATE DIRECTOR (DHS) DIV OF	00146A	1.0	148,993	1.0	148,993
EXECUTIVE DIRECTOR, DEPARTMENT OF	00050A	1.0	145,674	1.0	145,674
DIRECTOR OF HUMAN RESOURCES	00148A	1.0	136,161	1.0	136,161
DEPUTY PERSONNEL ADMINISTRATOR	00144A	3.0	404,541	3.0	397,249
HUMAN RESOURCES ADMINISTRATOR	00141A	1.0	123,432	1.0	123,432
HUMAN RESOURCE PROGRAM ADMINISTRATOR	00139A	2.0	206,158	2.0	209,843
CHIEF OF STRATEGIC PLANNING, MONITORING	00143A	1.0	102,500	1.0	105,950
HUMAN RESOURCES SUPERVISOR	00136A	2.0	203,751	2.0	203,751
CHIEF OF HUMAN RESOURCES	00138A	1.0	88,455	1.0	88,455
HUMAN RESOURCES COORDINATOR	00135A	7.4	650,125	7.4	622,266
CHIEF OF HUMAN RESOURCES SERVICES	00133A	1.0	86,515	1.0	87,201
PROGRAMMING SERVICES OFFICER	00131A	1.0	84,412	1.0	84,412
COORDINATOR OF EMPLOYEE TRAINING	00138A	1.0	79,562	1.0	81,834
BUSINESS MANAGEMENT OFFICER	00B26A	1.0	76,369	1.0	76,369
SUPERVISOR PERSONNEL SUPPORT SERVICES	00128A	1.0	75,607	1.0	75,607
HUMAN RESOURCES ANALYST III (GENERAL)	00133A	14.0	1,055,241	14.0	1,076,970
CHIEF IMPLEMENTATION AIDE	00128A	1.0	73,793	1.0	73,793
HUMAN RESOURCES ANALYST II (CLASSIF &	00129A	1.0	69,963	1.0	69,963
CHIEF IMPLEMENTATION AIDE	00328A	1.0	68,558	1.0	68,558
HUMAN RESOURCES ANALYST II (GENERAL)	00129A	6.0	387,874	6.0	392,138
ASSISTANT LABOR RELATIONS HEARING OFFICER	00132A	1.0	64,022	1.0	65,231
DATA ENTRY UNIT SUPERVISOR	00B21A	0.8	47,846	0.8	47,929
HUMAN RESOURCES ANALYST I	00126A	9.0	537,878	9.0	539,492
ADMINISTRATIVE OFFICER	00124A	4.0	208,445	4.0	214,487
IMPLEMENTATION AIDE	00122A	2.0	103,111	2.0	104,347
HUMAN RESOURCES TECHNICIAN	00122A	30.6	1,538,615	30.6	1,573,322
EXECUTIVE ASSISTANT	00118A	1.0	42,976	1.0	42,976
LEGAL ASSISTANT	00119A	1.0	40,899	1.0	41,794
LABOR RELATIONS CLERK	00114A	1.0	37,673	1.0	38,652
Subtotal		98.8	\$6,889,149	98.8	\$6,936,849
Unclassified					
SUPERVISING EMPLOYEE RELATIONS OFFICER	00828A	1.0	80,639	1.0	80,639
ADMINISTRATIVE ASSISTANT	00825A	3	-	3.0	181,382
DEPUTY DIRECTOR	00838A	4	-	1.0	93,077
Subtotal		1.0	\$80,639	5.0	\$355,098

Personnel

Department Of Administration Human Resources

	Grade	FY 2017		FY 2018	
		FTE	Cost	FTE	Cost
Cost Allocation from Other Programs		-	7,638	-	8,000
Temporary and Seasonal		-	44,975	-	44,975
Turnover		-	(454,540)	-	(216,970)
Subtotal		-	(\$401,927)	-	(\$163,995)
Total Salaries		99.8	\$6,567,861	103.8	\$7,127,952
Benefits					
Payroll Accrual			37,691		40,817
FICA			493,563		536,201
Retiree Health			398,845		432,995
Health Benefits			1,194,212		1,302,617
Retirement			1,699,465		1,824,446
Subtotal			\$3,823,776		\$4,137,076
Total Salaries and Benefits		99.8	\$10,391,637	103.8	\$11,265,028
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$103,674		\$108,093
Statewide Benefit Assessment			\$300,116		\$310,443
Payroll Costs		99.8	\$10,691,753	103.8	\$11,575,471
Purchased Services					
Management & Consultant Services			38,600		4,300
Legal Services			150,000		-
Other Contracts			500		500
Subtotal			\$189,100		\$4,800
Total Personnel		99.8	\$10,880,853	103.8	\$11,580,271
Distribution By Source Of Funds					
General Revenue		70.1	\$7,703,840	74.1	\$8,330,487
Federal Funds		9.7	\$1,020,228	9.7	\$1,043,583
Restricted Receipts		5.8	\$608,725	5.8	\$622,508
Other Funds		14.2	\$1,548,060	14.2	\$1,583,693
Total All Funds		99.8	\$10,880,853	103.8	\$11,580,271

3 Transfer from Public Safety

4 Transfer from Public Safety

The Program

Department Of Administration Personnel Appeal Board

Program Mission

To provide an impartial hearing process for appellants whose complaints fall under the jurisdiction of the board.

To develop policies and procedures to ensure that the board's obligations to appellants and agencies are discharged and that just decisions are rendered in accordance with the responsibility entrusted to the Personnel Appeal Board by law.

To develop a work calendar to ensure expeditious scheduling of hearings and rendering of judicious decisions.

Program Description

The Personnel Appeal Board hears appeals: by any person with provisional, probationary, or permanent status in a position in the classified service aggrieved by an action of the Administrator of Adjudication for the Department of Administration on matters of personnel administration; by any person with provisional, probationary or permanent status in a position in the classified service who has been discharged, demoted, suspended or laid off by any appointing authority; by any person who holds the belief that he/she has been discriminated against because of his/her race, sex, age, physical handicap, or political or religious beliefs; by any person who, by the personnel policy of Rhode Island or by contractual agreement with Rhode Island, is vested with the right of appeal to the Personnel Appeal Board.

The Personnel Appeal Board consists of five qualified electors known to be in sympathy with the application of modern personnel practices, not more than three of whom shall be members of the same political party. The board shall elect one of its members chairperson.

The Personnel Appeal Board administers the Merit System Law governing appeals, maintenance of records, reviews, appeals from decisions rendered, and records of cases adjudicated prior to scheduling a public hearing. The board is authorized to require the attendance of witnesses by subpoena and acquire the production of books, papers and documents necessary at any hearing. Transcripts are available to all interested parties. Where permissible within the law, the board assists state personnel in the proper filings and processing of appeals.

Statutory History

R.I.G.L. 36-3, sections 3 through 11 delineate the powers and duties of the Personnel Appeal Board.

The Budget

Department Of Administration Personnel Appeal Board

	2015 Audited	2016 Audited	2017 Enacted	2017 Revised	2018 Recommend
Expenditures By Subprogram					
Personnel Appeal Board	101,357	137,951	133,419	145,252	145,130
Total Expenditures	\$101,357	\$137,951	\$133,419	\$145,252	\$145,130
Expenditures By Object					
Personnel	100,308	136,590	132,329	143,789	143,667
Operating Supplies and Expenses	1,049	1,361	1,090	1,463	1,463
Subtotal: Operating Expenditures	101,357	137,951	133,419	145,252	145,130
Total Expenditures	\$101,357	\$137,951	\$133,419	\$145,252	\$145,130
Expenditures By Funds					
General Revenue	101,357	137,951	133,419	145,252	145,130
Total Expenditures	\$101,357	\$137,951	\$133,419	\$145,252	\$145,130

Personnel

Department Of Administration Personnel Appeal Board

	Grade	FY 2017		FY 2018	
		FTE	Cost	FTE	Cost
Unclassified					
MEMBER, PERSONNEL APPEAL BOARD	00541F	-	36,000	-	36,000
Subtotal		-	\$36,000	-	\$36,000
Cost Allocation from Other Programs		-	22,986	-	22,986
Subtotal		-	\$22,986	-	\$22,986
Total Salaries		-	\$58,986	-	\$58,986
Benefits					
Payroll Accrual			308		308
FICA			4,509		4,509
Retiree Health			1,373		1,375
Health Benefits			3,407		3,534
Retirement			6,055		5,977
Subtotal			\$15,652		\$15,703
Total Salaries and Benefits		-	\$74,638	-	\$74,689
Cost Per FTE Position (Excluding Temporary and Seasonal)			-		-
Statewide Benefit Assessment			\$2,651		\$2,478
Payroll Costs		-	\$77,289	-	\$77,167
Purchased Services					
Clerical and Temporary Services			6,000		6,000
Legal Services			60,000		60,000
Other Contracts			500		500
Subtotal			\$66,500		\$66,500
Total Personnel		-	\$143,789	-	\$143,667
Distribution By Source Of Funds					
General Revenue		-	\$143,789	-	\$143,667
Total All Funds		-	\$143,789	-	\$143,667

The Program

Department Of Administration General

Program Mission

To maintain a statewide accounting of all expenditures, which are not allocated to other state departments or agencies and not specific to any one program within the Department of Administration.

Program Description

The General program consists of funds appropriated to finance expenditures not allocated to other state departments or agencies and not specific to any one program within the Department of Administration. It should be noted that the Department's Rhode Island Capital Plan Fund appropriations are included under this program.

Special legislative appropriations are initially the result of the passage of legislation which is not part of the annual appropriation act. Tort claims and court awards are paid pursuant to R.I.G.L. 9-31, which provides that "the general assembly may make such appropriations as it shall deem proper in payment of or settlement of claims against the state, provided, however, that there is hereby appropriated any money in the treasury not otherwise appropriated a sum sufficient to pay claims against the state, settled pursuant to the provisions of the chapter and the state controller is hereby authorized and directed to draw his orders upon the general treasurer for the payment of said sums."

Statutory History

The existence of "General" has no statutory basis, but rather reflects a combination of appropriations which support various expenditures not allocated to other state agencies. The authority for payment of tort claims was enacted in 1970 and is described in R.I.G.L. 9-31.

The Budget

Department Of Administration General

	2015 Audited	2016 Audited	2017 Enacted	2017 Revised	2018 Recommend
Expenditures By Subprogram					
General	5,210,625	813,496	421,500	700,000	700,000
Capital Projects	16,229,554	19,484,046	46,161,000	47,157,952	42,847,761
Grants & Other Payments	2,989,891	5,412,922	3,671,057	3,671,057	2,771,057
Economic Development	9,188,170	-	-	-	-
State Aid to Local Communities	11,104,687	11,436,698	11,585,292	11,583,018	11,682,361
RI film & Television Office	313,220	-	-	-	-
Total Expenditures	\$45,036,147	\$37,147,162	\$61,838,849	\$63,112,027	\$58,001,179
Expenditures By Object					
Personnel	1,483,352	566,575	-	-	-
Operating Supplies and Expenses	5,153,383	4,095,312	2,633,057	3,021,057	3,021,057
Assistance and Grants	5,142,108	1,192,494	1,350,000	1,350,000	450,000
Aid to Local Units of Government	10,385,409	10,684,299	11,585,292	11,583,018	11,682,361
Subtotal: Operating Expenditures	22,164,252	16,538,680	15,568,349	15,954,075	15,153,418
Capital Purchases and Equipment	12,788,423	17,237,400	46,270,500	47,157,952	42,847,761
Operating Transfers	10,083,472	3,371,082	-	-	-
Total Expenditures	\$45,036,147	\$37,147,162	\$61,838,849	\$63,112,027	\$58,001,179
Expenditures By Funds					
General Revenue	23,804,376	16,849,620	15,256,349	15,254,075	14,453,418
Federal Funds	4,345,555	-	-	-	-
Restricted Receipts	656,662	813,496	421,500	700,000	700,000
Operating Transfers from Other Funds	16,229,554	19,484,046	46,161,000	47,157,952	42,847,761
Total Expenditures	\$45,036,147	\$37,147,162	\$61,838,849	\$63,112,027	\$58,001,179

The Program

Department Of Administration Debt Service Payments

Program Mission

To maintain a statewide accounting of all general obligation debt service and other short and long term obligations of the state and quasi-state agencies.

Program Description

The Debt Service Payments Program reflects funding for expenditures for both long- and short-term tax-supported debt obligations of the state. This includes debt service on general obligation bonds as well as lease payments securing certificates of participation, issued by the state. Moreover, this program encompasses leases or trust agreements, securing revenue bonds issued by the Rhode Island Convention Center Authority, Rhode Island Refunding Bond Authority, Rhode Island Economic Development Corporation, or other obligations that are part of the state's net tax supported debt.

Statutory History

R.I.G.L. 35-3-25 requires that debt service be enumerated within a program within the Department of Administration.

The Budget

Department Of Administration Debt Service Payments

	2015 Audited	2016 Audited	2017 Enacted	2017 Revised	2018 Recommend
Expenditures By Subprogram					
General Obligation Bonds	106,092,034	55,471,340	82,797,541	74,393,721	98,163,851
Certificates of Participation	27,936,636	31,671,673	31,712,039	31,712,039	27,080,218
COPS - DLT Ctr. Gnrl. Building	2,015,899	2,003,247	947,437	947,437	-
COPS - Ctr General Furniture	1,772	4,699	-	-	-
Tax Anticipation/S T Borrowing	33,174	37,443	100,000	100,000	100,000
Other Debt Service	58,157,534	73,661,514	63,484,275	63,534,275	60,340,412
Total Expenditures	\$194,237,049	\$162,849,916	\$179,041,292	\$170,687,472	\$185,684,481
Expenditures By Object					
Operating Supplies and Expenses	33,219	37,487	100,000	100,000	100,000
Subtotal: Operating Expenditures	33,219	37,487	100,000	100,000	100,000
Debt Service (Fixed Charges)	190,675,429	161,677,377	178,941,292	170,587,472	185,584,481
Operating Transfers	3,528,401	1,135,052	-	-	-
Total Expenditures	\$194,237,049	\$162,849,916	\$179,041,292	\$170,687,472	\$185,684,481
Expenditures By Funds					
General Revenue	142,828,043	112,513,527	130,523,966	122,168,998	144,357,135
Federal Funds	2,657,423	2,643,287	2,235,315	2,234,616	1,870,830
Restricted Receipts	2,259,317	1,372,210	111,453	111,904	-
Operating Transfers from Other Funds	46,489,864	46,316,226	46,170,558	46,170,501	39,456,516
Other Funds	2,402	4,666	-	1,453	-
Total Expenditures	\$194,237,049	\$162,849,916	\$179,041,292	\$170,687,472	\$185,684,481

The Program

Department Of Administration Internal Service Programs

Program Mission

To provide the most cost-effective delivery of goods and services to other state programs.

Program Description

Various services needed by state-operated programs and activities are provided on a centralized basis. The costs of these operations are borne by the user agencies through a charge system that allocates the cost of delivery of the goods or services. The operational expenses of these programs are shown for display purposes only, since the costs to state agencies are reflected in the budget of the user agency both on an actual and budget basis.

Internal service programs that are operated by the Department of Administration include telecommunications services, mail services, utility services, automotive services (repair and replacement), state employee health fund, other post-employment benefits (OPEB) and the assessed fringe benefit fund.

The telecommunications office manages all voice grade telephone line installations, service changes and billing statewide, and provides direct services both internally to government and to the public. Central mail services provides for inter-agency mail delivery and processing of United States mail for most state agencies.

Central utilities provides for the administration and fiscal management of electricity and gas services. State fleet provides for the administration and fiscal management of the state-owned vehicles, and operates several fuel depots throughout the state.

The Assessed Fringe Benefit Fund provides funding for state employee workers' compensation, severance pay, unemployment pay and employee assistance programs. Funds are raised through an assessment on payroll expenses of all state departments and agencies.

The State Employee Health Fund provides for the administration and distribution of active and retiree healthcare costs statewide. In FY 15 the Department moved the worksite wellness program and employee benefits contracts used for auditing, employee bid preparation, and employee bid review to this fund.

A dedicated trust has been set up to fund the liability related to Other Post Employment Benefits (OPEB) on an actuarial basis. An example of OPEB benefits include retiree medical, dental, prescription, and other similar post employment benefits for retired employees and their dependants. In accordance with RIGL 36-12.1-5, the trust began operations in fiscal year 2011.

Statutory History

The Director of Administration is authorized by R.I.G.L. 35-5 to establish a system of rotary or rotating funds in any state department or agency. R.I.G.L. 36-12.1-5 established the OPEB trust.

The Budget

Department Of Administration Internal Service Programs

	2015 Audited	2016 Audited	2017 Enacted	2017 Revised	2018 Recommend
Expenditures By Subprogram					
Assessed Fringe Benefit Fund	40,222,460	36,692,751	41,699,269	39,846,094	41,229,448
Central Utilities Fund	17,778,975	14,617,978	14,900,975	20,926,448	24,910,320
Central Mail Fund	5,812,116	5,426,515	6,190,285	6,826,590	6,838,505
Telecommunciations Fund	2,837,087	3,187,069	3,017,521	3,185,153	3,244,413
Automotive Fleet Fund	11,946,941	13,731,772	12,543,165	14,637,857	12,784,388
Surplus Property	1,570	2,885	2,500	3,000	3,000
Health Insurance Fund	233,366,096	244,114,465	251,723,462	251,776,824	251,804,700
Other Post Employment Benefits	41,280,587	38,669,453	63,934,483	63,934,483	63,852,483
Internal Services	[353,245,832]	[356,442,888]	[394,011,660]	[401,136,449]	[404,667,257]
Total Expenditures	\$353,245,832	\$356,442,888	\$394,011,660	\$401,136,449	\$404,667,257
Expenditures By Object					
Personnel	314,303,690	319,163,092	356,867,365	356,025,544	357,476,078
Operating Supplies and Expenses	37,030,787	34,343,207	34,934,795	41,317,991	45,297,893
Assistance and Grants	32,229	225,655	270,000	270,000	270,000
Subtotal: Operating Expenditures	351,366,706	353,731,954	392,072,160	397,613,535	403,043,971
Capital Purchases and Equipment	93,568	32,985	154,500	149,500	149,500
Operating Transfers	1,785,558	2,677,949	1,785,000	3,373,414	1,473,786
Total Expenditures	\$353,245,832	\$356,442,888	\$394,011,660	\$401,136,449	\$404,667,257
Expenditures By Funds					
Other Funds	353,245,832	356,442,888	394,011,660	401,136,449	404,667,257
Total Expenditures	\$353,245,832	\$356,442,888	\$394,011,660	\$401,136,449	\$404,667,257

Personnel

Department Of Administration Internal Service Programs

	Grade	FY 2017		FY 2018	
		FTE	Cost	FTE	Cost
Classified					
DEPUTY PERSONNEL ADMINISTRATOR	00144A	1.0	138,158	1.0	142,990
ASSISTANT DIRECTOR CENTRAL INFORMATION	00143A	1.0	137,490	1.0	137,490
INFORMATION SYSTEMS GROUP COORDINATOR	00138A	1.0	109,036	1.0	109,036
ASSISTANT DIRECTOR FOR SPECIAL PROJECTS	00141A	1.0	108,003	1.0	108,003
TECHNICAL SUPPORT SPECIALIST III	00135A	1.0	96,590	1.0	96,590
SENIOR LEGAL COUNSEL	00134A	2.0	190,068	2.0	190,068
MANAGER, WORKERS' COMPENSATION PROGRAM	00834A	1.0	94,808	1.0	94,808
ADMINISTRATOR, OPERATIONS MANAGEMENT	00141A	1.0	93,530	1.0	98,288
TECHNICAL SUPPORT MANAGER	00138A	1.0	90,239	1.0	90,239
ASSISTANT ADMINISTRATOR, STATE EMPLOYEES	00137A	1.0	83,741	1.0	83,741
CHIEF PROGRAM DEVELOPMENT	00134A	1.0	82,220	1.0	82,220
TECHNICAL SUPPORT SPECIALIST II	00332A	1.0	71,430	1.0	71,430
CHIEF IMPLEMENTATION AIDE	00828A	2.0	137,382	2.0	137,382
CHIEF IMPLEMENTATION AIDE	00328A	1.0	66,871	1.0	67,621
PROGRAMMING SERVICES OFFICER	00131A	1.0	66,077	1.0	69,612
FLEET OPERATIONS OFFICER	00130A	1.0	65,722	1.0	69,008
PRINCIPAL ENERGY CONSERVATION TECHNICIAN	00328A	1.0	64,044	1.0	67,121
ASSISTANT SUPERVISOR COMPUTER OPERATIONS	00827A	3.0	191,069	3.0	191,069
CLAIMS EXAMINER II (STATE EMPLOYEES)	00325A	7.0	440,226	7.0	440,226
ACCOUNTANT	00320A	1.0	62,724	1.0	65,000
TECHNICAL SUPPORT SPECIALIST I	00328A	2.0	122,946	2.0	122,946
TECHNICAL SUPPORT SPECIALIST I	00328A	1.0	61,473	1.0	61,473
SENIOR SYSTEMS ANALYST	00126A	1.0	53,621	1.0	55,371
SENIOR COMPUTER OPERATOR (OIP)	00322A	2.0	105,483	2.0	105,483
ASSISTANT RECORDS ANALYST	00319A	1.0	51,697	1.0	53,209
LEGAL ASSISTANT	00119A	1.0	51,648	1.0	51,648
EMPLOYEE BENEFITS SPECIALIST	00322A	3.0	147,186	3.0	147,186
PRINCIPAL COMPUTER OPERATOR (OIP)	00324A	1.0	47,428	1.0	48,916
ENERGY CONSERVATION TECHNICIAN	00320A	1.0	45,747	1.0	45,747
JUNIOR COMPUTER OPERATOR (OIP)	00313A	1.0	44,516	1.0	44,516
ASSISTANT BUSINESS MANAGEMENT OFFICER	00319A	1.0	39,988	1.0	41,987
DATA CONTROL CLERK	00315A	1.0	39,678	1.0	39,678
COMPUTER OPERATOR (OIP)	00316A	1.0	39,344	1.0	40,402
SUPERVISOR CENTRAL MAIL SERVICES	00816A	1.0	38,241	1.0	40,153
Subtotal		48.0	\$3,278,424	48.0	\$3,310,657
Unclassified					
TASK FORCE AGENT/INSPECTOR	00828A	1.0	70,559	1.0	70,559
Subtotal		1.0	\$70,559	1.0	\$70,559

Personnel

Department Of Administration Internal Service Programs

	Grade	FY 2017		FY 2018	
		FTE	Cost	FTE	Cost
Cost Allocation from Other Programs		-	42,479	-	42,479
Cost Allocation to Other Programs		-	(160,547)	-	(160,547)
Overtime		-	206,000	-	196,000
Turnover		-	(142,449)	-	(20,816)
Subtotal		-	(\$54,517)	-	\$57,116
Total Salaries		49.0	\$3,294,466	49.0	\$3,438,332
Benefits					
Payroll Accrual			17,816		18,634
Holiday			4,000		4,000
Other			6,000,000		6,000,000
FICA			697,920		708,731
Retiree Health			186,738		196,251
Health Benefits			621,010		692,026
Retirement			800,654		830,356
Workers Compensation			29,115,000		30,515,000
Subtotal			\$37,443,138		\$38,964,998
Total Salaries and Benefits		49.0	\$40,737,604	49.0	\$42,403,330
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$831,380		\$865,374
Statewide Benefit Assessment			\$146,700		\$154,008
Payroll Costs		49.0	\$40,884,304	49.0	\$42,557,338
Purchased Services					
Management & Consultant Services			961,102		738,602
Legal Services			34,458		34,458
Design and Engineering Services			325,000		325,000
Subtotal			\$1,320,560		\$1,098,060
Total Personnel		49.0	\$42,204,864	49.0	\$43,655,398
Distribution By Source Of Funds					
Other Funds		49.0	\$42,204,864	49.0	\$43,655,398
Total All Funds		49.0	\$42,204,864	49.0	\$43,655,398

The Program

Department Of Administration Legal Services

Program Mission

To provide a centralized legal structure within the Executive Branch to manage, coordinate, maintain and provide in-house legal services and certain non-programmatic legal services, including developing various areas of legal expertise, templates, legal resources and knowledge to assist the legal divisions of the departments and agencies in the Executive branch.

To coordinate the hiring of outside legal counsel in the Executive Branch in an efficient manner.

To assign legal staff (attorneys, hearing officers, paralegals, legal assistants and support staff) in a manner that is appropriate for his/her level of expertise and experience to utilize legal resources in the most cost effective and efficient manner.

To represent the Department of Administration in litigation; provide legal risk management services; and provide a central hearing office for the department and other agencies upon request.

To standardize legal policies and procedures across the Executive Branch and reduce redundant legal processes and work to improve the efficiency and quality of legal services.

To coordinate and develop labor negotiation strategy on a statewide basis and represent the Executive Branch in all legal forums in all labor relations and employment law matters that have statewide impact.

To provide hearing officers for grievances and other administrative adjudication matters that are required by law, by conflict, or as needed, and requested by the Executive Branch.

Program Description

The Division of Legal Services establishes, manages, coordinates, and maintains legal resources to support the Executive Branch. The mission of the Division is to provide proactive legal assistance to the various divisions within the Department of Administration and certain non-programmatic legal services within the Executive Branch to assist them in achieving their statutory goals and objectives. In addition, the Division manages, coordinates, and assists attorneys across all Executive Branch departments and agencies.

Statutory History

On August 24, 2004 Governor Carcieri issued Executive Order 04-09 creating a Division of Legal Services within the Department of Administration. In addition, several of the departments and agencies have independent statutory authority to establish and maintain legal resources.

The Budget

Department Of Administration Legal Services

	2015 Audited	2016 Audited	2017 Enacted	2017 Revised	2018 Recommend
Expenditures By Subprogram					
Legal Services	1,980,498	2,131,683	2,185,988	2,133,735	2,300,956
Total Expenditures	\$1,980,498	\$2,131,683	\$2,185,988	\$2,133,735	\$2,300,956
Expenditures By Object					
Personnel	1,917,004	2,065,002	2,116,856	2,064,603	2,231,824
Operating Supplies and Expenses	61,021	64,208	66,657	66,657	66,657
Subtotal: Operating Expenditures	1,978,025	2,129,210	2,183,513	2,131,260	2,298,481
Capital Purchases and Equipment	2,473	2,473	2,475	2,475	2,475
Total Expenditures	\$1,980,498	\$2,131,683	\$2,185,988	\$2,133,735	\$2,300,956
Expenditures By Funds					
General Revenue	1,980,498	2,131,683	2,185,988	2,133,735	2,300,956
Total Expenditures	\$1,980,498	\$2,131,683	\$2,185,988	\$2,133,735	\$2,300,956

Personnel

Department Of Administration Legal Services

	Grade	FY 2017		FY 2018	
		FTE	Cost	FTE	Cost
Classified					
ADMINISTRATIVE AND LEGAL SUPPORT SERVICES	00145A	1.0	121,889	1.0	121,889
ADMINISTRATOR ADJUDICATION	00140A	1.0	113,048	1.0	113,048
ASSISTANT DIRECTOR FOR SPECIAL PROJECTS	00141A	1.0	108,003	1.0	108,003
CHIEF LEGAL COUNSEL-STATE LABOR RELATIONS	00142A	1.0	107,615	1.0	107,615
LEGAL COUNSEL (MHRH)	00136A	1.0	105,492	1.0	105,492
CHIEF OF LEGAL SERVICES	00139A	2.0	208,561	2.0	208,561
LEGAL COUNSEL	00132A	0.6	50,006	0.6	50,006
DEPUTY CHIEF OF LEGAL SERVICES	00137A	3.0	248,299	3.0	254,025
SENIOR LEGAL COUNSEL	00134A	2.0	144,567	2.0	151,221
IMPLEMENTATION AIDE	00122A	1.0	58,161	1.0	58,161
ADMINISTRATIVE OFFICER	00124A	1.0	52,877	1.0	52,877
EXECUTIVE ASSISTANT	00318A	1.0	38,840	1.0	39,842
SENIOR WORD PROCESSING TYPIST	00112A	1.0	36,993	1.0	36,993
Subtotal		16.6	\$1,394,351	16.6	\$1,407,733
Cost Allocation to Other Programs		-	(44,535)	-	(46,983)
Turnover		-	(195,538)	-	(107,184)
Subtotal		-	(\$240,073)	-	(\$154,167)
Total Salaries		16.6	\$1,154,278	16.6	\$1,253,566
Benefits					
Payroll Accrual			6,669		7,231
FICA			88,277		96,059
Retiree Health			68,910		74,962
Health Benefits			162,744		193,610
Retirement			301,657		323,545
Subtotal			\$628,257		\$695,407
Total Salaries and Benefits		16.6	\$1,782,535	16.6	\$1,948,973
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$107,382		\$117,408
Statewide Benefit Assessment			\$51,868		\$52,651
Payroll Costs		16.6	\$1,834,403	16.6	\$2,001,624
Purchased Services					
Clerical and Temporary Services			30,200		30,200
Legal Services			200,000		200,000
Subtotal			\$230,200		\$230,200
Total Personnel		16.6	\$2,064,603	16.6	\$2,231,824
Distribution By Source Of Funds					
General Revenue		16.6	\$2,064,603	16.6	\$2,231,824
Total All Funds		16.6	\$2,064,603	16.6	\$2,231,824

The Program

Department Of Administration Facilities Management

Program Mission

To protect and preserve the State of Rhode Island's facility assets.

To provide a clean, safe, healthy and secure environment conducive to employee productivity.

Program Description

The Division of Facilities Management provides maintenance and operations services at 140 buildings under the direct jurisdiction of the Department of Administration. This includes the building at the Capitol Hill Complex, Pastore Center, Zambarano Campus, Veteran's Home, Ladd Center, and numerous other that buildings throughout the State.

The responsibility for building operations includes capital improvements, general maintenance, janitorial services, maintenance and operation of boilers and mechanical equipment, electrical power and distribution.

State Fleet maintains and operates 15 state fuel depots, administers the repair program for state vehicles, and administrators the purchasing and disposal of state vehicles.

The Division also oversees environmental compliance of various state facilities and properties with environmental conditions that warrant remediation and/or permitting. This program merged into the Capital Asset Maintenance and Management program in FY 2017.

Statutory History

Executive Order 04-04 establishes and defines the duties of the Division of Facilities Management.

R.I.G.L. 42-11-2 and Executive Order 86-15 establish the duties, authority and responsibilities of the property management and buildings and grounds functions. R.I.G.L. 42-11-2 establishes authority for the surplus state property program, the repair and operation of the State House, the State Office building and other premises, and control and supervision of the acquisition, operation, maintenance, repair and replacement of state-owned motor vehicles.

R.I.G.L. 42-56.2 establishes the Federal Surplus Property program.

The Budget

Department Of Administration Facilities Management

	2015 Audited	2016 Audited	2017 Enacted	2017 Revised	2018 Recommend
Expenditures By Subprogram					
Operations and Maintenance	9,111,170	8,272,282	-	-	-
Facilities Centralization	26,901,976	27,596,924	-	-	-
Total Expenditures	\$36,013,146	\$35,869,206	-	-	-
Expenditures By Object					
Personnel	13,088,584	13,887,926	-	-	-
Operating Supplies and Expenses	22,914,416	21,757,755	-	-	-
Assistance and Grants	-	580	-	-	-
Subtotal: Operating Expenditures	36,003,000	35,646,261	-	-	-
Capital Purchases and Equipment	10,146	222,945	-	-	-
Total Expenditures	\$36,013,146	\$35,869,206	-	-	-
Expenditures By Funds					
General Revenue	30,688,008	30,301,593	-	-	-
Federal Funds	1,325,035	1,614,126	-	-	-
Restricted Receipts	581,038	628,151	-	-	-
Other Funds	3,419,065	3,325,336	-	-	-
Total Expenditures	\$36,013,146	\$35,869,206	-	-	-

The Program

Department Of Administration Capital Projects and Property Management

Program Mission

To provide for quality construction and major renovation of State facilities; to assess the State's space needs for governmental activities, allocate space and develop a strategic plan for the use of capital assets; to facilitate services to the public by providing healthy, safe, secure and accessible service environments and employee workplaces; and to assure fairness, transparency and good value in developing and securing property for the State's use through lease or purchase.

Program Description

The Division of Capital Projects and Property Management is responsible for the planning, design and construction of new state facilities and the major renovation of existing buildings. The Division conducts feasibility studies, hires architects, engineers and other design professionals, retain services of building contractors through state's purchasing bidding process, and oversees projects from design conception through turnover of building construction/renovation to the end user agency. It also solicits, negotiates and administers real estate purchases and/or leases. The Division conducts ongoing assessments of the State's space needs for governmental activities, allocates space and oversees capital planning and development. This program merged into the Capital Asset Maintenance and Management program in FY 2017.

Statutory History

Executive Order 04-04 establishes the Division of Capital Projects and Property Management.

The Budget

Department Of Administration Capital Projects and Property Management

	2015 Audited	2016 Audited	2017 Enacted	2017 Revised	2018 Recommend
Expenditures By Subprogram					
Capital Projects	1,224,415	1,580,800	-	-	-
Total Expenditures	\$1,224,415	\$1,580,800	-	-	-
Expenditures By Object					
Personnel	1,202,052	1,553,866	-	-	-
Operating Supplies and Expenses	20,082	25,902	-	-	-
Subtotal: Operating Expenditures	1,222,134	1,579,768	-	-	-
Capital Purchases and Equipment	2,281	1,032	-	-	-
Total Expenditures	\$1,224,415	\$1,580,800	-	-	-
Expenditures By Funds					
General Revenue	1,224,415	1,580,800	-	-	-
Total Expenditures	\$1,224,415	\$1,580,800	-	-	-

The Program

Department Of Administration Information Technology

Program Mission

To enable State Government to meet its goals effectively and efficiently by providing leadership in the application of information technology and delivering secure, innovative, and reliable technology solutions in the most responsive and effective manner; and to continually address the ever changing information technology landscape to improve access to information, to stimulate business activity and to ultimately address the needs of all Rhode Island citizens.

The duties of ETSS are to:

- Manage the implementation of all new and mission critical technology infrastructure projects and upgrades for state agencies. The office of information technology, under ETSS, shall manage and support all day-to-day operations of the state's technology infrastructure, telecommunications, and associated applications.
- Manage the office of digital excellence in order to ensure that large scale technology projects are delivered in a timely manner in accordance with accepted best industry practices.
- To oversee the chief of library services and the office of library and information services to ensure that this office fulfills its statutory duties in an effective manner.
- Coordinate efforts with the director of administration in order to plan, allocate and implement projects supported by the information technology investment fund.
- Supervise all intellectual property created as a result of work undertaken by employees of ETSS to ensure that ownership of this intellectual property remains with the state. Any patents applied for shall be in the name of the state.

Program Description

The Division of Enterprise Technology Strategy and Service, which shall include the office of information technology, the office of digital excellence (ODE), and the office of library and information services (OLIS). Within ETSS, there shall be a chief digital officer in the unclassified service who shall oversee and manage the division and shall be appointed by the director of administration. Any prior reference in statute to the division of information technology shall now mean ETSS. The chief digital officer shall supervise the state's chief information officer, chief technology officer, chief information security officer, the directors of information technology and all associated employees. The focus of ETSS will be to lead the strategic technology decisions and efforts across all of the executive branch state agencies, identify opportunities to implement technology solutions across state agencies to prevent duplication of systems and effort, as well as effectively support these solutions in an efficient manner.

Statutory History

April 29, 2004 Executive Order 04-06 establishes and defines the duties and responsibilities of the Division of Information Technology (DoIT) within the Department of Administration. § 42-11-2.5 Information technology investment fund established. § 42-11-2.6 Establishment of Office of Digital Excellence. § 42-11-2.7 July, 2016 Division of enterprise technology strategy and service established by General Assembly.

The Budget

Department Of Administration Information Technology

	2015 Audited	2016 Audited	2017 Enacted	2017 Revised	2018 Recommend
Expenditures By Subprogram					
Executive Director-CIO	481,417	335,673	3,280,799	3,207,656	3,262,130
Information Technology	7,424,268	6,994,700	10,880,217	17,028,293	11,566,832
IT Centralization	10,459,642	10,521,162	7,614,873	8,034,300	7,935,881
Enterprise Technology, Strategy & Service	-	-	620,824	337,314	337,692
Doit Project Management	-	-	926,060	859,653	1,071,936
Doit Operations	16,669,906	17,625,553	12,371,018	14,132,998	14,155,953
Networking And Security	-	-	5,599,510	3,992,625	3,948,295
Total Expenditures	\$35,035,233	\$35,477,088	\$41,293,301	\$47,592,839	\$42,278,719
Expenditures By Object					
Personnel	26,357,813	25,560,057	26,556,908	26,834,122	26,996,708
Operating Supplies and Expenses	6,132,486	6,965,963	5,775,293	5,747,617	6,270,911
Subtotal: Operating Expenditures	32,490,299	32,526,020	32,332,201	32,581,739	33,267,619
Capital Purchases and Equipment	2,544,934	2,951,068	8,961,100	15,011,100	9,011,100
Total Expenditures	\$35,035,233	\$35,477,088	\$41,293,301	\$47,592,839	\$42,278,719
Expenditures By Funds					
General Revenue	18,937,202	19,579,828	21,840,562	21,816,921	22,146,644
Federal Funds	6,598,601	6,703,883	6,778,053	6,847,151	6,655,755
Restricted Receipts	6,720,790	6,543,175	9,903,237	16,208,130	10,777,319
Other Funds	2,778,640	2,650,202	2,771,449	2,720,637	2,699,001
Total Expenditures	\$35,035,233	\$35,477,088	\$41,293,301	\$47,592,839	\$42,278,719

Personnel

Department Of Administration Information Technology

	Grade	FY 2017		FY 2018	
		FTE	Cost	FTE	Cost
Classified					
ENTERPRISE RESOURCE MANAGER (ORACLE ERP)	00142A	1.0	129,816	1.0	129,816
ASSISTANT DIRECTOR CENTRAL INFORMATION	00143A	3.0	383,065	3.0	383,065
ASSISTANT DIRECTOR FOR SPECIAL PROJECTS	00141A	4.0	469,122	4.0	469,122
INFORMATION TECHNOLOGY PROJECT MANAGER	00143A	5.0	536,977	5.0	553,072
ADMINISTRATOR MANAGEMENT INFORMATION	00140A	10.0	1,073,597	10.0	1,083,115
PROGRAMMER/ANALYST MANAGER	00138A	9.0	896,220	9.0	896,220
PROGRAMMER/ANALYST III (UNIX/SQL)	00135A	1.0	99,146	1.0	99,146
TECHNICAL SUPPORT MANAGER	00138A	6.0	594,376	6.0	594,376
TECHNICAL SUPPORT SPECIALIST III	00035A	1.0	98,442	1.0	98,442
SYSTEMS ADMINISTRATOR (MHRH)	00139A	4.0	392,057	4.0	392,057
PROGRAMMER/ANALYST III (ORACLE)	02835A	1.0	95,269	1.0	95,269
TECHNICAL SUPPORT SPECIALIST III	00335A	2.0	189,920	2.0	189,920
TECHNICAL SUPPORT SPECIALIST II (OS	00A32A	1.0	92,516	1.0	92,516
ENTERPRISE RESOURCE ADMINISTRATOR	00140A	1.0	91,517	1.0	91,517
TECHNICAL SUPPORT SPECIALIST III (DOS/MVS)	00135A	2.0	182,713	2.0	182,713
PROGRAMMER/ANALYST III (COBOL/CICS)	00835A	8.0	718,544	8.0	722,556
PROGRAMMER/ANALYST III (UNIX/SQL)	00835A	6.0	530,170	6.0	533,547
DATABASE MANAGER (DB2)	00338A	1.0	88,313	1.0	88,313
TECHNICAL SUPPORT SPECIALIST II	00032A	3.0	263,334	3.0	263,334
PROGRAMMER/ANALYST II (ORACLE)	00332A	1.0	86,249	1.0	86,249
PROGRAMMER/ANALYST III (ORACLE)	00835A	2.0	171,702	2.0	174,324
PRINCIPAL PROGRAMMER/ANALYST (OIP)	00331A	1.0	85,589	1.0	85,589
STATEWIDE INFORMATION TECHNOLOGY	00135A	1.0	85,266	1.0	85,266
PROGRAMMER/ANALYST III (UNIX/SQL)	00035A	2.0	170,058	2.0	172,771
INTERDEPARTMENTAL PROJECT MANAGER	00139A	2.0	169,840	2.0	180,443
TECHNICAL SUPPORT SPECIALIST III	00135A	13.0	1,103,388	13.0	1,111,323
PROGRAMMER/ANALYST II (ADABAS/NATURAL)	00332A	2.0	169,646	2.0	169,646
PROGRAMMER/ANALYST II (ORACLE)	00032A	1.0	84,642	1.0	84,642
PROGRAMMER/ANALYST II (UNIX/SQL)	00332A	10.0	827,814	10.0	827,814
TECHNICAL SUPPORT SPECIALIST II (DOS/MVS)	00332A	2.0	162,735	2.0	162,735
PROGRAMMER/ANALYST II (COBOL/CICS)	00332A	4.0	319,955	4.0	319,955
PROGRAMMER/ANALYST I (ADABAS/NATURAL)	00A28A	1.0	79,762	1.0	79,762
TECHNICAL SUPPORT SPECIALIST II (OS	00332A	2.0	158,254	2.0	161,826
PRINCIPAL ENVIRONMENTAL PLANNER	00329A	1.0	78,581	1.0	78,581
WEB DEVELOPMENT MANAGER	00135A	3.0	235,663	3.0	241,257
TECHNICAL SUPPORT SPECIALIST II	00132A	3.0	235,427	3.0	235,427
TECHNICAL SUPPORT SPECIALIST II	00332A	19.0	1,480,695	19.0	1,486,046
PROGRAMMER/ANALYST II (ORACLE)	00132A	3.0	231,032	3.0	231,032
DATABASE ADMINISTRATOR ADABAS/NATURAL	00135A	1.0	75,681	1.0	79,122
PROGRAMMER/ANALYST II (UNIX/SQL)	00132A	2.0	151,269	2.0	158,122
CHIEF IMPLEMENTATION AIDE	00128A	1.0	74,829	1.0	74,829
TECHNICAL SUPPORT SPECIALIST I	00128A	1.0	70,773	1.0	70,773
PROGRAMMER/ANALYST I (COBOL/CICS)	00328A	3.0	210,424	3.0	210,538
ASSISTANT SUPERVISOR COMPUTER OPERATIONS	00327A	2.0	137,095	2.0	140,355
SUPERVISOR COMPUTER OPERATIONS	00328A	2.0	133,506	2.0	133,506

Personnel

Department Of Administration Information Technology

	Grade	FY 2017		FY 2018	
		FTE	Cost	FTE	Cost
PROGRAMMER/ANALYST I (UNIX/SQL)	00328A	5.0	325,695	5.0	325,809
ASSISTANT SUPERVISOR COMPUTER OPERATIONS	00827A	2.0	128,064	2.0	128,064
PROGRAMMER/ANALYST I (ORACLE)	00328A	1.0	61,473	1.0	61,473
TECHNICAL SUPPORT SPECIALIST I	00328A	5.0	305,738	5.0	308,805
RECORDS ANALYST	00324A	1.0	55,462	1.0	55,462
JUNIOR ELECTRONIC COMPUTER PROGRAMMER	00320A	1.0	54,943	1.0	54,943
PRINCIPAL COMPUTER OPERATOR (OIP)	00324A	1.0	54,095	1.0	54,095
SYSTEMS SUPPORT TECHNICIAN II	00321A	7.0	371,470	7.0	371,470
SYSTEMS SUPPORT TECHNICIAN III	00324A	2.0	104,514	2.0	104,514
SENIOR COMPUTER OPERATOR (OIP)	00322A	3.0	151,580	3.0	153,430
SYSTEMS SUPPORT TECHNICIAN I	00318A	2.0	97,892	2.0	97,892
INFORMATION SERVICES TECHNICIAN I	00316A	1.0	48,237	1.0	48,237
JUNIOR COMPUTER OPERATOR (OIP)	00313A	1.0	41,995	1.0	43,228
COMPUTER OPERATOR (OIP)	00316A	5.0	204,805	5.0	206,784
Subtotal		191.0	\$15,720,952	191.0	\$15,814,255
Unclassified					
CHIEF DIGITAL OFFICER	00856A	1.0	205,706	1.0	205,706
CHIEF INFORMATION OFFICER	00848A	1.0	146,026	1.0	146,026
DATA PROCESSING SYSTEMS MANAGER	00836A ⁵	-	-	1.0	104,024
Subtotal		2.0	\$351,732	3.0	\$455,756
Cost Allocation from Other Programs		-	467,353	-	457,701
Cost Allocation to Other Programs		-	(66,855)	-	(82,282)
Interdepartmental Transfer		-	(162,862)	-	618
Overtime		-	360,400	-	360,400
Turnover		-	(1,077,331)	-	(966,675)
Subtotal		-	(\$479,295)	-	(\$230,238)
Total Salaries		193.0	\$15,593,389	194.0	\$16,039,773
Benefits					
Payroll Accrual			87,748		90,139
Holiday			21,153		21,153
FICA			1,149,916		1,189,711
Retiree Health			910,697		942,137
Health Benefits			2,721,158		2,825,946
Retirement			3,974,209		4,054,333
Subtotal			\$8,864,881		\$9,123,419
Total Salaries and Benefits		193.0	\$24,458,270	194.0	\$25,163,192
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$126,727		\$129,707
Statewide Benefit Assessment			\$698,677		\$685,789
Payroll Costs		193.0	\$25,156,947	194.0	\$25,848,981

Personnel

Department Of Administration Information Technology

	Grade	FY 2017		FY 2018	
		FTE	Cost	FTE	Cost
Purchased Services					
Information Technology			1,651,600		1,122,152
Clerical and Temporary Services			500		500
Other Contracts			25,075		25,075
Subtotal			\$1,677,175		\$1,147,727
Total Personnel		193.0	\$26,834,122	194.0	\$26,996,708
Distribution By Source Of Funds					
General Revenue		127.7	\$17,740,781	127.7	\$18,113,754
Federal Funds		40.9	\$5,792,078	41.9	\$5,608,291
Restricted Receipts		7.5	\$1,017,473	7.5	\$1,008,462
Other Funds		16.9	\$2,283,790	16.9	\$2,266,201
Total All Funds		193.0	\$26,834,122	194.0	\$26,996,708

5 Transfer from Public Safety

The Program

Department Of Administration Library and Information Services

Program Mission

Enforce public library and library network standards and exercise general coordination and supervision over interlibrary cooperation and resource sharing in the state, including interlibrary loan and delivery.

Distribute state funds for public library development, interlibrary cooperation, and resource sharing in accordance with law and regulation of the library board.

Give assistance, advice, continuing education, and counsel to public libraries and to participants in interlibrary cooperation and resource sharing activities.

Maintain and develop the Library of Rhode Island Network.

Promote library development statewide by providing for various state and federal grant programs to public and institutional libraries, including funds distributed under the federal Library Services and Technology Act.

Provide library services to individuals with disabilities through the Talking Books Plus program, including the RI Regional Library for the Blind and Physically Handicapped of the Library of Congress National Library Service.

Provide for statewide reference service through online services of "AskRI".

Coordinate with all other state departments and agencies in the provision of library services to state government and to the public.

Program Description

The Office of Library and Information Services, under the direction of the Chief of Library Services, ensures the maintenance and improvement of library and information services to the residents of the state through the development of interlibrary collaboration and resource sharing, provision of services to RI public, academic, health sciences, school and special libraries and their librarians, and the provision of library services to individuals on a statewide scale.

Statutory History

RIGL 29-3.1 establishes the statutory basis for the Office of Library and Information Services.

The Budget

Department Of Administration Library and Information Services

	2015 Audited	2016 Audited	2017 Enacted	2017 Revised	2018 Recommend
Expenditures By Subprogram					
Library Services	1,940,583	2,286,807	2,543,100	2,562,882	2,642,845
Total Expenditures	\$1,940,583	\$2,286,807	\$2,543,100	\$2,562,882	\$2,642,845
Expenditures By Object					
Personnel	1,231,857	1,306,878	1,517,617	1,511,593	1,601,995
Operating Supplies and Expenses	631,106	970,114	1,025,483	1,045,789	1,037,850
Assistance and Grants	77,620	-	-	-	-
Subtotal: Operating Expenditures	1,940,583	2,276,992	2,543,100	2,557,382	2,639,845
Capital Purchases and Equipment	-	9,815	-	5,500	3,000
Total Expenditures	\$1,940,583	\$2,286,807	\$2,543,100	\$2,562,882	\$2,642,845
Expenditures By Funds					
General Revenue	877,350	1,217,578	1,342,819	1,341,629	1,479,475
Federal Funds	1,063,233	1,069,279	1,200,253	1,215,753	1,157,870
Restricted Receipts	-	(50)	28	5,500	5,500
Total Expenditures	\$1,940,583	\$2,286,807	\$2,543,100	\$2,562,882	\$2,642,845

Personnel

Department Of Administration Library and Information Services

	Grade	FY 2017		FY 2018	
		FTE	Cost	FTE	Cost
Classified					
CHIEF OF LIBRARY SERVICES	00143A	1.0	118,578	1.0	123,607
LIBRARY PROGRAM MANAGER I	00137A	2.0	175,716	2.0	179,216
LIBRARY PROGRAM SPECIALIST III	0AB32A	4.0	331,284	4.0	334,735
LIBRARY PROGRAM SPECIALIST II	0AB28A	2.0	135,419	2.0	137,451
INFORMATION SERVICES TECHNICIAN II	0AB20A	3.0	146,971	3.0	146,971
ASSISTANT ADMINISTRATIVE OFFICER	0AB21A	1.0	46,396	1.0	47,228
Subtotal		13.0	\$954,364	13.0	\$969,208
Turnover		-	(58,115)	-	-
Subtotal		-	(\$58,115)	-	-
Total Salaries		13.0	\$896,249	13.0	\$969,208
Benefits					
Payroll Accrual			5,180		5,590
FICA			68,564		74,200
Retiree Health			53,507		57,961
Health Benefits			182,667		176,767
Retirement			234,046		250,085
Subtotal			\$543,964		\$564,603
Total Salaries and Benefits		13.0	\$1,440,213	13.0	\$1,533,811
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$110,786		\$117,985
Statewide Benefit Assessment			\$41,380		\$43,184
Payroll Costs		13.0	\$1,481,593	13.0	\$1,576,995
Purchased Services					
Training and Educational Services			30,000		25,000
Subtotal			\$30,000		\$25,000
Total Personnel		13.0	\$1,511,593	13.0	\$1,601,995
Distribution By Source Of Funds					
General Revenue		7.0	\$773,590	7.0	\$844,958
Federal Funds		6.0	\$738,003	6.0	\$757,037
Total All Funds		13.0	\$1,511,593	13.0	\$1,601,995

The Program

Department Of Administration Planning

Program Mission

To administer technical and financial assistance to and supervise selected activities of cities and towns in organization, planning, and management; to prepare, maintain, and encourage implementation of strategic plans and the State Guide Plan; to coordinate activities among state agencies, and economic development.

Program Description

The Division of Planning is comprised of three subprograms: Statewide Planning, Strategic Planning and Economic Development, and the Water Resources Board.

Statewide Planning is responsible for preparing, adopting, and amending strategic plans for the physical, economic, and social development of the state. It also includes the State Planning Council, which is comprised of state and local government officials, public members, and federal officials in an advisory capacity and serves as the State's Metropolitan Planning Organization responsible for the planning and prioritizing of state and federal transportation funding.

Strategic Planning and Economic Development is involved in all major interest areas using policy analysis and the planning process to prepare issue briefs and draft strategic plans that address current topics of special interest. It also is responsible for the development of the Comprehensive Economic Development Strategy (CEDS) and receives support from the federal Economic Development Administration.

The Water Resources Board (WRB) is the State's water supply agency responsible for the proper use, development, protection and conservation of the State's water resources. The WRB manages the planning and development of the twenty nine major water supply systems and manages the Big River Management Area, the State's primary water resource reservation area. The WRB also performs scientific research and capital projects required to fulfill its mission.

Statutory History

R.I.G.L. 42-11 includes provisions relative to the division of planning. Other legal references are provided in Titles 1, 16, 22, 23, 34, 35, 37, 42, 44, 45 and 46.

The Budget

Department Of Administration Planning

	2015 Audited	2016 Audited	2017 Enacted	2017 Revised	2018 Recommend
Expenditures By Subprogram					
Statewide Planning	3,749,279	3,521,952	5,354,825	5,198,683	5,502,111
Housing and Comm. Development	12,611,626	(3)	-	-	-
Total Expenditures	\$16,360,905	\$3,521,949	\$5,354,825	\$5,198,683	\$5,502,111
Expenditures By Object					
Personnel	4,260,048	2,644,113	3,086,909	2,906,456	3,233,195
Operating Supplies and Expenses	123,448	126,073	102,663	126,974	103,663
Assistance and Grants	11,959,024	730,383	2,154,200	2,154,200	2,154,200
Subtotal: Operating Expenditures	16,342,520	3,500,569	5,343,772	5,187,630	5,491,058
Capital Purchases and Equipment	18,385	21,380	11,053	11,053	11,053
Total Expenditures	\$16,360,905	\$3,521,949	\$5,354,825	\$5,198,683	\$5,502,111
Expenditures By Funds					
General Revenue	1,939,378	1,195,042	1,341,758	1,124,371	1,271,483
Federal Funds	9,702,837	585,147	1,014,317	24,311	1,000
Restricted Receipts	2,989,425	-	-	-	-
Operating Transfers from Other Funds	1,729,265	1,741,760	2,998,750	4,050,001	4,229,628
Total Expenditures	\$16,360,905	\$3,521,949	\$5,354,825	\$5,198,683	\$5,502,111

Personnel

Department Of Administration Planning

	Grade	FY 2017		FY 2018	
		FTE	Cost	FTE	Cost
Classified					
ASSOCIATE DIRECTOR, DIVISION OF PLANNING	00144A	1.0	115,481	1.0	117,128
STAFF DIRECTOR (WATER RESOURCES BOARD)	00137A	1.0	100,069	1.0	100,069
CHIEF OFFICE OF SYSTEMS PLANNING	00138A	1.0	90,239	1.0	90,239
ASSISTANT CHIEF OF PLANNING	00137A	2.0	166,993	2.0	169,908
SUPERVISING CIVIL ENGINEER	00335A	1.0	81,787	1.0	81,787
SUPERVISING PLANNER	00831A	4.0	308,997	4.0	308,997
GEOGRAPHIC INFORMATION SYSTEM SPECIALIST II	00328A	1.0	67,621	1.0	67,621
PRINCIPAL PLANNER	00829A	8.0	514,510	8.0	517,232
FISCAL MANAGEMENT OFFICER	00B26A	1.0	63,303	1.0	63,303
PRINCIPAL RESEARCH TECHNICIAN	00827A	1.0	59,709	1.0	59,709
SENIOR PLANNER	00326A	2.0	119,412	2.0	119,412
INFORMATION SERVICES TECHNICIAN II	0AB20A	1.0	55,494	1.0	55,494
EXECUTIVE ASSISTANT	00318A	1.0	48,151	1.0	48,151
Subtotal		25.0	\$1,791,766	25.0	\$1,799,050
Unclassified					
GENERAL MANAGER (RI WATER RESOURCES)	00839A	1.0	110,257	1.0	110,257
Subtotal		1.0	\$110,257	1.0	\$110,257
Cost Allocation from Other Programs		-	13,247	-	13,247
Interdepartmental Transfer		-	(5,843)	-	-
Turnover		-	(372,617)	-	(139,461)
Subtotal		-	(\$365,213)	-	(\$126,214)
Total Salaries		26.0	\$1,536,810	26.0	\$1,783,093
Benefits					
Payroll Accrual			8,808		10,210
FICA			116,629		135,469
Retiree Health			91,749		106,628
Health Benefits			319,584		396,758
Retirement			402,303		461,216
Subtotal			\$939,073		\$1,110,281
Total Salaries and Benefits		26.0	\$2,475,883	26.0	\$2,893,374
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$95,226		\$111,284
Statewide Benefit Assessment			\$71,573		\$80,821
Payroll Costs		26.0	\$2,547,456	26.0	\$2,974,195

Personnel

Department Of Administration Planning

	Grade	FY 2017		FY 2018	
		FTE	Cost	FTE	Cost
Purchased Services					
Management & Consultant Services			304,000		204,000
Design and Engineering Services			55,000		55,000
Subtotal			\$359,000		\$259,000
Total Personnel		26.0	\$2,906,456	26.0	\$3,233,195
Distribution By Source Of Funds					
General Revenue		10.4	\$1,073,591	10.4	\$1,220,703
Operating Transfers from Other Funds		15.6	\$1,832,865	15.6	\$2,012,492
Total All Funds		26.0	\$2,906,456	26.0	\$3,233,195

The Program

Department Of Administration Personnel and Operational Reforms

Program Mission

To address funding requirements associated with personnel and operating reforms throughout the state.

Program Description

This program is used to book proposed savings associated with personnel and operating reforms throughout the state. Often these savings give the state the ability to provide government with flexibility in hiring and managing personnel as well as address structural challenges. Operational reforms promote more efficiencies in state government and provide savings.

Statutory History

The Governor recommends these proposals as part of the FY 2018 Appropriations Act.

The Budget

Department Of Administration Personnel and Operational Reforms

	2015 Audited	2016 Audited	2017 Enacted	2017 Revised	2018 Recommend
Expenditures By Subprogram					
Reduction in Force Savings	-	-	(1,966,421)	(966,421)	(5,430,124)
Total Expenditures	-	-	(\$1,966,421)	(\$966,421)	(\$5,430,124)
Expenditures By Object					
Operating Supplies and Expenses	-	-	(1,966,421)	(966,421)	(5,430,124)
Subtotal: Operating Expenditures	-	-	(1,966,421)	(966,421)	(5,430,124)
Total Expenditures	-	-	(\$1,966,421)	(\$966,421)	(\$5,430,124)
Expenditures By Funds					
General Revenue	-	-	(1,966,421)	(966,421)	(5,430,124)
Total Expenditures	-	-	(\$1,966,421)	(\$966,421)	(\$5,430,124)

The Program

Department Of Administration Energy Resources

Program Mission

The mission of the Office of Energy Resources (OER) is to lead Rhode Island to a secure, cost-effective, and sustainable energy future. OER is actively supporting the development of a clean energy economy that will create jobs; foster new business investment opportunities; reduce long-term energy costs and mitigate price volatility; and enhance reliability through the diversification of our energy portfolio; and advance important environmental policy goals by reducing our reliance on carbon emitting sources of energy. OER's work involves the adoption of policies addressing energy efficiency and system reliability, renewable energy, petroleum reduction and reduction of carbon emissions. OER works at all levels - local, state, regional, and federal - to advance these and other State energy policy goals.

To ensure that the federal programs overseen by the Office are up-to date in terms of administrative practices and are efficiently organized and implemented. To seek federal funding opportunities and implement grant-funded programs that establish Rhode Island as a national leader on energy policy and innovation.

To work in partnership with municipalities and with the DOA Division of Capital Assets, Management and Maintenance to reduce public sector energy consumption and costs; increase the use of clean energy resources at public facilities; and reduce public sector carbon emissions. OER is also responsible for the tracking and management of State energy data.

To lead, coordinate, and strengthen energy planning in Rhode Island by working in collaboration with various agencies and commissions including, but not limited to, the Energy Efficiency Resource Management Council (EERMC), the Distributed Generation Contracting Board (DG Board), the Executive Climate Change Coordinating Council, the State Planning Council, CommerceRI, the Department of Environmental Management, the Department of Administration, the Division of Public Utilities and Carriers, and the Public Utilities Commission.

To support and manage the development of energy efficiency and renewable energy programs in the state in a coordinated integrated manner.

To develop the Rhode Island Energy Assurance Plan to prepare for and respond to energy emergencies and enhance energy security.

Program Description

OER provides comprehensive and integrated development, administration and oversight of energy policies, plans, and programs to meet state and federal requirements and is responsible for applying for and administering federal energy grants. OER works closely with two appointed bodies, the EERMC and the DG Board. . The EERMC provides publicly-accountable ratepayer oversight of energy efficiency programs; the OER Commissioner serves as the non-voting Executive Director of the EERMC. The DG Board oversees the Rhode Island Renewable Energy Growth Program and includes representatives from various energy stakeholder groups..

Statutory History

OER was created in 2006 to consolidate state energy programs. OER's mission expanded in 2012 as outlined in R.I.G.L. 23-82-6, 39-1-27.7 through 10, and 42-140.1.

The Budget

Department Of Administration Energy Resources

	2015 Audited	2016 Audited	2017 Enacted	2017 Revised	2018 Recommend
Expenditures By Subprogram					
Energy Resources	6,297,720	16,613,909	12,918,016	16,709,448	12,516,361
Total Expenditures	\$6,297,720	\$16,613,909	\$12,918,016	\$16,709,448	\$12,516,361
Expenditures By Object					
Personnel	1,397,112	1,558,174	1,633,795	2,321,654	2,220,727
Operating Supplies and Expenses	91,095	309,339	102,686	126,976	126,867
Assistance and Grants	4,807,289	14,525,962	11,180,535	14,255,818	10,163,767
Subtotal: Operating Expenditures	6,295,496	16,393,475	12,917,016	16,704,448	12,511,361
Capital Purchases and Equipment	2,224	220,434	1,000	5,000	5,000
Total Expenditures	\$6,297,720	\$16,613,909	\$12,918,016	\$16,709,448	\$12,516,361
Expenditures By Funds					
General Revenue	-	-	-	-	250,000
Federal Funds	589,333	406,217	397,040	571,400	723,171
Restricted Receipts	5,708,387	16,207,692	12,520,976	16,138,048	11,543,190
Total Expenditures	\$6,297,720	\$16,613,909	\$12,918,016	\$16,709,448	\$12,516,361

Personnel

Department Of Administration Energy Resources

	Grade	FY 2017		FY 2018	
		FTE	Cost	FTE	Cost
Classified					
DEPUTY COMMISSIONER, OFFICE OF ENERGY	00145A	1.0	118,078	1.0	121,255
INTERDEPARTMENTAL PROJECT MANAGER	00139A	1.0	111,283	1.0	111,283
ADMINISTRATOR, FINANCIAL MANAGEMENT	00137A	1.0	102,506	1.0	102,506
CHIEF PROGRAM DEVELOPMENT	00134A	6.0	449,383	6.0	463,399
PROGRAMMING SERVICES OFFICER	00131A	1.0	69,750	1.0	69,750
CHIEF IMPLEMENTATION AIDE	00128A	1.0	55,879	1.0	57,871
PRINCIPAL INFORMATION AND PUBLIC RELATIONS	00126A	1.0	50,940	1.0	52,600
Subtotal		12.0	\$957,819	12.0	\$978,664
Unclassified					
COMMISSIONER OF ENERGY RESOURCES	00843A	1.0	115,030	1.0	119,802
Subtotal		1.0	\$115,030	1.0	\$119,802
Cost Allocation from Other Programs		-	44,536	-	46,983
Cost Allocation to Other Programs		-	(20,501)	-	(20,501)
Temporary and Seasonal		-	8,190	-	8,190
Turnover		-	(34,784)	-	(1)
Subtotal		-	(\$2,559)	-	\$34,671
Total Salaries		13.0	\$1,070,290	13.0	\$1,133,137
Benefits					
Payroll Accrual			6,105		6,520
FICA			81,250		86,060
Retiree Health			63,406		67,274
Health Benefits			148,305		168,619
Retirement			277,652		290,283
Subtotal			\$576,718		\$618,756
Total Salaries and Benefits		13.0	\$1,647,008	13.0	\$1,751,893
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$126,063		\$134,131
Statewide Benefit Assessment			\$51,229		\$53,826
Payroll Costs		13.0	\$1,698,237	13.0	\$1,805,719
Purchased Services					
Clerical and Temporary Services			57,179		98,358
Management & Consultant Services			250,000		125,000
Other Contracts			316,238		191,650
Subtotal			\$623,417		\$415,008
Total Personnel		13.0	\$2,321,654	13.0	\$2,220,727
Distribution By Source Of Funds					
Federal Funds		1.8	\$263,374	1.8	\$270,254
Restricted Receipts		11.2	\$2,058,280	11.2	\$1,950,473
Total All Funds		13.0	\$2,321,654	13.0	\$2,220,727

The Program

Department Of Administration

Construction Permitting, Approvals and Licensing

Program Mission

To provide for quality construction in all buildings by the adoption of a statewide model and uniform building codes, as well as utilizing licensed and registered contractors. To protect the public from unscrupulous building contractors and regulating the industry in order to assure fairness, safety and transparency. Develop and administer a comprehensive fire code for the State of Rhode Island.

Program Description

Building Code Commission is responsible for adopting, promulgating, and administering a state building code for the purpose of regulating the design, construction, and use of buildings or structures. The Commission oversees the approval of state projects for conformance to ADA and accessibility standards and building codes. The commission conducts a variety of training programs for code officials and building trade's people.

Contractors' Registration and Licensing Board provides a dispute resolution process that criminally prosecutes cases when not resolved through the Attorney General's Office in District Court. The Board establishes standards and enforces the rules and regulations enacted.

Fire Code Board of Appeal and Review adopts fire safety codes, provides hearings for code appeals and disseminates training and technical assistance to local governmental authorities.

Statutory History

RIGL 5-65-15.1 establishes the duties, authority and responsibility of the State Building Commission. RIGL 23-27.3-100 et. al. establishes statutory provisions used in conjunction with additional regulations and administrative provisions contained within the individual codes.

RIGL 5-65 et. El., establishes and sets the legislative operating authority for the Contractors' Registration and Licensing Board. Chapter 5-73 sets regulations and the Boards authority over roofing contractors. Chapter 5-65.1 regulates Home Inspectors, which falls under the jurisdiction of the Board; and Chapter 5-65.2 governs the well drillers.

RIGL 23-28.3-1 governs the Fire Code Board of Appeal and Review.

The Budget

Department Of Administration Construction Permitting, Approvals and Licensing

	2015 Audited	2016 Audited	2017 Enacted	2017 Revised	2018 Recommend
Expenditures By Subprogram					
Operations	2,571,152	2,630,687	3,263,975	3,528,328	3,593,573
Total Expenditures	\$2,571,152	\$2,630,687	\$3,263,975	\$3,528,328	\$3,593,573
Expenditures By Object					
Personnel	2,446,666	2,514,395	2,902,192	3,149,215	3,314,960
Operating Supplies and Expenses	108,389	102,399	341,783	359,113	258,613
Subtotal: Operating Expenditures	2,555,055	2,616,794	3,243,975	3,508,328	3,573,573
Capital Purchases and Equipment	16,097	13,893	20,000	20,000	20,000
Total Expenditures	\$2,571,152	\$2,630,687	\$3,263,975	\$3,528,328	\$3,593,573
Expenditures By Funds					
General Revenue	1,381,616	1,543,702	1,823,455	2,149,292	2,155,703
Restricted Receipts	1,189,536	1,086,985	1,440,520	1,379,036	1,437,870
Total Expenditures	\$2,571,152	\$2,630,687	\$3,263,975	\$3,528,328	\$3,593,573

Personnel

Department Of Administration

Construction Permitting, Approvals and Licensing

	Grade	FY 2017		FY 2018	
		FTE	Cost	FTE	Cost
Classified					
STATE BUILDING CODE COMMISSIONER	00142A	1.0	107,615	1.0	107,615
EXECUTIVE DIRECTOR CONTRACTORS'	00837A	1.0	105,648	1.0	105,648
EXECUTIVE DIRECTOR FIRE SAFETY CODE OF	03640A	1.0	100,311	1.0	103,183
SUPERVISING CIVIL ENGINEER	00335A	1.0	95,669	1.0	95,669
CHIEF STATE BUILDING CODE OFFICIAL	00332A	1.0	93,386	1.0	70,000
HEARING OFFICER CONTRACTORS' REGISTRATION	00334A	1.0	92,529	1.0	92,529
ARCHITECT BUILDING COMMISSION	00335A	2.0	179,908	2.0	179,908
CHIEF OF INSPECTIONS	00135A	2.0	158,386	2.0	178,861
PRINCIPAL STATE BUILDING CODE OFFICIAL	00331A	3.0	219,107	3.0	206,076
PRINCIPAL STATE BUILDING CODE OFFICIAL	00331A	1.0	69,750	1.0	70,000
PROGRAMMING SERVICES OFFICER	00131A	1.0	65,000	1.0	69,750
SENIOR STATE BUILDING CODE OFFICIAL	00328A	3.0	184,192	3.0	184,419
IMPLEMENTATION AIDE	00322A	2.0	113,186	2.0	113,186
SYSTEMS SUPPORT TECHNICIAN I	00318A	1.0	50,254	1.0	50,254
ENFORCEMENT AIDE	00319A	3.0	147,507	3.0	149,292
LICENSING AIDE	00315A	1.0	47,095	1.0	47,095
Subtotal		25.0	\$1,829,543	25.0	\$1,823,485
Unclassified					
ADMINISTRATIVE ASSISTANT TO ATTORNEY	00126A	1.0	65,000	1.0	93,386
ASSISTANT ADMINISTRATIVE OFFICER	04521A	1.0	50,503	1.0	52,070
ADMINISTRATIVE AIDE	04514A	1.0	40,568	1.0	40,568
Subtotal		3.0	\$156,071	3.0	\$186,024
Turnover		-	(128,167)	-	-
Subtotal		-	(\$128,167)	-	-
Total Salaries		28.0	\$1,857,447	28.0	\$2,009,509
Benefits					
Payroll Accrual			10,741		11,594
FICA			142,092		153,723
Retiree Health			110,890		120,165
Health Benefits			345,854		401,147
Retirement			492,223		525,550
Subtotal			\$1,101,800		\$1,212,179
Total Salaries and Benefits		28.0	\$2,959,247	28.0	\$3,221,688
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$105,687		\$115,060
Statewide Benefit Assessment			\$85,368		\$88,672
Payroll Costs		28.0	\$3,044,615	28.0	\$3,310,360

Personnel

Department Of Administration

Construction Permitting, Approvals and Licensing

	Grade	FY 2017		FY 2018	
		FTE	Cost	FTE	Cost
Purchased Services					
Clerical and Temporary Services			4,600		4,600
Other Contracts			100,000		-
Subtotal			\$104,600		\$4,600
Total Personnel		28.0	\$3,149,215	28.0	\$3,314,960
Distribution By Source Of Funds					
General Revenue		16.0	\$1,903,699	16.0	\$2,002,610
Restricted Receipts		12.0	\$1,245,516	12.0	\$1,312,350
Total All Funds		28.0	\$3,149,215	28.0	\$3,314,960

The Program

Department Of Administration

Rhode Island Health Benefits Exchange (HealthSource RI)

Program Mission

The Rhode Island Health Benefits Exchange, branded "HealthSource RI," serves two important purposes. First, to negotiate for high quality, affordable health insurance options on behalf of small businesses and individuals (in order to promote the health of all Rhode Islanders). Second, to be a robust resource for all Rhode Islanders and Rhode Island businesses to learn about and compare the quality and affordability of their health insurance options, enroll in coverage and, if eligible, access Medicaid or federal tax subsidies for coverage.

Program Description

HealthSource RI (HSRI) seeks to improve the health of Rhode Islanders by adhering to its mission statement and fulfilling its statutory charge to carry out the requirements of the federal Patient Protection and Affordable Care Act (ACA). The following are key programmatic components considered by the HSRI to best exemplify the goals and values of the organization:

- 1.HSRI will be a place to compare and buy health insurance.
- 2.HSRI will work closely with small employers to provide new and beneficial health insurance options.
- 3.HSRI will be a reliable and trusted source of healthcare information for all constituents.

Statutory History

The Patient Protection and Affordable Care Act (Pub. Law 111-148) (the "ACA") provides for the establishment of a Health Benefits Exchange by each State. The Rhode Island Health Benefits Exchange was established on September 19, 2011 by Executive Order 11-09.

The Budget

Department Of Administration Rhode Island Health Benefits Exchange (HealthSource RI)

	2015 Audited	2016 Audited	2017 Enacted	2017 Revised	2018 Recommend
Expenditures By Subprogram					
Operations	50,814,348	22,973,672	12,383,627	16,579,905	9,568,822
Total Expenditures	\$50,814,348	\$22,973,672	\$12,383,627	\$16,579,905	\$9,568,822
Expenditures By Object					
Personnel	50,127,953	22,478,549	11,907,994	16,194,097	9,162,112
Operating Supplies and Expenses	456,839	476,130	469,633	370,808	398,260
Subtotal: Operating Expenditures	50,584,792	22,954,679	12,377,627	16,564,905	9,560,372
Capital Purchases and Equipment	229,556	18,993	6,000	15,000	8,450
Total Expenditures	\$50,814,348	\$22,973,672	\$12,383,627	\$16,579,905	\$9,568,822
Expenditures By Funds					
General Revenue	-	2,625,838	2,625,841	2,625,841	2,625,841
Federal Funds	50,814,348	17,574,312	1,177,039	7,940,818	135,136
Restricted Receipts	-	2,773,522	8,580,747	6,013,246	6,807,845
Total Expenditures	\$50,814,348	\$22,973,672	\$12,383,627	\$16,579,905	\$9,568,822

Personnel

Department Of Administration

Rhode Island Health Benefits Exchange (HealthSource RI)

	Grade	FY 2017		FY 2018	
		FTE	Cost	FTE	Cost
Classified					
CHIEF OF STRATEGIC PLANNING, MONITORING	00143A	2.0	224,740	2.0	234,556
INTERDEPARTMENTAL PROJECT MANAGER	00139A	1.0	90,234	1.0	93,347
CHIEF OF LEGAL SERVICES	00139A	1.0	85,382	1.0	88,586
VALUE BASED PURCHASING ANALYST	00139A	1.0	85,382	1.0	88,586
CHIEF PUBLIC AFFAIRS OFFICER (DOT)	00137A	1.0	79,754	1.0	82,669
ASSISTANT ADMINISTRATOR, FINANCIAL	00134A	3.0	222,170	3.0	228,011
SENIOR ECONOMIC AND POLICY ANALYST	00134A	1.0	71,608	1.0	74,225
LEGAL COUNSEL	00132A	1.0	65,823	1.0	68,229
Subtotal		11.0	\$925,093	11.0	\$958,209
Unclassified					
DEPUTY DIRECTOR OF HEALTHSOURCE RI	08351A	1.0	159,413	1.0	160,329
DIRECTOR HEALTHSOURCE RI	00851A	1.0	149,879	1.0	154,647
CHIEF OF STRATEGIC PLANNING, MONITORING	00843A	1.0	122,186	1.0	112,370
SENIOR POLICY ANALYST	00839A	1.0	93,575	1.0	98,340
ADMINISTRATIVE ASSISTANT	00825A	1.0	54,757	1.0	56,694
Subtotal		5.0	\$579,810	5.0	\$582,380
Cost Allocation from Other Programs		-	9,241	-	9,241
Interdepartmental Transfer		-	(189,977)	-	(192,282)
Turnover		-	(46,104)	-	-
Subtotal		-	(\$226,840)	-	(\$183,041)
Total Salaries		16.0	\$1,278,063	16.0	\$1,357,548
Benefits					
Payroll Accrual			7,381		7,823
FICA			95,198		101,381
Retiree Health			76,299		81,182
Health Benefits			233,759		234,198
Retirement			336,722		352,933
Subtotal			\$749,359		\$777,517
Total Salaries and Benefits		16.0	\$2,027,422	16.0	\$2,135,065
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$126,714		\$133,442
Statewide Benefit Assessment			\$60,710		\$64,486
Payroll Costs		16.0	\$2,088,132	16.0	\$2,199,551

Personnel

Department Of Administration

Rhode Island Health Benefits Exchange (HealthSource RI)

	Grade	FY 2017		FY 2018	
		FTE	Cost	FTE	Cost
Purchased Services					
Information Technology			2,475,644		360,644
Management & Consultant Services			11,010,321		5,974,418
Other Contracts			620,000		627,499
Subtotal			\$14,105,965		\$6,962,561
Total Personnel		16.0	\$16,194,097	16.0	\$9,162,112
Distribution By Source Of Funds					
General Revenue		-	\$2,625,841	-	\$2,625,841
Federal Funds		1.0	\$7,940,818	1.0	\$135,136
Restricted Receipts		15.0	\$5,627,438	15.0	\$6,401,135
Total All Funds		16.0	\$16,194,097	16.0	\$9,162,112

The Program

Department Of Administration

The Office of Diversity, Equity and Opportunity

Program Mission

The mission of the Office of Diversity, Equity and Opportunity is to create and support a diverse and inclusive state government culture that values and reflects the changing demographics of Rhode Island by advancing equitable and fair opportunities for all Rhode Island citizens to be employed by and/or do business with the State of Rhode Island.

The mission of the State Equal Opportunity Office is to ensure equal opportunity compliance for all units of Rhode Island state government, as set forth by all federal agencies and Rhode Island General Laws, and to ensure non-discrimination and equal opportunity in all aspects of state government, including, but not limited to, employment, procurement, and policy relative to state programs, services, and activities.

The mission of the Minority Business Enterprise Compliance Office is to support Rhode Island General Laws and policies that ensure the fullest possible participation of MBEs and Disadvantaged Business Enterprises (DBE) in state- and federally-funded and directed programs, construction projects, professional contracts, and in the purchases of all goods and services.

The mission of the Outreach and Diversity Office is to create a culture that values a diverse workforce, promotes an inclusive workplace and provides quality customer service to all.

Program Description

The Office of Diversity, Equity and Opportunity includes an Associate Director, a senior-level leadership position to promote coordination between the State Equal Opportunity Office, the Outreach and Diversity Office, the Minority Business Enterprise Compliance Office, and all state agencies. The Office of Diversity, Equity and Opportunity works in partnership with the Division of Human Resources, the Division of Purchases, and with all state agencies on the state's equal opportunity, affirmative action, diversity, and MBE procurement goals.

The State Equal Opportunity Office is charged with providing training and technical assistance requested by any company doing business in Rhode Island and to all state departments as is necessary to comply with the intent of RIGL 28-5.1-2. The State Equal Opportunity Office is also charged with issuing any guidelines, directives or instructions necessary to effectuate its responsibilities under this chapter, and to investigate possible discrimination, hold hearings, and direct corrective action to said discrimination. The goals of the MBE Compliance Office are to increase the number of MBEs and DBEs available for contract competition, to maximize opportunity for business participation by MBEs and DBEs, and to stimulate MBE and DBE development and growth. The Outreach and Diversity Office offers diversity training to state employees and provides technical assistance in recruiting a talented and diverse workforce.

Statutory History

On May 9, 2013, Governor Lincoln D. Chafee issued Executive Order 13-05 entitled "Promotion of Diversity, Equal Opportunity and Minority Business Enterprises in Rhode Island." The Executive Order required the Director of the Department of Administration to review all divisions and offices within the Department charged with facilitation of equal opportunity employment, diversity, and MBE's, including, but not limited to, the Division of Human Resources, the State Equal Opportunity Office, the Human Resources Outreach and Diversity Office, the Division of Purchases, and the Minority Business Enterprise Compliance Office, and to make recommendations to the Governor to improve operations and collaboration between these offices and all executive departments to ensure these programs are more effective. The recommendations were required to encourage measures of quality and accountability in equal opportunity, affirmative action, and diversity hiring, as well as MBE procurement as allowed by law.

The Budget

Department Of Administration The Office of Diversity, Equity and Opportunity

	2015 Audited	2016 Audited	2017 Enacted	2017 Revised	2018 Recommend
Expenditures By Subprogram					
Operations	847,891	914,512	1,387,633	1,274,721	1,468,873
Total Expenditures	\$847,891	\$914,512	\$1,387,633	\$1,274,721	\$1,468,873
Expenditures By Object					
Personnel	828,300	856,150	1,263,973	1,152,286	1,346,438
Operating Supplies and Expenses	19,591	52,537	123,660	122,435	122,435
Subtotal: Operating Expenditures	847,891	908,687	1,387,633	1,274,721	1,468,873
Capital Purchases and Equipment	-	5,825	-	-	-
Total Expenditures	\$847,891	\$914,512	\$1,387,633	\$1,274,721	\$1,468,873
Expenditures By Funds					
General Revenue	762,783	830,850	1,294,640	1,224,597	1,382,250
Other Funds	85,108	83,662	92,993	50,124	86,623
Total Expenditures	\$847,891	\$914,512	\$1,387,633	\$1,274,721	\$1,468,873

Personnel

Department Of Administration

The Office of Diversity, Equity and Opportunity

	Grade	FY 2017		FY 2018	
		FTE	Cost	FTE	Cost
Classified					
ASSOCIATE DIRECTOR, DIVERSITY, EQUITY AND ADMINISTRATOR STATE EQUAL OPPORTUNITY	00142A	1.0	129,606	1.0	129,606
CHIEF PROGRAM DEVELOPMENT	00139A	1.0	112,187	1.0	112,187
ASSISTANT ADMINISTRATOR - MBE COMPLIANCE	00134A	2.0	155,975	2.0	157,727
PROGRAMMING SERVICES OFFICER	00134A	1.0	76,846	1.0	79,594
IMPLEMENTATION AIDE	00131A	3.0	215,210	3.0	219,690
	00122A	1.0	44,560	1.0	45,733
Subtotal		9.0	\$734,384	9.0	\$744,537
Temporary and Seasonal		-	24,960	-	24,960
Turnover		-	(53,253)	-	-
Subtotal		-	(\$28,293)	-	\$24,960
Total Salaries		9.0	\$706,091	9.0	\$769,497
Benefits					
Payroll Accrual			3,928		4,286
FICA			51,606		56,640
Retiree Health			40,663		44,523
Health Benefits			126,336		132,789
Retirement			176,174		190,326
Subtotal			\$398,707		\$428,564
Total Salaries and Benefits		9.0	\$1,104,798	9.0	\$1,198,061
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$119,982		\$130,345
Statewide Benefit Assessment			\$30,688		\$31,577
Payroll Costs		9.0	\$1,135,486	9.0	\$1,229,638
Purchased Services					
Management & Consultant Services			10,300		10,300
Other Contracts			-		100,000
Training and Educational Services			6,500		6,500
Subtotal			\$16,800		\$116,800
Total Personnel		9.0	\$1,152,286	9.0	\$1,346,438
Distribution By Source Of Funds					
General Revenue		8.2	\$1,102,162	8.2	\$1,259,815
Other Funds		0.8	\$50,124	0.8	\$86,623
Total All Funds		9.0	\$1,152,286	9.0	\$1,346,438

The Program

Department Of Administration

Capital Asset Management and Maintenance

Program Mission

The Division of Capital Asset Management and Maintenance is established to improve the management of the State's real estate portfolio such that appropriate and timely investments are made that meet the highest standards of safety, security, accessibility, energy efficiency and comfort for the employees and citizens that are served.

The State's portfolio includes 17,770,849 gross square feet of space in over 1,379 structures, including 13 campuses.

Program Description

The new Division of Capital Asset Management & Maintenance will oversee: Planning/Design/Construction; Facilities Management & Maintenance; Risk Management; Fire Safety Code Board of Appeals; State Building Commission; and Contractor Registration and Licensing.

The re-organization of the capital projects and facilities maintenance functions into the Division of Capital Asset Management & Maintenance is intended to better align staff resources and clarify roles and responsibilities in order to support the state's portfolio such that cost savings and efficiencies are achieved. This approach incorporates viewing facilities from a life cycle perspective so that we build the right facilities and maintain them appropriately.

The new model creates an Office of Planning, Design and Construction (PDC)--previously referred to as Capital projects--that will handle new construction and major rehabilitation projects. This unit will manage projects with a value greater than \$2.5M. The Office of PDC will work with agencies to establish master plans that are driven by the strategic plans of each agency. The master planning opportunity will insure that a full analysis is done of agency needs that incorporates service delivery trends, use of technology and opportunities for co-location with other agencies.

The new model creates the Office of Facilities Management & Maintenance (formerly Facilities Management) is responsible for the management of all active campuses and buildings and surplus property and is led by the Associate Director of Facilities Management & Maintenance. The Office of OFMM has oversight for the following Property Management functions:

- Space Management: Expansion or modification of the State's portfolio
- Contracting: Extension, cancellation or execution of new/existing vendor contracts
- Deferred Maintenance Investments: Large projects focused on repair and replacement of deteriorating assets
- Capital Equipment replacement: Replacement of infrastructure and equipment as needed
- Leasing/New Construction: Execution of lease agreements on behalf of client state agencies.

Facilities Management & Maintenance is responsible for handling day to day operations at all state facilities where DCAMM has direct oversight including handling minor repairs (under \$2.5M), setting up and overseeing facility/campus security, ensuring appropriate ADA access at all facilities, responding to facility emergencies 24/7, managing traffic flows and wayfinding systems, maintaining an efficient and effective communication system with all building/facility/campus tenants, grounds keeping/landscaping, utilities management, snow removal, care/maintenance of artwork and historic artifacts, et al.

Statutory History

The Budget

Department Of Administration Capital Asset Management and Maintenance

	2015 Audited	2016 Audited	2017 Enacted	2017 Revised	2018 Recommend
Expenditures By Subprogram					
Capital Asset Management And Maintenance Admin	-	-	542,468	1,059,476	1,050,102
Facilities Management And Maintenance	-	-	38,436,445	37,004,199	37,728,535
Planning, Design And Construction	-	-	1,880,684	1,694,879	1,891,162
Total Expenditures	-	-	\$40,859,597	\$39,758,554	\$40,669,799
Expenditures By Object					
Personnel	-	-	16,496,114	17,070,606	18,195,058
Operating Supplies and Expenses	-	-	24,288,483	22,613,078	22,439,871
Subtotal: Operating Expenditures	-	-	40,784,597	39,683,684	40,634,929
Capital Purchases and Equipment	-	-	75,000	74,870	34,870
Total Expenditures	-	-	\$40,859,597	\$39,758,554	\$40,669,799
Expenditures By Funds					
General Revenue	-	-	34,693,189	33,756,298	34,530,313
Federal Funds	-	-	1,310,071	1,568,744	1,603,917
Restricted Receipts	-	-	443,424	648,334	660,725
Other Funds	-	-	4,412,913	3,785,178	3,874,844
Total Expenditures	-	-	\$40,859,597	\$39,758,554	\$40,669,799

Personnel

Department Of Administration

Capital Asset Management and Maintenance

	Grade	FY 2017		FY 2018	
		FTE	Cost	FTE	Cost
Classified					
DIVISION DIRECTOR, CAPITAL ASSET	00152A	1.0	148,238	1.0	152,997
INTERDEPARTMENTAL PROJECT MANAGER	00139A	1.0	127,868	1.0	113,468
ASSOCIATE DIRECTOR OF ADMINISTRATION- IMPLEMENTATION DIRECTOR POLICY AND	00147A	2.0	243,218	2.0	236,397
CHIEF ENGINEER FOR CAPITAL ASSET	00140A	1.0	115,275	1.0	115,275
CHIEF OF PLANNING, CAPITAL ASSET	00143A	1.0	107,066	1.0	111,821
CHIEF OF STRATEGIC PLANNING, MONITORING	00143A	1.0	105,000	1.0	111,821
CHIEF OF INTEGRATED FACILITIES MANAGEMENT	00143A	1.0	102,494	1.0	107,249
CHIEF PROPERTY MANAGEMENT	00143A	1.0	102,311	1.0	107,066
CHIEF PROPERTY MANAGEMENT	00141A	4.0	402,661	4.0	409,977
SUPERVISING ENVIRONMENTAL SCIENTIST	00134A	1.0	96,632	1.0	96,632
DEPUTY CHIEF DIVISION OF FACILITIES	00137A	3.0	273,912	3.0	273,912
PROJECT MANAGER I (DOA)	00139A	3.0	271,470	3.0	276,012
SUPERVISOR OF OFFICE SERVICES (DLT)	00131A	1.0	86,508	1.0	86,508
PROJECT MANAGER I (DOA)	00137A	4.0	336,300	4.0	348,956
ARCHITECT BUILDING COMMISSION	00335A	1.0	83,713	1.0	83,713
PRINCIPAL PROPERTY MANAGEMENT OFFICER	02832A	1.0	83,220	1.0	83,220
CHIEF CENTRAL POWER PLANT OPERATOR (RIMC)	00130A	1.0	80,443	1.0	80,443
STATE BUILDING AND GROUNDS COORDINATOR	00132A	8.0	632,475	8.0	642,539
CHIEF OF INSPECTIONS	00135A	1.0	75,527	1.0	79,250
BUILDING AND GROUNDS OFFICER	00128A	1.0	74,392	1.0	74,392
PROGRAMMER/ANALYST I (COBOL/CICS)	00328A	1.0	72,231	1.0	72,231
ARCHITECT	00332A	1.0	71,430	1.0	71,430
BUILDING AND GROUNDS OFFICER	00828A	2.0	141,371	2.0	141,371
SENIOR CIVIL ENGINEER (CONSTRUCTION & PROGRAMMING SERVICES OFFICER	02931A	1.0	70,055	1.0	70,055
MECHANICAL AND ELECTRICAL SHOP SUPERVISOR	00131A	1.0	66,167	1.0	69,750
ASSISTANT BUILDING AND GROUNDS OFFICER	00326A	3.0	194,616	3.0	196,981
SUPERVISOR OF PAINTING PLASTERING MASONRY	00324A	1.0	62,065	1.0	62,065
ASSISTANT BUILDING AND GROUNDS OFFICER	00323A	1.0	61,185	1.0	61,185
ASSISTANT BUILDING AND GROUNDS OFFICER	03124A	1.0	60,182	1.0	60,182
CHIEF POWER PLANT OPERATOR	00325A	1.0	58,960	1.0	61,117
WASTEWATER TREATMENT FACILITIES PROCESS	03124A	1.0	58,902	1.0	58,902
PLUMBER SUPERVISOR	00320G	1.0	55,681	1.0	55,681
ASSISTANT BUILDING AND GROUNDS OFFICER	00824A	4.0	220,552	4.0	223,872
CHIEF IMPLEMENTATION AIDE	00128A	3.0	160,652	3.0	166,260
JUNIOR RESOURCE SPECIALIST	00319A	1.0	52,532	1.0	52,532
PAINTER SUPERVISOR	00318G	1.0	52,404	1.0	52,404
MAINTENANCE SUPERINTENDENT	00322A	4.0	208,513	4.0	208,513
OFFICE MANAGER	00123A	1.0	50,940	1.0	50,940
BUILDING SUPERINTENDENT	00318A	1.0	50,617	1.0	50,617
HVAC SHOP SUPERVISOR	00320A	1.0	50,322	1.0	50,322
AUTOMOTIVE SERVICE SUPERVISOR	00318G	1.0	50,220	1.0	50,220
MASON SUPERVISOR	00318G	1.0	50,220	1.0	50,220
GROUNDS SUPERINTENDENT	00317A	1.0	50,053	1.0	50,053
SENIOR DRAFTPERSON	00323A	1.0	49,939	1.0	50,988
PLUMBER	00316G	1.0	48,280	1.0	48,280

Personnel

Department Of Administration Capital Asset Management and Maintenance

	Grade	FY 2017		FY 2018	
		FTE	Cost	FTE	Cost
POWER PLANT OPERATOR	03118A	5.0	239,817	5.0	239,817
CLERK SECRETARY	03116A	1.0	46,822	1.0	46,822
SENIOR GARDENER	00313G	1.0	45,752	1.0	45,752
ELECTRICIAN	00316G	4.0	180,631	4.0	180,631
WASTEWATER TREATMENT FACILITIES PROCESS	03121A	1.0	45,141	1.0	45,626
SENIOR JANITOR	00312A	1.0	44,500	1.0	44,500
BUILDING SYSTEMS TECHNICIAN	00317A	1.0	43,796	1.0	43,796
SENIOR MAINTENANCE TECHNICIAN	03114G	1.0	43,625	1.0	43,625
PAINTER	03114G	1.0	43,221	1.0	43,221
LICENSED STEAMFITTER	00315G	1.0	42,890	1.0	42,890
AUTOMOTIVE MECHANIC	00314G	1.0	42,854	1.0	42,854
CARPENTER	00314G	4.0	169,490	4.0	169,490
BUILDING SUPERINTENDENT	00818A	3.0	127,056	3.0	129,888
SENIOR MAINTENANCE TECHNICIAN	00314G	12.0	504,053	12.0	504,053
BUILDING MAINTENANCE SUPERVISOR	00318G	1.0	41,091	1.0	42,507
PRINCIPAL JANITOR	00315A	4.0	163,740	4.0	164,548
LABORER SUPERVISOR	00313G	1.0	40,797	1.0	40,797
SEMI-SKILLED LABORER	00310G	2.0	78,386	2.0	78,386
PUBLIC PROPERTIES OFFICER	00312G	1.0	39,137	1.0	39,137
REFRIGERATION MECHANIC (LICENSED)	00316A	1.0	37,239	1.0	37,239
WASTEWATER TREATMENT FACILITIES OPERATOR	03114G	1.0	37,128	1.0	37,128
STEAMFITTER	00314G	1.0	36,471	1.0	37,083
JANITOR	00309A	10.0	362,208	10.0	362,208
LABORER	00308G	2.0	64,442	2.0	65,366
CLEANER (PUBLIC BUILDINGS)	00301W	1.5	42,063	1.5	42,063
Subtotal		136.5	\$8,179,162	136.5	\$8,247,246
Cost Allocation from Other Programs		-	314,481	-	320,341
Cost Allocation to Other Programs		-	(32,849)	-	(32,849)
Overtime		-	436,000	-	436,000
Turnover		-	(975,541)	-	(404,639)
Subtotal		-	(\$257,909)	-	\$318,853
Total Salaries		136.5	\$7,921,253	136.5	\$8,566,099
Benefits					
Payroll Accrual			43,541		47,159
Holiday			50,000		50,000
FICA			593,883		649,614
Retiree Health			449,021		485,604
Health Benefits			1,927,588		2,131,810
Retirement			1,981,504		2,111,397
Subtotal			\$5,045,537		\$5,475,584

Personnel

Department Of Administration Capital Asset Management and Maintenance

	Grade	FY 2017		FY 2018	
		FTE	Cost	FTE	Cost
Total Salaries and Benefits		136.5	\$12,966,790	136.5	\$14,041,683
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$94,995		\$102,869
Statewide Benefit Assessment			\$340,563		\$340,122
Payroll Costs		136.5	\$13,307,353	136.5	\$14,381,805
Purchased Services					
Management & Consultant Services			2,742,000		2,792,000
Other Contracts			55,000		55,000
Buildings and Ground Maintenance			848,501		848,501
Training and Educational Services			770		770
Design and Engineering Services			113,982		113,982
Medical Services			3,000		3,000
Subtotal			\$3,763,253		\$3,813,253
Total Personnel		136.5	\$17,070,606	136.5	\$18,195,058
Distribution By Source Of Funds					
General Revenue		116.6	\$14,607,941	116.6	\$15,589,492
Federal Funds		5.2	\$628,121	5.2	\$665,545
Restricted Receipts		2.1	\$269,118	2.1	\$284,130
Other Funds		12.6	\$1,565,426	12.6	\$1,655,891
Total All Funds		136.5	\$17,070,606	136.5	\$18,195,058

Agency

Department Of Business Regulation

Agency Mission

To assist, educate, and protect the public through the implementation and enforcement of state laws mandating regulation and licensing of designated businesses, professions, occupations, and other specific activities while recognizing the need to foster a prosperous and sound business environment that serves the public interest.

Agency Description

The Department of Business Regulation's primary function is to implement state laws mandating the regulation and licensing of designated businesses, professions, occupations and other specified activities. The department is composed of five divisions and Central Management, which includes the budget, regulatory standards, compliance and enforcement. The respective divisions are: Banking, Securities, Insurance, Design Professionals, Commercial Licensing and Gaming and Athletics Licensing, and the Office of the Health Insurance Commissioner.

The Director of Business Regulation is appointed by the Governor and serves statutorily as the State Banking Commissioner, Commissioner of Insurance, Real Estate Administrator, Chief of Intoxicating Beverages, and State Boxing Commissioner. The Department also houses other commissions including the Real Estate Commission, Real Estate Appraisal Board, Rhode Island Board of Accountancy, the Certified Constables' Board, and the Racing and Athletics Hearing Board. The Department issues over 200,000 licenses and conducts administrative hearings involving issuances, administrative penalties, denials, suspensions and/or revocations

Statutory History

The department was established by the Rhode Island General Assembly in 1939 and is organized under R.I.G.L. §§ 42-14-1 et seq.

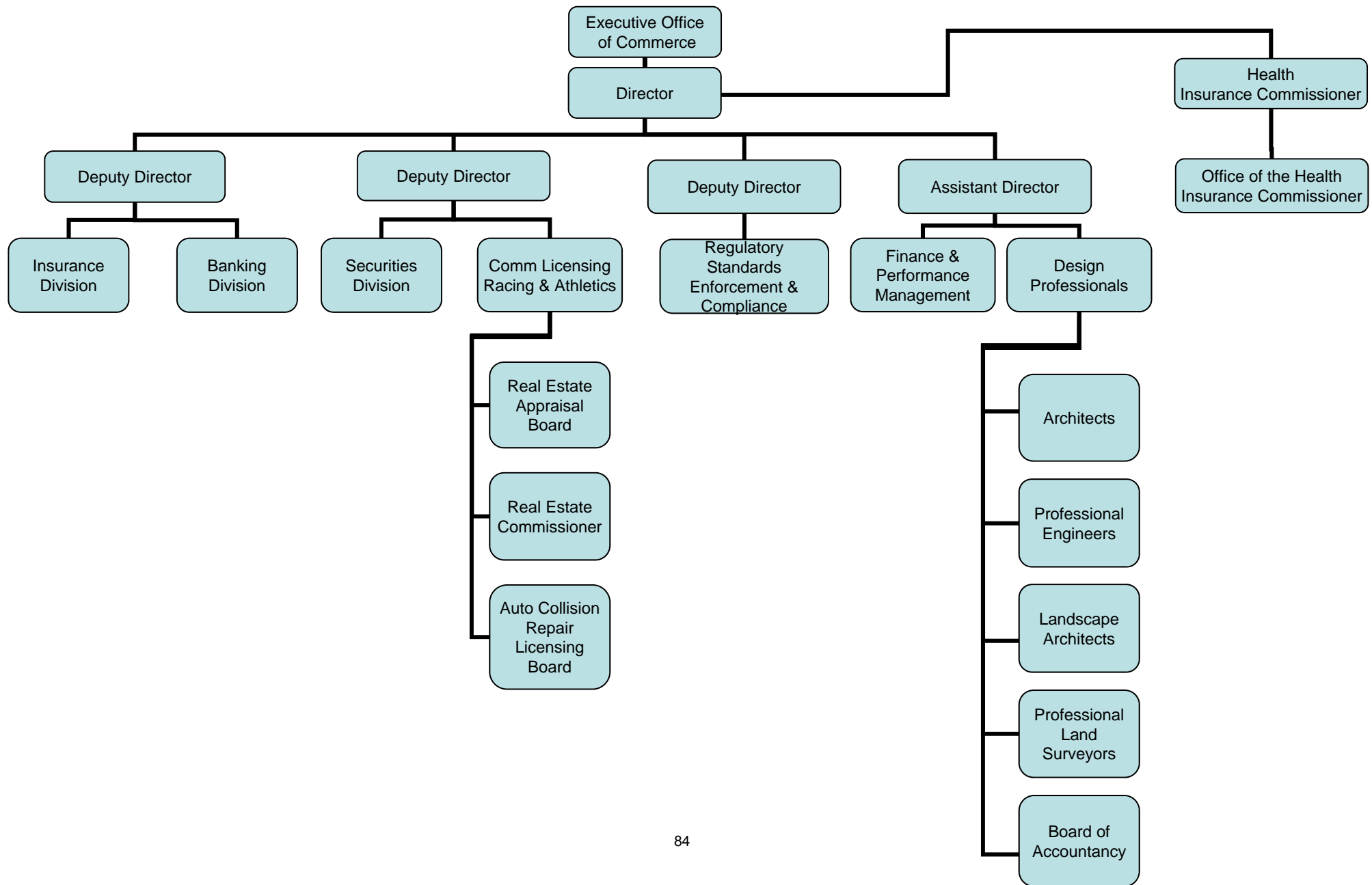
Budget

Department Of Business Regulation

	FY 2015 Audited	FY 2016 Audited	FY 2017 Enacted	FY 2017 Revised	FY 2018 Recommend
Expenditures By Program					
Central Management	1,234,445	1,386,270	1,325,909	1,395,571	1,396,420
Banking Regulation	1,584,765	1,487,762	1,868,673	1,811,804	1,893,062
Securities Regulation	898,519	856,076	1,094,028	989,896	989,364
Insurance Regulation	4,961,419	4,414,197	5,786,060	5,606,970	5,851,931
Board of Accountancy	4,487	5,782	6,000	6,000	6,000
Commercial Licensing and Gaming and Athletics Licensing	1,093,425	1,237,470	2,944,868	3,238,385	2,671,652
Boards for Design Professionals	258,827	265,343	273,080	356,246	362,455
Office of Health Insurance Commissioner	2,640,165	3,551,977	2,561,271	3,470,472	2,735,299
Total Expenditures	\$12,676,052	\$13,204,877	\$15,859,889	\$16,875,344	\$15,906,183
Expenditures By Object					
Personnel	12,154,918	12,612,550	13,939,309	14,987,197	14,783,401
Operating Supplies and Expenses	509,266	512,560	626,842	655,371	1,004,044
Assistance and Grants	-	-	80,000	80,000	80,000
Subtotal: Operating Expenditures	12,664,184	13,125,110	14,646,151	15,722,568	15,867,445
Capital Purchases and Equipment	11,868	79,767	1,213,738	1,152,776	38,738
Total Expenditures	\$12,676,052	\$13,204,877	\$15,859,889	\$16,875,344	\$15,906,183
Expenditures By Funds					
General Revenue	8,556,174	8,174,920	10,583,452	10,621,228	11,115,093
Federal Funds	2,216,757	3,073,024	1,100,710	2,011,396	892,213
Restricted Receipts	1,903,121	1,956,933	4,175,727	4,242,720	3,898,877
Total Expenditures	\$12,676,052	\$13,204,877	\$15,859,889	\$16,875,344	\$15,906,183
FTE Authorization	98.0	98.0	97.0	106.0	106.0

The Agency

Department of Business Regulation



Personnel

Department Of Business Regulation Agency Summary

	Grade	FY 2017		FY 2018	
		FTE	Cost	FTE	Cost
Classified		87.0	6,051,371	88.0	6,202,237
Unclassified		20.0	1,835,069	18.0	1,617,858
Subtotal		107.0	\$7,886,440	106.0	\$7,820,095
Cost Allocation from Other Programs		0.8	89,449	0.8	89,838
Cost Allocation to Other Programs		(0.8)	(\$89,449)	(0.8)	(\$89,838)
Program Reduction		(1.0)	(\$36,548)	-	-
Temporary and Seasonal		-	10,851	-	14,468
Turnover		-	(\$527,875)	-	(\$98,130)
Subtotal		(1.0)	(\$553,572)	-	(\$83,662)
Total Salaries		106.0	\$7,332,868	106.0	\$7,736,433
Benefits					
Payroll Accrual			41,991		44,214
Retiree Health			436,356		460,871
Health Benefits			1,281,451		1,406,807
FICA			552,779		584,264
Retirement			1,915,748		1,993,025
Subtotal			\$4,228,325		\$4,489,181
Total Salaries and Benefits		106.0	\$11,561,193	106.0	\$12,225,614
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$108,965		\$115,199
Statewide Benefit Assessment			\$331,860		\$331,574
Payroll Costs		106.0	\$11,893,053	106.0	\$12,557,188
Purchased Services					
Training and Educational Services			10,000		10,000
Legal Services			2,448		2,448
Other Contracts			30,494		31,798
Clerical and Temporary Services			2,760		2,760
Management & Consultant Services			3,048,443		2,179,207
Subtotal			\$3,094,145		\$2,226,213
Total Personnel		106.0	\$14,987,197	106.0	\$14,783,401
Distribution By Source Of Funds					
General Revenue		86.1	\$10,224,011	86.8	\$10,735,688
Federal Funds		7.7	\$1,999,224	5.0	\$888,556
Restricted Receipts		12.2	\$2,763,962	14.2	\$3,159,157
Total All Funds		106.0	\$14,987,197	106.0	\$14,783,401

Performance Measures

Department Of Business Regulation

Insurance Examiner Utilization Rate

The figures below represent the utilization rate for insurance examiners, calculated by dividing the hours billed to domestic insurance companies by the total hours examiners are available to work. This measure includes only examiner positions whose time is heavily weighted towards examinations with minimal administrative functions.

	2014	2015	2016	2017	2018
Target	73%	73%	73%	73%	73%
Actual	70%	68%	65%	--	--

Performance for this measure is reported by state fiscal year.

Timeliness of Banking Division License Issuance

The figures below represent the average amount of time it takes the Banking Division to issue a license. [Note: The 2015 actual has been updated to reflect more accurate data.]

	2014	2015	2016	2017	2018
Target	--	30 Days	30 Days	30 Days	45 Days
Actual	--	49 Days	57 Days	--	--

Performance for this measure is reported by state fiscal year.

Timeliness of Design Professionals License Issuance

The Boards for Design Professionals examines and licenses professional engineers, land surveyors, landscape and traditional architects. The figures below represent the average amount of time it takes the Boards for Design Professionals to issue a license.

	2014	2015	2016	2017	2018
Target	75 Days	75 Days	75 Days	75 Days	75 Days
Actual	100 Days	125 Days	94 Days	--	--

Performance for this measure is reported by state fiscal year.

Customer Satisfaction

The figures below represent average customer satisfaction across the Department, measured through surveys that contain multiple questions to solicit ratings of 1 to 5 that aggregate to a possible perfect score of 100. [Note: 2014 and 2015 actuals have been updated to reflect more accurate data.]

	2014	2015	2016	2017	2018
Target	95	95	95	95	95
Actual	90	88	88	--	--

Performance for this measure is reported by state fiscal year.

Performance Measures

Department Of Business Regulation

Business Environment Index

This performance measure assesses the average respondent's assessment of the Rhode Island business environment, measured through surveys that contain multiple questions to solicit ratings of 1 to 5 that aggregate to a possible perfect score of 100.

	2014	2015	2016	2017	2018
Target	--	90	90	90	90
Actual	--	87	80	--	--

Performance for this measure is reported by state fiscal year.

Timeliness of Complaint Resolution

The figures below represent the average amount of time it takes across the Department to resolve complaints. [Note: 2014 and 2015 actuals have been updated to reflect more accurate data.]

	2014	2015	2016	2017	2018
Target	15 Days	15 Days	10 Days	8 Days	8 Days
Actual	10 Days	10 Days	5 Days	--	--

Performance for this measure is reported by state fiscal year.

Timeliness of Question Resolution

The figures below represent the average amount of time it takes across the Department to resolve questions. [Note: 2014 and 2015 actuals have been updated to reflect more accurate data.]

	2014	2015	2016	2017	2018
Target	5 Days	5 Days	5 Days	5 Days	--
Actual	2 Days	5 Days	9 Days	--	--

Performance for this measure is reported by state fiscal year.

Office of the Health Insurance Commissioner -- Small Group Premium Base Rates

The Office of the Health Insurance Commissioner (OHIC) annually reviews and approves commercial premiums. This review supports OHIC's charge to balance insurance affordability and insurer solvency. This measure focuses on approved premiums for small groups (50 or fewer employees). Due to their size, small groups often have higher rates of increase than large employers and are more vulnerable to changes in their cost structure. OHIC has begun monitoring how closely the effective rates of increase track the approved rates, which will significantly improve future performance data monitoring. The figures below represent the average approved essential health benefits (EHB) base rate increase for small group issuers.

	2014	2015	2016	2017	2018
Target	--	--	4.5%	4%	4%
Actual	5.3%	4.3%	0.5%	--	--

Performance for this measure is reported by state fiscal year.

Performance Measures

Department Of Business Regulation

OHIC -- Large Group Premium Base Rates

The figures below represent the average approved large group premium expected overall average premium trend.

	2014	2015	2016	2017	2018
Target	--	--	5%	4.5%	4%
Actual	8.1%	5.3%	4.9%	--	--

Performance for this measure is reported by state fiscal year.

OHIC -- Individual Market Premium Base Rates

The figures below represents the average approved individual market premium essential health benefits (EHB) base rate increase.

	2014	2015	2016	2017	2018
Target	--	--	5%	4.5%	4.5%
Actual	-2.8%	7.4%	-2.5%	--	--

Performance for this measure is reported by state fiscal year.

OHIC -- Insurer Surplus as a Percentage of Revenue (SAPOR)

Surplus as a Percent of Revenue (SAPOR) is the industry standard measure of health insurer financial stability. The Office of the Health Insurance Commissioner (OHIC) tracks SAPOR in support of its legislative mandate to monitor insurer solvency. The figures below represent the straight average (unweighted by membership) of insurer SAPOR. Because SAPOR targets vary by insurance company, the targets below are averages for companies operating in the Rhode Island market.

	2014	2015	2016	2017	2018
Target	21.5%	21.5%	21.5%	20.5%	20.5%
Actual	18.5%	17.9%	16.7%	--	--

Performance for this measure is reported by state fiscal year.

OHIC -- Value-Based Alternative Payments

The figures below represent the use of value-based alternative payment models as percent of insured medical payments. [Note: Calendar year 2016 data is as of 6/30/2016.]

	2014	2015	2016	2017	2018
Target	--	--	30%	40%	50%
Actual	23.4%	26.1%	31%	--	--

Performance for this measure is reported by calendar year.

Performance Measures

Department Of Business Regulation

OHIC -- Non-Fee-for-Service Payments

The figures below represent the use of strictly non-fee-for-service payments as percent of insured medical spend. [Note: Calendar year 2016 data is as of 6/30/2016.]

	2014	2015	2016	2017	2018
Target	--	--	3%	6%	10%
Actual	1.5%	1.4%	2%	--	--

Performance for this measure is reported by calendar year.

The Program

Department Of Business Regulation Central Management

Program Mission

To administer the functions for the department with regard to the licensing and regulation of designated businesses, occupations and professions through the enforcement of applicable state laws.

Program Description

Central Management is composed of the Director's Office, budget, regulatory standards, compliance and enforcement. Specific functions include legal research, drafting and analysis of legislation, issuance of legal opinions related to the department's operations, conducting administrative and rate hearings, and providing legal services to the Director, Deputy Directors, and advising the other commissions housed within the department. Central Management compiles, submits and monitors budgets of the respective divisions, approves vouchers and contracts, and provides all personnel and management services.

The Director issues show cause and cease and desist orders; renders decisions relative to the operations of financial institutions and insurance companies; has the authority to deny, suspend, or revoke licenses and approve or disapprove rates; and acts as receiver in case of insolvency of certain regulated entities.

The Director or his/her designee may be a member of various occupational licensing boards and commissions assigned to the department by the legislature in order to assist in the administration and regulation of licensing programs. The Director is also a member of such diverse administrative bodies such as the Board of Bank Incorporation and the Rhode Island Housing and Mortgage Finance Corporation.

Central Management is also responsible for monitoring legislation impacting the department and for the annual submission of its own legislative program.

Statutory History

R.I.G.L. §42-14-1 establishes the Director as head of the department. R.I.G.L. §42-14-2 enumerates the functions of the department regarding the regulation of assigned occupations, businesses, and professions.

The Budget

Department Of Business Regulation Central Management

	2015 Audited	2016 Audited	2017 Enacted	2017 Revised	2018 Recommend
Expenditures By Subprogram					
Operations	1,234,445	1,386,270	1,325,909	1,395,571	1,396,420
Total Expenditures	\$1,234,445	\$1,386,270	\$1,325,909	\$1,395,571	\$1,396,420
Expenditures By Object					
Personnel	1,111,569	1,181,611	1,194,255	1,251,617	1,251,851
Operating Supplies and Expenses	122,556	159,586	129,617	141,917	142,532
Subtotal: Operating Expenditures	1,234,125	1,341,197	1,323,872	1,393,534	1,394,383
Capital Purchases and Equipment	320	45,073	2,037	2,037	2,037
Total Expenditures	\$1,234,445	\$1,386,270	\$1,325,909	\$1,395,571	\$1,396,420
Expenditures By Funds					
General Revenue	1,234,445	1,386,270	1,325,909	1,395,571	1,396,420
Total Expenditures	\$1,234,445	\$1,386,270	\$1,325,909	\$1,395,571	\$1,396,420

Personnel

Department Of Business Regulation Central Management

	Grade	FY 2017		FY 2018	
		FTE	Cost	FTE	Cost
Classified					
ASSISTANT DIRECTOR FINANCIAL AND CONTRACT	00141A	1.0	106,082	1.0	108,003
DEPUTY CHIEF OF LEGAL SERVICES	00137A	1.0	105,580	1.0	105,580
CHIEF OF LEGAL SERVICES	00139A	1.0	93,347	1.0	93,347
SENIOR LEGAL COUNSEL	00134A	1.0	76,579	1.0	78,305
LEGAL COUNSEL	00132A	1.0	72,473	1.0	72,473
SYSTEMS ANALYST	00124A	1.0	64,063	1.0	64,063
PROGRAMMER/ANALYST I (UNIX/SQL)	00128A ¹	1.0	55,897	-	-
SENIOR MANAGEMENT AND METHODS ANALYST	00125A	1.0	49,533	1.0	51,114
Subtotal		8.0	\$623,554	7.0	\$572,885
Unclassified					
DIRECTOR, DEPARTMENT OF BUSINESS	00945KF	1.0	135,000	1.0	135,000
PROGRAM MANAGER	00828A	1.0	82,398	1.0	82,398
EXECUTIVE SECRETARY	00819A	1.0	47,228	1.0	47,228
Subtotal		3.0	\$264,626	3.0	\$264,626
Cost Allocation to Other Programs		(0.6)	(58,483)	(0.6)	(58,872)
Program Reduction		(1.0)	(36,548)	-	-
Turnover		-	(30,066)	-	(15,573)
Subtotal		(1.6)	(\$125,097)	(0.6)	(\$74,445)
Total Salaries		9.5	\$763,083	9.5	\$763,066
Benefits					
Payroll Accrual			4,382		4,375
FICA			57,727		57,833
Retiree Health			45,557		45,632
Health Benefits			116,800		120,393
Retirement			200,325		197,746
Subtotal			\$424,791		\$425,979
Total Salaries and Benefits		9.5	\$1,187,874	9.5	\$1,189,045
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$125,701		\$125,825
Statewide Benefit Assessment			\$34,289		\$32,048
Payroll Costs		9.5	\$1,222,163	9.5	\$1,221,093
Purchased Services					
Other Contracts			29,454		30,758
Subtotal			\$29,454		\$30,758
Total Personnel		9.5	\$1,251,617	9.5	\$1,251,851
Distribution By Source Of Funds					
General Revenue		9.5	\$1,251,617	9.5	\$1,251,851
Total All Funds		9.5	\$1,251,617	9.5	\$1,251,851

Personnel

Department Of Business Regulation Central Management

	Grade	FY 2017		FY 2018	
		FTE	Cost	FTE	Cost
<i>1 The funding for this position will be transferred to DOA via the program reduction. The FTE will remain at DBR.</i>					

The Program

Department Of Business Regulation Banking Regulation

Program Mission

To charter, license and ensure compliance with statutory requirements for the safe and sound operation of regulated financial institutions, Rhode Island bank holding companies, credit unions and licensees in order to protect the public interest.

The Banking Division seeks to create a sound financial services industry in the State of Rhode Island by being both a regulator and an advocate. We have interpreted that to mean fostering the growth of a diverse and resilient financial services industry that provides credit to all corners of Rhode Island, holds the confidence of its customers, and whom we do not prevent from competing, innovating, and thriving. We believe that sound and prudent regulation creates a level playing field on which our financial institutions can thrive while at the same time providing protection to Rhode Island consumers.

Program Description

The Banking Division provides regulatory oversight of state-chartered banks, state chartered credit unions and Rhode Island bank holding companies through financial examinations and reviews to determine compliance with state banking laws, financial solvency, and safety and soundness. The Division is also responsible for regulating, monitoring and examining other licensees, including almost 2,200 mortgage loan originators and approximately 1,100 companies licensed as debt collectors, third party loan servicers, lenders, loan brokers, small loan lenders, sellers of checks, electronic money transmitters, check cashers and debt-management companies.

The purpose of examinations are to determine financial solvency and compliance with Rhode Island banking laws and regulations for the protection of depositors and the public. The Division reviews and conducts hearings on applications filed by financial institutions and other licensees. The Division enforces statutes relating to maximum interest charges and state usury laws and conducts administrative hearings when required. Additional responsibilities include investigating and resolving several hundred consumer complaints and inquiries each year.

Statutory History

R.I.G.L. Chapters §§19-1 to 19-14.10 charge the Division of Banking with the regulation, licensing, or registration of financial institutions, Rhode Island bank holding companies, credit unions and licensees (lenders, loan brokers, small loan lenders, mortgage loan originators, foreign exchange transaction businesses, sellers of checks, electronic money transmitters, check cashers, and debt management companies and debt collectors.) RI General Laws §§6-26 to 6-27 relate to Interest, Usury and Truth in Lending. R.I.G.L. §§34-23 to 34-27 relate to Mortgages. R.I.G.L. §§19-14.3 to 19-14.8 relate to the Sale of Checks, Electronic Money Transfers, Foreign Exchange Transactions, Insurance Premium Finance Agreements and Debt Management Companies. Rhode Island General Laws §19-14.9 relates to the registration of debt collectors. R.I.G.L. §19-14.10 relates to the licensing and supervision of mortgage loan originators. R.I. Gen. Laws § 19-14.11 relates to the licensing of third party loan servicers.

The Budget

Department Of Business Regulation Banking Regulation

	2015 Audited	2016 Audited	2017 Enacted	2017 Revised	2018 Recommend
Expenditures By Subprogram					
Operations	1,584,765	1,487,762	1,868,673	1,811,804	1,893,062
Total Expenditures	\$1,584,765	\$1,487,762	\$1,868,673	\$1,811,804	\$1,893,062
Expenditures By Object					
Personnel	1,517,661	1,447,593	1,801,827	1,712,636	1,826,216
Operating Supplies and Expenses	66,768	36,745	61,846	94,168	61,846
Subtotal: Operating Expenditures	1,584,429	1,484,338	1,863,673	1,806,804	1,888,062
Capital Purchases and Equipment	336	3,424	5,000	5,000	5,000
Total Expenditures	\$1,584,765	\$1,487,762	\$1,868,673	\$1,811,804	\$1,893,062
Expenditures By Funds					
General Revenue	1,539,146	1,457,843	1,818,673	1,761,804	1,843,062
Restricted Receipts	45,619	29,919	50,000	50,000	50,000
Total Expenditures	\$1,584,765	\$1,487,762	\$1,868,673	\$1,811,804	\$1,893,062

Personnel

Department Of Business Regulation Banking Regulation

	Grade	FY 2017		FY 2018	
		FTE	Cost	FTE	Cost
Classified					
SUPERVISOR OF EXAMINATIONS	0AB37A	1.0	99,293	1.0	99,293
ASSISTANT SUPERVISOR OF EXAMINATIONS	0AB35A	3.0	271,149	3.0	273,822
STATE CHIEF BANK EXAMINER	00139A	1.0	83,880	1.0	86,984
PRINCIPAL BANK EXAMINER	0AB31A	3.0	230,606	3.0	230,606
SENIOR BANK EXAMINER	0AB28A	4.0	239,309	4.0	246,006
BANK EXAMINER	0AB24A	3.0	140,245	3.0	144,747
LICENSING AIDE	0AB15A	1.0	45,630	1.0	45,630
Subtotal		16.0	\$1,110,112	16.0	\$1,127,088
Turnover		-	(69,401)	-	(22,541)
Subtotal		-	(\$69,401)	-	(\$22,541)
Total Salaries		16.0	\$1,040,711	16.0	\$1,104,547
Benefits					
Payroll Accrual			5,982		6,336
FICA			79,616		84,498
Retiree Health			62,131		66,052
Health Benefits			202,639		230,527
Retirement			273,432		286,506
Subtotal			\$623,800		\$673,919
Total Salaries and Benefits		16.0	\$1,664,511	16.0	\$1,778,466
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$104,032		\$111,154
Statewide Benefit Assessment			\$46,765		\$46,390
Payroll Costs		16.0	\$1,711,276	16.0	\$1,824,856
Purchased Services					
Clerical and Temporary Services			720		720
Other Contracts			640		640
Subtotal			\$1,360		\$1,360
Total Personnel		16.0	\$1,712,636	16.0	\$1,826,216
Distribution By Source Of Funds					
General Revenue		16.0	\$1,712,636	16.0	\$1,826,216
Total All Funds		16.0	\$1,712,636	16.0	\$1,826,216

The Program

Department Of Business Regulation Securities Regulation

Program Mission

To enforce compliance with the applicable provisions of state laws and regulations related to the securities industry, franchises, charities, fund-raisers, and time-shares.

Program Description

Securities Regulation is responsible for the registration of certain securities, the licensing and regulation of broker-dealers, sales representatives, certain investment advisers and certain investment adviser representatives.

The division is also responsible for enforcing compliance with the state's Franchise Investment Act, the registration of charitable organizations and fundraising groups, and the state's Real Estate Time-Share Act. In FY 2016, the division processed approximately 131,256 licenses and 14,844 registrations, conducted fifteen (15) on-site examinations of broker dealers and investment advisers, investigated 31 complaints, and instituted Five (5) enforcement actions, pursuant to applicable state and federal laws and regulations.

Statutory History

The division is charged with the administration and enforcement of the Rhode Island Uniform Securities Act ("RIUSA"), R.I.G.L. §7-11; the Franchise Investment Act, R.I.G.L. §19-28.1; the Charitable Solicitation Act, R.I.G.L. §5-53.1; and the Real Estate Time-Share Act, R.I.G.L. §34-41.

The Budget

Department Of Business Regulation Securities Regulation

	2015 Audited	2016 Audited	2017 Enacted	2017 Revised	2018 Recommend
Expenditures By Subprogram					
Operations	898,519	856,076	1,094,028	989,896	989,364
Total Expenditures	\$898,519	\$856,076	\$1,094,028	\$989,896	\$989,364
Expenditures By Object					
Personnel	887,189	838,993	1,064,409	960,277	959,745
Operating Supplies and Expenses	11,240	16,615	29,619	29,619	29,619
Subtotal: Operating Expenditures	898,429	855,608	1,094,028	989,896	989,364
Capital Purchases and Equipment	90	468	-	-	-
Total Expenditures	\$898,519	\$856,076	\$1,094,028	\$989,896	\$989,364
Expenditures By Funds					
General Revenue	897,502	854,883	1,079,028	974,896	974,364
Restricted Receipts	1,017	1,193	15,000	15,000	15,000
Total Expenditures	\$898,519	\$856,076	\$1,094,028	\$989,896	\$989,364

The Program

Department Of Business Regulation Insurance Regulation

Program Mission

To monitor effectively the financial condition and market conduct activities of insurance companies licensed to do business in the State of Rhode Island.

To monitor activities of all licensees such as producers, adjusters and appraisers.

To ensure consumer access to an equitable insurance market and respond to consumer inquiries and investigate complaints to ensure consumers are treated fairly.

Program Description

The Insurance Division is responsible for conducting financial examinations of the 27 domestic insurance companies to ensure financial solvency and is also responsible for overseeing the market conduct of domestic or foreign insurance companies to ensure compliance with the insurance statutes and regulations. The Division also performs several licensing functions including, but not limited to, the licensing of over 1,300 insurance companies, over 120,000 insurance producers, adjusters and appraisers. The program also reviews rate and form filings for the Life, Accident and Health, and Property and Casualty lines of business for compliance with state statutes and regulations, and addresses consumer complaints for these lines of business. The program monitors and introduces legislation in order to protect consumers and maintain accreditation by the National Association of Insurance Commissioners, which it initially received in June of 1993. The division was re-accredited in December 1998, December 2003, June 2009, and in June 2014, each time receiving the maximum accreditation period of five years.

Statutory History

All chapters of R.I.G.L. §§27-1,42-14, and 28-29 through 28-38, and all Insurance Division regulations.

The Budget

Department Of Business Regulation Insurance Regulation

	2015 Audited	2016 Audited	2017 Enacted	2017 Revised	2018 Recommend
Expenditures By Subprogram					
Operations	4,961,419	4,414,197	5,786,060	5,606,970	5,851,931
Total Expenditures	\$4,961,419	\$4,414,197	\$5,786,060	\$5,606,970	\$5,851,931
Expenditures By Object					
Personnel	4,779,514	4,247,807	5,594,688	5,415,598	5,660,559
Operating Supplies and Expenses	172,184	144,874	187,872	187,872	187,872
Subtotal: Operating Expenditures	4,951,698	4,392,681	5,782,560	5,603,470	5,848,431
Capital Purchases and Equipment	9,721	21,516	3,500	3,500	3,500
Total Expenditures	\$4,961,419	\$4,414,197	\$5,786,060	\$5,606,970	\$5,851,931
Expenditures By Funds					
General Revenue	3,582,033	3,064,604	3,993,494	3,807,033	4,025,436
Restricted Receipts	1,379,386	1,349,593	1,792,566	1,799,937	1,826,495
Total Expenditures	\$4,961,419	\$4,414,197	\$5,786,060	\$5,606,970	\$5,851,931

Personnel

Department Of Business Regulation Insurance Regulation

	Grade	FY 2017		FY 2018	
		FTE	Cost	FTE	Cost
Classified					
DEPUTY DIRECTOR DEPARTMENT OF BUSINESS	00144A	1.0	127,434	1.0	132,668
ASSOCIATE DIRECTOR AND SUPERINTENDENT OF	00141A	2.0	250,727	2.0	250,727
CHIEF INSURANCE EXAMINER	00139A	1.0	112,068	1.0	112,068
INSURANCE EXAMINER-IN-CHARGE	0AB36A	7.0	687,494	7.0	690,265
DEPUTY CHIEF OF LEGAL SERVICES	00137A	1.0	79,105	1.0	81,997
PRINCIPAL INSURANCE ANALYST	0AB31A	3.0	229,264	3.0	231,611
PRINCIPAL INSURANCE EXAMINER	0AB31A	2.0	152,249	2.0	152,407
SENIOR INSURANCE ANALYST	0AB28A	1.0	69,743	1.0	73,531
SENIOR INSURANCE EXAMINER	0AB28A	3.0	188,061	3.0	192,057
ASSISTANT ADMINISTRATIVE OFFICER	00121A	1.0	57,514	1.0	57,514
INSURANCE ANALYST	0AB24A	2.0	105,396	2.0	107,412
INSURANCE EXAMINER	0AB24A	6.0	293,548	6.0	298,753
CLERK SECRETARY	0AB16A	1.0	48,744	1.0	48,744
LICENSING AIDE	0AB15A	4.0	159,050	4.0	160,526
Subtotal		35.0	\$2,560,397	35.0	\$2,590,280
Unclassified					
SENIOR ACCOUNTANT	00822A	1.0	55,176	1.0	56,170
Subtotal		1.0	\$55,176	1.0	\$56,170
Turnover		-	(151,020)	-	(50,098)
Subtotal		-	(\$151,020)	-	(\$50,098)
Total Salaries		36.0	\$2,464,553	36.0	\$2,596,352
Benefits					
Payroll Accrual			14,090		14,804
FICA			186,705		196,861
Retiree Health			146,365		154,357
Health Benefits			393,403		456,097
Retirement			639,188		664,853
Subtotal			\$1,379,751		\$1,486,972
Total Salaries and Benefits		36.0	\$3,844,304	36.0	\$4,083,324
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$106,786		\$113,426
Statewide Benefit Assessment			\$110,522		\$109,188
Payroll Costs		36.0	\$3,954,826	36.0	\$4,192,512

Personnel

Department Of Business Regulation Insurance Regulation

	Grade	FY 2017		FY 2018	
		FTE	Cost	FTE	Cost
Purchased Services					
Clerical and Temporary Services			200		200
Management & Consultant Services			1,448,125		1,455,400
Legal Services			2,448		2,448
Training and Educational Services			10,000		10,000
Subtotal			\$1,460,773		\$1,468,048
Total Personnel		36.0	\$5,415,598	36.0	\$5,660,559
Distribution By Source Of Funds					
General Revenue		33.8	\$3,726,221	33.8	\$3,944,624
Restricted Receipts		2.3	\$1,689,377	2.3	\$1,715,935
Total All Funds		36.0	\$5,415,598	36.0	\$5,660,559

The Program

Department Of Business Regulation Board of Accountancy

Program Mission

The board intends to continue to intensify its analysis of continuing education records, as well as firm compliance with peer reviews, to efficiently process license renewals and responses, and to commence and resolve disciplinary actions efficiently and promptly.

Program Description

The Board of Accountancy is an autonomous board that is responsible for the administration of the licensing of certified public accountants, public accountants, partnerships, corporations, and sole proprietorships. It processes applications and fees, and issues initial certificates and permits to regulated business, occupations and professions.

The board is responsible for governing the conduct of assigned licensees in order to comply with statutory provisions of the law and to promote the continued welfare of the general public. This includes recommending approval, denial, suspension or revocation of licenses or the imposition of such sanctions or penalties allowed by law.

Hearings are held when required in order to resolve complaints and to act upon the denial, suspension or revocation of licenses. The board investigates complaints from the general public in all areas of licensing addressed above.

Board personnel oversee the analysis of each CPA or PA application prior to Board review. In addition, Board personnel provide the general public with application and licensing information regarding all certified public accountants and public accountants and update monthly the listing of individual licensees and CPA firms on the DBR website, which facilitates information to the general public.

Statutory History

R.I.G.L. §§5-3.1 et seq. (1956) relate to the Board of Accountancy.

The Budget

Department Of Business Regulation Board of Accountancy

	2015 Audited	2016 Audited	2017 Enacted	2017 Revised	2018 Recommend
Expenditures By Subprogram					
Operations	4,487	5,782	6,000	6,000	6,000
Total Expenditures	\$4,487	\$5,782	\$6,000	\$6,000	\$6,000
Expenditures By Object					
Operating Supplies and Expenses	4,484	5,782	6,000	6,000	6,000
Subtotal: Operating Expenditures	4,484	5,782	6,000	6,000	6,000
Capital Purchases and Equipment	3	-	-	-	-
Total Expenditures	\$4,487	\$5,782	\$6,000	\$6,000	\$6,000
Expenditures By Funds					
General Revenue	4,487	5,782	6,000	6,000	6,000
Total Expenditures	\$4,487	\$5,782	\$6,000	\$6,000	\$6,000

The Program

Department Of Business Regulation

Commercial Licensing and Gaming and Athletics Licensing

Program Mission

To increase the efficiency and effectiveness of occupational licensing programs and inspections in order to safeguard the health, safety, and welfare of the general public; to enforce, adjust, amend, and interpret all rules and regulations governing pari-mutuel wagering sports and all professional boxing, wrestling, kickboxing and mixed martial arts events in the state.

Program Description

Commercial Licensing, Racing and Athletics is responsible for the licensing and regulation of real estate agents, brokers and appraisers, auto body and salvage re-builder shops, auto wrecking and salvage yards, glass installation, upholsterers, auctioneers, liquor wholesalers, breweries, wineries, salespersons (representing wholesalers) and agents (representing manufacturers and distillers), Class G (boat/airline/railroads) license holders, line-cleaners, and mobile and manufactured homes and parks, and the enforcement of unit pricing, motor fuel advertising and health club pre-opening laws. The division is also responsible for supervising the enforcement of laws related to licensing and regulation of racing and athletics activities, including dog racing, boxing, wrestling, kickboxing and simulcast wagering and the accounting and collection of racing taxes and fees as specified by state law.

The program provides the general public with application and licensing information for various occupations, businesses and professions. It investigates complaints received from the general public in all areas of licensing. The division also oversees simulcast wagering operations at the Twin River and Newport Grand facilities and conducts and participates in hearings, collects tax revenue and issues occupational licenses.

Statutory History

R.I.G.L. §5-58 relates to auctioneers; R.I.G.L. §5-20.5 relates to real estate; R.I.G.L. §5-20.7 relates to real estate appraisers; R.I.G.L. §5-38 relates to automobile body repair shops; R.I.G.L. §5-50 relates to pre-opening of health club sales campaigns; R.I.G.L. §6-31 relates to unit pricing; R.I.G.L. §§23-26 relates to bedding and upholstered furniture; R.I.G.L. §§31-44 & §§31-44.1 relate to mobile and manufactured homes; R.I.G.L. §42-14.2 relates to auto wrecking and salvage yards; R.I.G.L. §§31-37 relates to advertising and sale of motor fuel at retail, R.I.G.L. §31-46-7 relates to auto body salvage re-builders' licenses; and R.I.G.L. §3-1 relates to alcoholic beverages. R.I.G.L. §41-1 established the Commission on Horse Racing and Athletics in 1956. In 1987, the general laws were amended abolishing the commission and creating the Division of Racing and Athletics within the Department of Business Regulation.

The Budget

Department Of Business Regulation Commercial Licensing and Gaming and Athletics Licensing

	2015 Audited	2016 Audited	2017 Enacted	2017 Revised	2018 Recommend
Expenditures By Subprogram					
Operations	1,093,425	1,237,470	2,944,868	3,238,385	2,671,652
Total Expenditures	\$1,093,425	\$1,237,470	\$2,944,868	\$3,238,385	\$2,671,652
Expenditures By Object					
Personnel	1,035,962	1,183,548	1,581,582	1,936,061	2,108,366
Operating Supplies and Expenses	57,363	49,403	80,085	80,085	455,085
Assistance and Grants	-	-	80,000	80,000	80,000
Subtotal: Operating Expenditures	1,093,325	1,232,951	1,741,667	2,096,146	2,643,451
Capital Purchases and Equipment	100	4,519	1,203,201	1,142,239	28,201
Total Expenditures	\$1,093,425	\$1,237,470	\$2,944,868	\$3,238,385	\$2,671,652
Expenditures By Funds					
General Revenue	620,139	670,159	638,207	872,102	893,038
Restricted Receipts	473,286	567,311	2,306,661	2,366,283	1,778,614
Total Expenditures	\$1,093,425	\$1,237,470	\$2,944,868	\$3,238,385	\$2,671,652

Personnel

Department Of Business Regulation Commercial Licensing and Gaming and Athletics Licensing

	Grade	FY 2017		FY 2018	
		FTE	Cost	FTE	Cost
Classified					
ASSOCIATE DIRECTOR DIVISION OF COMMERCIAL	00141A	1.0	118,079	1.0	120,813
ADMINISTRATOR REAL ESTATE	00135A	1.0	93,204	1.0	93,204
PRINCIPAL ECONOMIC AND POLICY ANALYST	00138A	1.0	81,017	1.0	83,979
CHIEF LICENSING EXAMINER-RACING &	00133A	1.0	79,154	1.0	79,154
LEGAL COUNSEL	00132A	1.0	72,473	1.0	72,473
CHIEF PUBLIC PROTECTION INSPECTOR	0AB32A	3.0	206,983	3.0	211,761
IMPLEMENTATION AIDE	0AB22A	1.0	57,648	1.0	57,648
PARI-MUTUAL OPERATIONS SPECIALIST	03726A	4.0	210,109	4.0	215,496
SYSTEMS ANALYST	00124A	1.0	50,100	1.0	51,671
LICENSING AIDE	0AB15A	4.0	166,168	4.0	166,906
FISCAL CLERK	00014A	1.0	20,452	1.0	20,876
Subtotal		19.0	\$1,155,387	19.0	\$1,173,981
Cost Allocation from Other Programs		0.8	89,449	0.8	89,838
Temporary and Seasonal		-	10,851	-	14,468
Turnover		-	(92,232)	-	(9,918)
Subtotal		0.8	\$8,068	0.8	\$94,388
Total Salaries		19.8	\$1,163,455	19.8	\$1,268,369
Benefits					
Payroll Accrual			6,605		7,170
FICA			88,654		96,750
Retiree Health			68,809		74,984
Health Benefits			250,624		277,558
Retirement			303,472		325,891
Subtotal			\$718,164		\$782,353
Total Salaries and Benefits		19.8	\$1,881,619	19.8	\$2,050,722
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$94,722		\$103,101
Statewide Benefit Assessment			\$53,402		\$56,603
Payroll Costs		19.8	\$1,935,021	19.8	\$2,107,325
Purchased Services					
Clerical and Temporary Services			640		640
Other Contracts			400		400
Subtotal			\$1,040		\$1,040
Total Personnel		19.8	\$1,936,061	19.8	\$2,108,366
Distribution By Source Of Funds					
General Revenue		9.8	\$861,476	9.8	\$882,412
Restricted Receipts		10.0	\$1,074,585	10.0	\$1,225,954
Total All Funds		19.8	\$1,936,061	19.8	\$2,108,366

The Program

Department Of Business Regulation Boards for Design Professionals

Program Mission

To examine, license, register and act upon complaints brought before the Boards for professional engineers, land surveyors, landscape architects and architects for the purpose of safeguarding the health, safety, and welfare of the public.

Program Description

In the 1998 enacted budget, the Boards for Design Professionals was created as a consolidated program consisting of the Board of Registration for Professional Engineers, the Board of Registration for Professional Land Surveyors, the Board of Examiners of Landscape Architects and the Board of Examination and Registration of Architects.

Each Board is responsible for: examining and registering qualified candidates; issuing license renewals when appropriate; proctoring exams when appropriate; maintaining records of all applicants and licensees; implementing rules and regulation; and hearing and acting upon complaints.

In the FY 2008 enacted budget, the Boards for Design Professionals was moved to the Department of Business Regulation as a division known as Design Professionals.

Statutory History

The Boards of Land Surveyors and Engineers were established by Title 5, Chapter 8 of the Rhode Island General Laws in 1938. In 1990, Chapter 8 was amended and Chapter 8.1 was enacted, creating two Boards – The Board of Engineers and the Board of Land Surveyors, both within the Department of Business Regulation. In 1991, the law was further amended to allow the Boards to become autonomous.

The Board of Architects was established in by Chapter 23-27 of the Rhode Island Public Laws in 1936. This law was repealed in its entirety in 1977, and was replaced by Title 5, Chapter 1 of the Rhode Island General Laws.

The Board of Landscape Architects was established by Title 5, Chapter 51 of the Rhode Island General Laws 1975.

The Budget

Department Of Business Regulation Boards for Design Professionals

	2015 Audited	2016 Audited	2017 Enacted	2017 Revised	2018 Recommend
Expenditures By Subprogram					
Operations	258,827	265,343	273,080	356,246	362,455
Total Expenditures	\$258,827	\$265,343	\$273,080	\$356,246	\$362,455
Expenditures By Object					
Personnel	206,209	211,574	215,527	298,693	304,902
Operating Supplies and Expenses	52,603	53,635	57,553	57,553	57,553
Subtotal: Operating Expenditures	258,812	265,209	273,080	356,246	362,455
Capital Purchases and Equipment	15	134	-	-	-
Total Expenditures	\$258,827	\$265,343	\$273,080	\$356,246	\$362,455
Expenditures By Funds					
General Revenue	258,827	265,343	273,080	356,246	362,455
Total Expenditures	\$258,827	\$265,343	\$273,080	\$356,246	\$362,455

Personnel

Department Of Business Regulation Boards for Design Professionals

	Grade	FY 2017		FY 2018	
		FTE	Cost	FTE	Cost
Unclassified					
ADMINISTRATIVE SUPPORT SPECIALIST	00324A	1.0	68,740	1.0	69,349
ADMINISTRATIVE ASSISTANT	00823A	2.0	116,091	2.0	117,932
Subtotal		3.0	\$184,831	3.0	\$187,281
Turnover		-	(1,893)	-	-
Subtotal		-	(\$1,893)	-	-
Total Salaries		3.0	\$182,938	3.0	\$187,281
Benefits					
Payroll Accrual			1,051		1,075
FICA			13,995		14,327
Retiree Health			10,922		11,200
Health Benefits			33,538		34,622
Retirement			46,829		47,331
Subtotal			\$106,335		\$108,555
Total Salaries and Benefits		3.0	\$289,273	3.0	\$295,836
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$96,424		\$98,612
Statewide Benefit Assessment			\$8,220		\$7,866
Payroll Costs		3.0	\$297,493	3.0	\$303,702
Purchased Services					
Clerical and Temporary Services			1,200		1,200
Subtotal			\$1,200		\$1,200
Total Personnel		3.0	\$298,693	3.0	\$304,902
Distribution By Source Of Funds					
General Revenue		3.0	\$298,693	3.0	\$304,902
Total All Funds		3.0	\$298,693	3.0	\$304,902

The Program

Department Of Business Regulation Office of Health Insurance Commissioner

Program Mission

While ensuring the solvency of health insurers, the Office of the Health Insurance Commissioner strives to protect consumers, encourage the fair treatment of providers, and work collaboratively with all interested parties to improve the health care system's quality, accessibility, and affordability.

Program Description

The Office of the Health Insurance Commissioner (OHIC) is the first state agency dedicated solely to health insurance oversight – balancing traditional regulation with policy development. OHIC's core functions include: (1) consumer protection and market conduct; (2) health plan form and rate review across multiple health insurance lines of business (3) statutory and regulatory enforcement; and (4) policy development for health care system reform. OHIC oversees health insurer business practices in a market comprising over one billion dollars of premium.

The Department of Business Regulation shares certain administrative and regulatory services and personnel with the Office of the Health Insurance Commissioner as directed by statute.

Statutory History

R.I.G.L. §42-14.5-1 established the Office of the Health Insurance Commissioner. Applicable insurer regulations are found in various chapters of the R.I.G.L. Titles 27 and 42.

The Budget

Department Of Business Regulation Office of Health Insurance Commissioner

	2015 Audited	2016 Audited	2017 Enacted	2017 Revised	2018 Recommend
Expenditures By Subprogram					
Operations	2,640,165	3,551,977	2,561,271	3,470,472	2,735,299
Total Expenditures	\$2,640,165	\$3,551,977	\$2,561,271	\$3,470,472	\$2,735,299
Expenditures By Object					
Personnel	2,616,814	3,501,424	2,487,021	3,412,315	2,671,762
Operating Supplies and Expenses	22,068	45,920	74,250	58,157	63,537
Subtotal: Operating Expenditures	2,638,882	3,547,344	2,561,271	3,470,472	2,735,299
Capital Purchases and Equipment	1,283	4,633	-	-	-
Total Expenditures	\$2,640,165	\$3,551,977	\$2,561,271	\$3,470,472	\$2,735,299
Expenditures By Funds					
General Revenue	419,595	470,036	1,449,061	1,447,576	1,614,318
Federal Funds	2,216,757	3,073,024	1,100,710	2,011,396	892,213
Restricted Receipts	3,813	8,917	11,500	11,500	228,768
Total Expenditures	\$2,640,165	\$3,551,977	\$2,561,271	\$3,470,472	\$2,735,299

Personnel

Department Of Business Regulation Office of Health Insurance Commissioner

	Grade	FY 2017		FY 2018	
		FTE	Cost	FTE	Cost
Classified					
HEALTH ECONOMICS SPECIALIST	00131A	-	-	2.0	127,676
Subtotal		-	-	2.0	\$127,676
Unclassified					
HEALTH INSURANCE COMMISSIONER	00854A	1.0	195,430	1.0	195,430
EXECUTIVE ASSISTANT/CHIEF OF STAFF	00841A	1.0	123,907	1.0	123,907
DEPUTY EXECUTIVE	00841A	1.0	116,243	1.0	121,487
ASSOCIATE DIRECTOR FOR PLANNING, POLICY & ASSISTANT DIRECTOR POLICY OFFICE	00843A	2.0	221,806	-	-
PRINCIPAL POLICY ASSOCIATE	00839A	1.0	103,109	1.0	103,109
EXECUTIVE DIRECTOR	00837A	3.0	296,215	3.0	293,091
SPECIAL PROJECTS COORDINATOR	00836A	1.0	83,741	1.0	86,802
PRINCIPAL PLANNING AND PROGRAM SPECIALIST	00829A	1.0	73,415	1.0	66,328
ADMINISTRATIVE OFFICER	00828A	1.0	66,413	1.0	67,199
ADMINISTRATIVE OFFICER	00822A	1.0	50,157	1.0	52,428
Subtotal		13.0	\$1,330,436	11.0	\$1,109,781
Turnover		-	(183,263)	-	-
Subtotal		-	(\$183,263)	-	-
Total Salaries		13.0	\$1,147,173	13.0	\$1,237,457
Benefits					
Payroll Accrual			6,602		7,134
FICA			83,178		90,305
Retiree Health			68,487		74,000
Health Benefits			164,266		162,953
Retirement			303,010		320,959
Subtotal			\$625,543		\$655,351
Total Salaries and Benefits		13.0	\$1,772,716	13.0	\$1,892,808
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$136,363		\$145,601
Statewide Benefit Assessment			\$53,006		\$55,147
Payroll Costs		13.0	\$1,825,722	13.0	\$1,947,955
Purchased Services					
Management & Consultant Services			1,586,593		723,807
Subtotal			\$1,586,593		\$723,807
Total Personnel		13.0	\$3,412,315	13.0	\$2,671,762
Distribution By Source Of Funds					
General Revenue		5.3	\$1,413,091	6.0	\$1,565,938
Federal Funds		7.7	\$1,999,224	5.0	\$888,556
Restricted Receipts		-	-	2.0	\$217,268
Total All Funds		13.0	\$3,412,315	13.0	\$2,671,762

Agency

Executive Office Of Commerce

Agency Mission

The Executive Office of Commerce is authorized and established as the State's lead agency for economic development throughout Rhode Island for the following purposes:

To promote and encourage the preservation, expansion, and sound development of new and existing industry, business, commerce, agriculture, tourism, and recreational facilities in the State.

To create opportunities for economic stability and employment through a business climate that fosters opportunity for all Rhode Islanders.

Agency Description

The Executive Office of Commerce serves as the principal agency of the executive branch of State government for managing the promotion of commerce and the economy within the State. The Secretary of Commerce, appointed by the Governor with the advice and consent of the Senate, oversees the Executive Office of Commerce. The Secretary is charged with coordinating a cohesive direction of the State's economic development activities. The agency operates the following functions:

Department of Business Regulation

Office of Health Insurance Commissioner

Housing and Community Development

Rhode Island Commerce Corporation (and all pass-through grant appropriations)

I-195 Redevelopment District Commission

Economic Development Initiatives Fund

Commerce Programs

Other special legislative programs and initiatives

Statutory History

The Executive Office of Commerce was created by the 2013 General Assembly for the purpose of developing an integrated system of economic development activities. RIGL 42-64.19 establishes the Executive Office of Commerce.

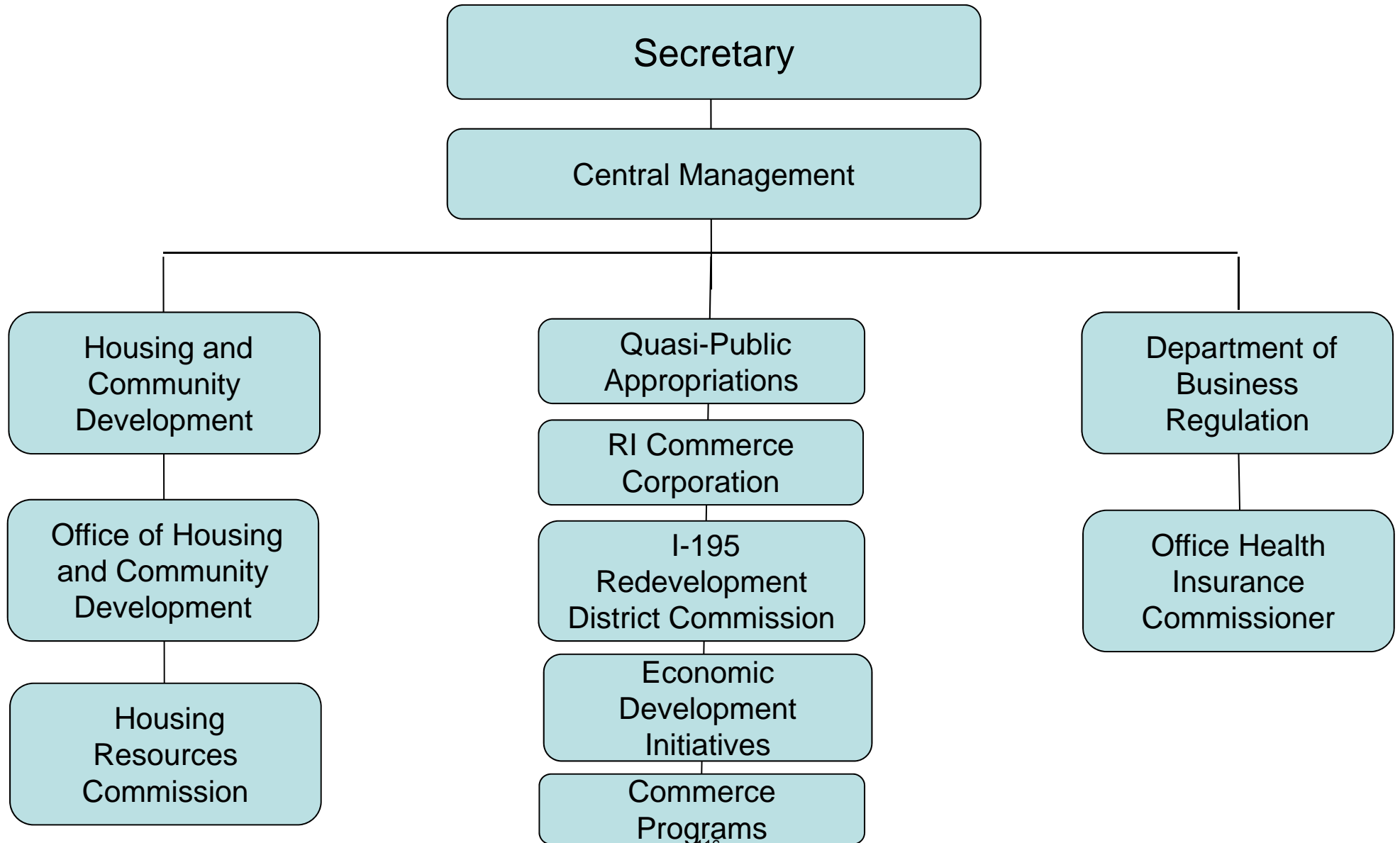
Budget

Executive Office Of Commerce

	FY 2015 Audited	FY 2016 Audited	FY 2017 Enacted	FY 2017 Revised	FY 2018 Recommend
Expenditures By Program					
Central Management	203,145	718,500	1,200,198	1,255,549	1,363,714
Housing and Community Development	-	13,320,595	23,158,132	23,630,764	22,692,415
Quasi-Public Appropriations	-	16,418,704	13,156,714	12,717,513	15,586,714
Economic Development Initiatives Fund	-	42,958,000	36,900,000	38,400,000	33,850,000
Commerce Programs	-	-	5,000,000	3,500,000	2,800,000
Total Expenditures	\$203,145	\$73,415,799	\$79,415,044	\$79,503,826	\$76,292,843
Expenditures By Object					
Personnel	134,648	1,683,173	2,386,349	2,467,370	2,614,378
Operating Supplies and Expenses	100	40,471	101,981	117,739	159,119
Assistance and Grants	-	12,110,688	23,020,000	22,298,954	22,429,382
Subtotal: Operating Expenditures	134,748	13,834,332	25,508,330	24,884,063	25,202,879
Capital Purchases and Equipment	-	8,224	1,300,000	753,933	2,903,250
Operating Transfers	68,397	59,573,243	52,606,714	53,865,830	48,186,714
Total Expenditures	\$203,145	\$73,415,799	\$79,415,044	\$79,503,826	\$76,292,843
Expenditures By Funds					
General Revenue	203,145	60,458,832	55,574,117	55,735,212	51,342,819
Federal Funds	-	10,016,268	17,790,927	18,266,931	17,890,642
Restricted Receipts	-	2,681,142	4,750,000	4,750,000	4,159,382
Operating Transfers from Other Funds	-	259,557	1,300,000	751,683	2,900,000
Total Expenditures	\$203,145	\$73,415,799	\$79,415,044	\$79,503,826	\$76,292,843
FTE Authorization	5.0	16.0	16.0	16.0	17.0

The Agency

Executive Office of Commerce



Personnel

Executive Office Of Commerce Agency Summary

	Grade	FY 2017		FY 2018	
		FTE	Cost	FTE	Cost
Classified		11.0	802,889	12.0	870,089
Unclassified		5.0	740,392	5.0	737,345
Subtotal		16.0	\$1,543,281	17.0	\$1,607,434
Interdepartmental Transfer		-	55,710	-	65,868
Turnover		-	(\$10,322)	-	-
Subtotal		-	\$45,388	-	\$65,868
Total Salaries		16.0	\$1,588,669	17.0	\$1,673,302
Benefits					
Payroll Accrual			9,159		9,526
Retiree Health			94,844		100,066
Health Benefits			168,825		198,662
FICA			113,211		119,303
Retirement			420,170		436,208
Subtotal			\$806,209		\$863,765
Total Salaries and Benefits		16.0	\$2,394,878	17.0	\$2,537,067
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$149,586		\$149,151
Statewide Benefit Assessment			\$72,492		\$77,311
Payroll Costs		16.0	\$2,467,370	17.0	\$2,614,378
Total Personnel		16.0	\$2,467,370	17.0	\$2,614,378
Distribution By Source Of Funds					
General Revenue		10.5	\$1,785,382	10.5	\$1,879,725
Federal Funds		5.6	\$681,988	6.6	\$734,653
Total All Funds		16.0	\$2,467,370	17.0	\$2,614,378

Performance Measures

Executive Office Of Commerce

New Jobs Created with Assistance from State Incentive Programs

The figures below represent the number of new jobs created since January 2016 by businesses that received assistance from State incentive programs. [Note: Calendar year 2016 data is as of 9/30/2016.]

	2014	2015	2016	2017	2018
Target	--	--	250	1,000	1,000
Actual	--	--	490	--	--

Performance for this measure is reported by calendar year.

New Development Created with Assistance from State Incentive Programs

The figures below represent the square feet of new development created since January 2016 with assistance from State incentive programs. [Note: Calendar year 2016 data is as of 9/30/2016.]

	2014	2015	2016	2017	2018
Target	--	--	180,000	600,000	600,000
Actual	--	--	2,045,000	--	--

Performance for this measure is reported by calendar year.

Private Capital Leveraged for New Development

The figures below represent the total dollars of private capital leveraged for new development since January 2016. [Note: Calendar year 2016 data is as of 9/30/2016.]

	2014	2015	2016	2017	2018
Target	--	--	\$48,000,000	\$160,000,000	\$160,000,000
Actual	--	--	\$453,000,000	--	--

Performance for this measure is reported by calendar year.

Businesses Assisted by Client Services Team

The figures below represent the number of businesses assisted by the Commerce Corporation's Client Services Team since January 2016. [Note: Calendar year 2016 data is as of 9/30/2016.]

	2014	2015	2016	2017	2018
Target	--	--	2,500	5,000	5,000
Actual	--	--	2,270	--	--

Performance for this measure is reported by calendar year.

Performance Measures

Executive Office Of Commerce

Tourist and Visitor Expenditures

The figures below represent the increase in annual tourist and visitor expenditures over the baseline of 2015. [Note: This report is released annually; 2016 interim figures are not available.]

	2014	2015	2016	2017	2018
Target	--	--	\$50,000,000	\$200,000,000	\$200,000,000
Actual	--	--	--	--	--

Performance for this measure is reported by calendar year.

The Program

Executive Office Of Commerce Central Management

Program Mission

To coordinate the administration and financing of the functions within the Executive Office of Commerce.

Program Description

The Central Management function of the Executive Office of Commerce is overseen by the Secretary of Commerce. This unit oversees the various functions of the Executive Office of Commerce and is responsible for the strategic planning, administration, and financing of the agency.

Statutory History

The Executive Office of Commerce was created by the 2013 General Assembly for the purpose of developing an integrated system of economic development activities. RIGL 42-64.19 establishes the Executive Office of Commerce.

The Budget

Executive Office Of Commerce Central Management

	2015 Audited	2016 Audited	2017 Enacted	2017 Revised	2018 Recommend
Expenditures By Subprogram					
Operations	203,145	718,500	1,200,198	1,255,549	1,363,714
Total Expenditures	\$203,145	\$718,500	\$1,200,198	\$1,255,549	\$1,363,714
Expenditures By Object					
Personnel	134,648	503,768	1,144,796	1,187,105	1,252,890
Operating Supplies and Expenses	100	9,969	55,402	66,194	107,574
Subtotal: Operating Expenditures	134,748	513,737	1,200,198	1,253,299	1,360,464
Capital Purchases and Equipment	-	8,224	-	2,250	3,250
Operating Transfers	68,397	196,539	-	-	-
Total Expenditures	\$203,145	\$718,500	\$1,200,198	\$1,255,549	\$1,363,714
Expenditures By Funds					
General Revenue	203,145	718,500	1,200,198	1,255,549	1,363,714
Total Expenditures	\$203,145	\$718,500	\$1,200,198	\$1,255,549	\$1,363,714

Personnel

Executive Office Of Commerce Central Management

	Grade	FY 2017		FY 2018	
		FTE	Cost	FTE	Cost
Classified					
ASSISTANT ADMINISTRATOR, FINANCIAL	00134A	0.3	17,269	0.3	17,902
Subtotal		0.3	\$17,269	0.3	\$17,902
Unclassified					
SECRETARY OF COMMERCE	00856A	1.0	205,706	1.0	205,706
DEPUTY SECRETARY OF COMMERCE	00853A	1.0	164,497	1.0	170,460
DEPUTY CHIEF OF STAFF/POLICY	00845A ¹	1.0	160,417	1.0	144,121
GENERAL COUNSEL (OFFICE OF COMMERCE)	00846A	1.0	127,439	1.0	131,715
EXECUTIVE ASSISTANT	00836A	1.0	82,333	1.0	85,343
Subtotal		5.0	\$740,392	5.0	\$737,345
Interdepartmental Transfer		-	36,620	-	65,868
Turnover		-	(10,322)	-	-
Subtotal		-	\$26,298	-	\$65,868
Total Salaries		5.3	\$783,959	5.3	\$821,115
Benefits					
Payroll Accrual			4,505		4,609
FICA			51,648		54,109
Retiree Health			46,802		49,105
Health Benefits			58,471		71,458
Retirement			206,494		213,492
Subtotal			\$367,920		\$392,773
Total Salaries and Benefits		5.3	\$1,151,879	5.3	\$1,213,888
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$219,406		\$231,217
Statewide Benefit Assessment			\$35,226		\$39,002
Payroll Costs		5.3	\$1,187,105	5.3	\$1,252,890
Total Personnel		5.3	\$1,187,105	5.3	\$1,252,890
Distribution By Source Of Funds					
General Revenue		5.3	\$1,187,105	5.3	\$1,252,890
Total All Funds		5.3	\$1,187,105	5.3	\$1,252,890

¹ Includes military differential pay.

The Program

Executive Office Of Commerce Housing and Community Development

Program Mission

To provide financial and operational support for all housing programs administered by the Housing Resources Commission, federal Community Development Block (CDBG) program, and related programs.

To provide opportunities for healthy and affordable housing through production and the coordination of the homeless system and implementation of the State's plan to end homelessness.

Program Description

Housing and Community Development is comprised of the Housing Resources Commission (HRC) and the Office of Community Development, which administers the federal Community Development Block (CDBG) Grant and related programs.

The Housing Resources Commission (HRC) is responsible for the disbursement of funds for a rental assistance program, which will provide housing to homeless individuals and families by non-profit homeless service providers.

Statutory History

RIGL 42-64.19-7(h) transfers the housing and community development functions from the Department of Administration to the Executive Office of Commerce.

The Budget

Executive Office Of Commerce Housing and Community Development

	2015 Audited	2016 Audited	2017 Enacted	2017 Revised	2018 Recommend
Expenditures By Subprogram					
Housing and Community Development	-	10,639,453	18,408,132	18,880,764	18,533,033
Housing Resources Commission	-	2,681,142	4,750,000	4,750,000	4,159,382
Total Expenditures	-	\$13,320,595	\$23,158,132	\$23,630,764	\$22,692,415
Expenditures By Object					
Personnel	-	1,179,405	1,241,553	1,280,265	1,361,488
Operating Supplies and Expenses	-	30,502	46,579	51,545	51,545
Assistance and Grants	-	12,110,688	21,870,000	22,298,954	21,279,382
Subtotal: Operating Expenditures	-	13,320,595	23,158,132	23,630,764	22,692,415
Total Expenditures	-	\$13,320,595	\$23,158,132	\$23,630,764	\$22,692,415
Expenditures By Funds					
General Revenue	-	623,185	617,205	613,833	642,391
Federal Funds	-	10,016,268	17,790,927	18,266,931	17,890,642
Restricted Receipts	-	2,681,142	4,750,000	4,750,000	4,159,382
Total Expenditures	-	\$13,320,595	\$23,158,132	\$23,630,764	\$22,692,415

Personnel

Executive Office Of Commerce Housing and Community Development

	Grade	FY 2017		FY 2018	
		FTE	Cost	FTE	Cost
Classified					
CHIEF OFFICE OF HOUSING AND COMMUNITY	00138A ²	1.0	106,030	1.0	106,031
PROGRAMMING SERVICES OFFICER	00131A	1.0	76,725	1.0	76,725
PRINCIPAL PLANNER	03529A	1.0	73,824	1.0	73,824
SUPERVISOR LOCAL GOVERNMENT ASSISTANCE	00833A	1.0	71,678	1.0	71,678
SUPERVISOR LOCAL GOVERNMENT ASSISTANCE	00133A	1.0	71,507	1.0	71,507
ASSISTANT ADMINISTRATOR, FINANCIAL	00134A	0.8	51,808	0.8	53,706
HOUSING COMMISSION COORDINATOR	00128A	3.0	201,479	3.0	201,479
PRINCIPAL PLANNER	00829A	2.0	132,569	3.0	197,237
Subtotal		10.8	\$785,620	11.8	\$852,187
Interdepartmental Transfer		-	19,090	-	-
Subtotal		-	\$19,090	-	-
Total Salaries		10.8	\$804,710	11.8	\$852,187
Benefits					
Payroll Accrual			4,654		4,917
FICA			61,563		65,194
Retiree Health			48,042		50,961
Health Benefits			110,354		127,204
Retirement			213,676		222,716
Subtotal			\$438,289		\$470,992
Total Salaries and Benefits		10.8	\$1,242,999	11.8	\$1,323,179
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$115,520		\$112,515
Statewide Benefit Assessment			\$37,266		\$38,309
Payroll Costs		10.8	\$1,280,265	11.8	\$1,361,488
Total Personnel		10.8	\$1,280,265	11.8	\$1,361,488
Distribution By Source Of Funds					
General Revenue		5.2	\$598,277	5.2	\$626,835
Federal Funds		5.6	\$681,988	6.6	\$734,653
Total All Funds		10.8	\$1,280,265	11.8	\$1,361,488

² New position to support Office of Housing and Community Development with federal grant management and is funded 100% with federal funds.

The Program

Executive Office Of Commerce Quasi-Public Appropriations

Program Mission

To supervise the work of the Rhode Island Commerce Corporation, the I-195 Redevelopment District Commission, and special legislative programs and initiatives.

Program Description

This program includes appropriations made to the Rhode Island Commerce Corporation, the I-195 Redevelopment District Commission, and other special legislative programs and initiatives.

Statutory History

RIGL 42-64.19-6(1) charges the Secretary of Commerce to supervise the work of the Rhode Island Commerce Corporation. RIGL 42-64 establishes the Rhode Island Commerce Corporation. RIGL 42-64.14 creates the I-195 Redevelopment Act of 2011. RIGL 42-64.14-5 creates the I-195 Redevelopment District Commission.

The Budget

Executive Office Of Commerce Quasi-Public Appropriations

	2015 Audited	2016 Audited	2017 Enacted	2017 Revised	2018 Recommend
Expenditures By Subprogram					
RI Commerce Corporation	-	7,394,514	7,394,514	7,434,514	7,474,514
RI Commerce Corp. - Executive Office of Commerce Programs	-	3,600,000	-	-	-
RI Commerce Corporation Pass Through Grants	-	4,319,866	3,701,200	3,701,200	4,451,200
I-195 Redevelopment District	-	1,104,324	2,061,000	1,581,799	3,661,000
Total Expenditures	-	\$16,418,704	\$13,156,714	\$12,717,513	\$15,586,714
Expenditures By Object					
Assistance and Grants	-	-	1,150,000	-	1,150,000
Subtotal: Operating Expenditures	-	-	1,150,000	-	1,150,000
Capital Purchases and Equipment	-	-	1,300,000	751,683	2,900,000
Operating Transfers	-	16,418,704	10,706,714	11,965,830	11,536,714
Total Expenditures	-	\$16,418,704	\$13,156,714	\$12,717,513	\$15,586,714
Expenditures By Funds					
General Revenue	-	16,159,147	11,856,714	11,965,830	12,686,714
Operating Transfers from Other Funds	-	259,557	1,300,000	751,683	2,900,000
Total Expenditures	-	\$16,418,704	\$13,156,714	\$12,717,513	\$15,586,714

The Program

Executive Office Of Commerce

Economic Development Initiatives Fund

Program Mission

Encompasses the Economic Development Initiatives Fd which is utilized for economic development initiatives and programs.

Program Description

This program includes those economic development initiatives and programs that will enable the Executive Office of Commerce to carry out its mission of promoting and developing commerce and creating opportunity for all Rhode Islanders.

Statutory History

The Executive Office of Commerce was created by the 2013 General Assembly for the purpose of developing an integrated system of economic development activities. RIGL 42-64.19 establishes the Executive Office of Commerce as the state's lead agency for economic development throughout Rhode Island. The Economic Development Initiatives Fund was initially enacted as part of the FY 2016 Appropriations Act.

The Budget

Executive Office Of Commerce Economic Development Initiatives Fund

	2015 Audited	2016 Audited	2017 Enacted	2017 Revised	2018 Recommend
Expenditures By Subprogram					
Economic Development Initiatives Fund	-	42,958,000	36,900,000	38,400,000	33,850,000
Total Expenditures	-	\$42,958,000	\$36,900,000	\$38,400,000	\$33,850,000
Expenditures By Object					
Operating Transfers	-	42,958,000	36,900,000	38,400,000	33,850,000
Total Expenditures	-	\$42,958,000	\$36,900,000	\$38,400,000	\$33,850,000
Expenditures By Funds					
General Revenue	-	42,958,000	36,900,000	38,400,000	33,850,000
Total Expenditures	-	\$42,958,000	\$36,900,000	\$38,400,000	\$33,850,000

The Program

Executive Office Of Commerce Commerce Programs

Program Mission

To house the economic initiatives that will carry out the mission of the Executive Office of Commerce.

Program Description

This program includes those economic development initiatives and programs that will enable the Executive Office of Commerce to carry out its mission of promoting and developing commerce and creating opportunity for all Rhode Islanders.

Statutory History

The Executive Office of Commerce was created by the 2013 General Assembly for the purpose of developing an integrated system of economic development activities. RIGL 42-64.19 establishes the Executive Office of Commerce as the state's lead agency for economic development throughout Rhode Island.

The Budget

Executive Office Of Commerce Commerce Programs

	2015 Audited	2016 Audited	2017 Enacted	2017 Revised	2018 Recommend
Expenditures By Subprogram					
Economic Initiatives	-	-	5,000,000	3,500,000	2,800,000
Total Expenditures	-	-	\$5,000,000	\$3,500,000	\$2,800,000
Expenditures By Object					
Operating Transfers	-	-	5,000,000	3,500,000	2,800,000
Total Expenditures	-	-	\$5,000,000	\$3,500,000	\$2,800,000
Expenditures By Funds					
General Revenue	-	-	5,000,000	3,500,000	2,800,000
Total Expenditures	-	-	\$5,000,000	\$3,500,000	\$2,800,000

Agency

Department Of Labor And Training

Agency Mission

To provide and administer public programs for workforce development, income support, injured workers services, and workforce regulation and safety that respond to customer expectations, leads to an improved economy, and enhance the quality of life for all residents in Rhode Island.

Agency Description

The Department of Labor and Training is the primary workforce agency responsible for the administration of a comprehensive array of employment and training services to Rhode Island's job seekers and business community. It executes programs and administers laws governing the following six program areas: Executive Management, Income Support, Workforce Development Services and Worker's Compensation, Workforce Regulation and Safety and the Labor Relations Board.

The Executive Management Program is responsible for strategic planning, policy and oversight to promote all departmental functions and to ensure the efficient and effective use of federal and state resources.

The Income Support Program encompasses all functions and activities related to Unemployment Insurance (UI), Temporary Disability Insurance (TDI), and the Police and Fire Relief Fund(P&F).

The Workforce Development Program administers federal and state employment and training programs designed to help individuals find gainful employment and employers' with skilled workers. The program includes the Governor's Workforce Board (State Workforce Investment Board and the Human Resource Investment Council) which was established to unify the governing mandates defined by both state and federal legislation and to institute common statewide policies, goals and strategies for the coordination of employment and training programs, employment-associated educational programs and related services for all system stakeholders. This alignment ensures strategies that create and address a demand-driven workforce agenda that is responsive to the needs of Rhode Island businesses.

The Workers Compensation Program operates the State's Workers' Compensation System. The Dr. John E. Donley Center provides work hardening rehabilitation services for workers injured on the job. The Workers' Compensation Education unites educates and provides information to workers and employers regarding Workers' Compensation laws and regulations.

The Workforce Regulation and Safety Program is responsible for enforcing laws relating to professional regulation, labor standards, occupational health and safety and certification of weights and measures.

The Labor Relations Board is responsible for public sector bargaining unit determinations, collective bargaining elections, and investigations of charges of unfair practices.

Statutory History

R.I.G.L. 42-16 created the department in 1996. R.I.G.L. 42-6 authorizes the appointment of the Director of Labor and Training.

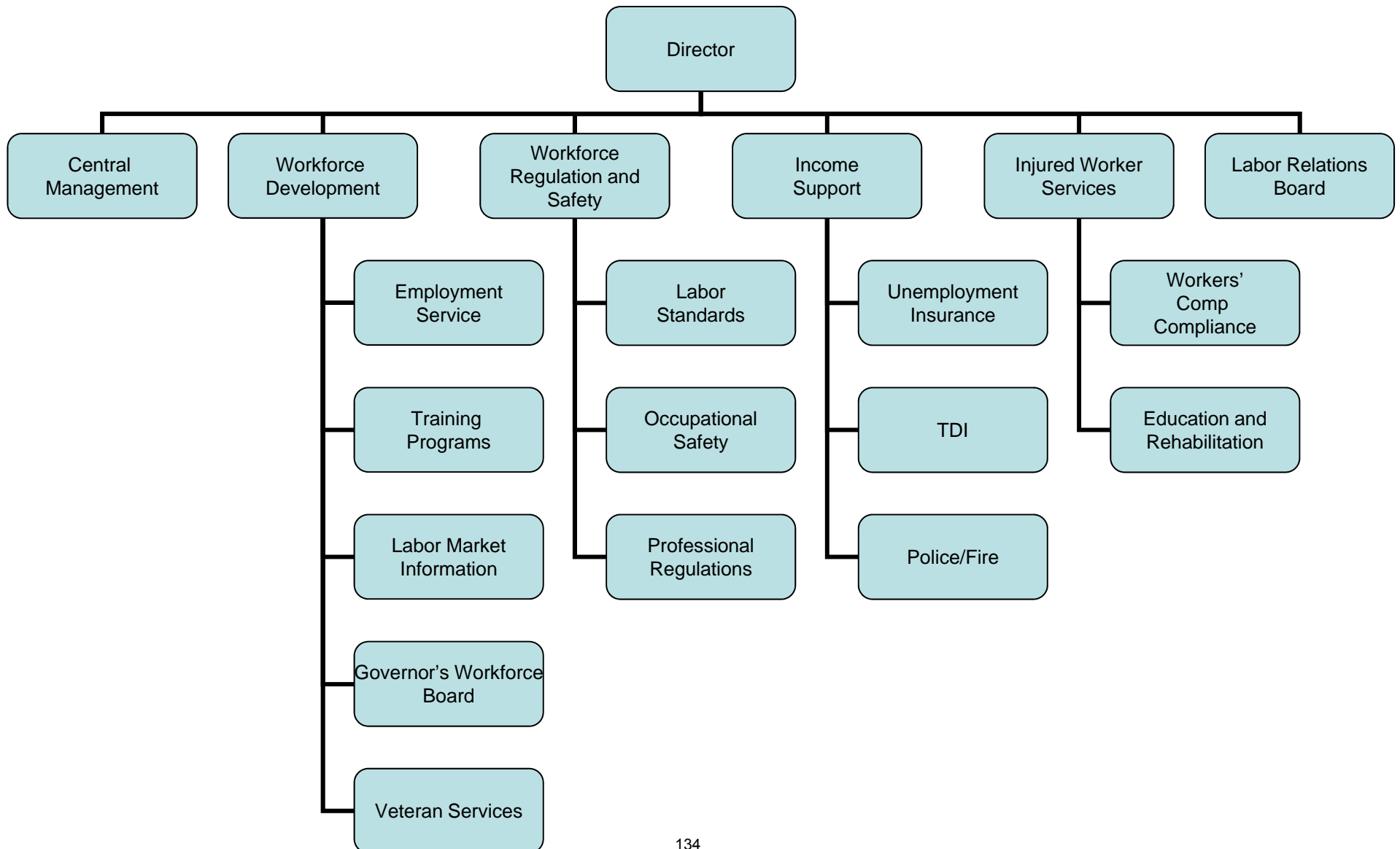
Budget

Department Of Labor And Training

	FY 2015 Audited	FY 2016 Audited	FY 2017 Enacted	FY 2017 Revised	FY 2018 Recommend
Expenditures By Program					
Central Management	679,226	2,892,443	2,554,448	2,518,406	1,951,919
Workforce Development Services	30,527,203	36,169,263	36,864,600	55,646,182	34,751,523
Workforce Regulation and Safety	2,792,826	2,971,965	2,825,411	2,813,335	3,468,398
Income Support	386,704,180	375,243,644	368,318,420	374,621,921	379,112,413
Injured Workers Services	8,128,507	7,620,544	8,552,358	8,580,397	8,701,434
Labor Relations Board	381,678	439,709	402,491	404,549	397,335
Total Expenditures	\$429,213,620	\$425,337,568	\$419,517,728	\$444,584,790	\$428,383,022
Expenditures By Object					
Personnel	42,208,004	44,403,354	45,298,838	50,650,607	46,179,760
Operating Supplies and Expenses	5,288,429	7,804,453	4,476,159	4,527,850	4,285,819
Assistance and Grants	358,778,871	352,913,019	361,582,771	375,487,872	367,441,119
Aid to Local Units of Government	76,960	-	-	-	-
Subtotal: Operating Expenditures	406,352,264	405,120,826	411,357,768	430,666,329	417,906,698
Capital Purchases and Equipment	839,163	279,954	2,012,606	2,413,185	1,191,286
Debt Service (Fixed Charges)	350,259	-	-	-	-
Operating Transfers	21,671,934	19,936,788	6,147,354	11,505,276	9,285,038
Total Expenditures	\$429,213,620	\$425,337,568	\$419,517,728	\$444,584,790	\$428,383,022
Expenditures By Funds					
General Revenue	8,553,272	8,382,043	8,212,636	8,139,796	8,751,313
Federal Funds	35,913,567	39,141,911	38,451,580	49,186,947	35,459,683
Restricted Receipts	35,172,518	31,568,311	23,585,123	29,710,613	24,090,443
Operating Transfers from Other Funds	225,691	2,116,990	1,905,000	1,531,058	1,130,000
Other Funds	349,348,572	344,128,313	347,363,389	356,016,376	358,951,583
Total Expenditures	\$429,213,620	\$425,337,568	\$419,517,728	\$444,584,790	\$428,383,022
FTE Authorization	410.0	409.5	409.5	436.2	433.7

The Agency

Department of Labor and Training



Personnel

Department Of Labor And Training Agency Summary

	Grade	FY 2017		FY 2018	
		FTE	Cost	FTE	Cost
Classified		420.2	24,901,272	418.2	24,944,951
Unclassified		16.0	1,584,451	15.5	1,534,338
Subtotal		436.2	\$26,485,723	433.7	\$26,479,289
Cost Allocation from Other Programs		-	3,649,994	-	2,090,569
Cost Allocation to Other Programs		-	(\$3,649,994)	-	(\$2,090,569)
Interdepartmental Transfer		-	46,788	-	-
Overtime		-	260,000	-	260,000
Turnover		-	(\$1,354,401)	-	(\$2,124,315)
Subtotal		-	(\$1,047,613)	-	(\$1,864,315)
Total Salaries		436.2	\$25,438,110	433.7	\$24,614,974
Benefits					
Payroll Accrual			144,864		140,990
Holiday			2,703		2,703
FICA			1,930,770		1,875,234
Retiree Health			1,559,803		1,522,573
Health Benefits			5,650,353		5,663,470
Retirement			6,577,089		6,313,540
Subtotal			\$15,865,582		\$15,518,510
Total Salaries and Benefits		436.2	\$41,303,692	433.7	\$40,133,484
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$94,690		\$92,537
Statewide Benefit Assessment			\$1,196,338		\$1,158,958
Payroll Costs		436.2	\$42,500,030	433.7	\$41,292,442
Purchased Services					
Information Technology			5,448,998		2,259,950
Clerical and Temporary Services			83,777		82,661
Management & Consultant Services			4,921		4,969
Legal Services			299,719		221,983
Other Contracts			462,895		452,152
Buildings and Ground Maintenance			34,368		34,097
Training and Educational Services			111,376		112,490
Medical Services			1,704,523		1,719,016
Subtotal			\$8,150,577		\$4,887,318
Total Personnel		436.2	\$50,650,607	433.7	\$46,179,760
Distribution By Source Of Funds					
General Revenue		39.1	\$3,183,309	39.1	\$3,826,201
Federal Funds		265.4	\$25,356,093	262.9	\$22,574,272
Restricted Receipts		57.4	\$12,865,814	57.4	\$12,428,569
Other Funds		74.3	\$9,245,391	74.3	\$7,350,718
Total All Funds		436.2	\$50,650,607	433.7	\$46,179,760

Performance Measures

Department Of Labor And Training

Timeliness of Unemployment Insurance (UI) First Benefit Payments

The figures below represent the percentage of initial UI claims for benefits paid within 14 days. The United States Department of Labor has set a target of 87 percent. [Note: Calendar year 2016 data is as of 9/19/2016.]

	2014	2015	2016	2017	2018
Target	87%	87%	87%	90%	93%
Actual	77.3%	86%	89.5%	--	--

Performance for this measure is reported by calendar year.

Timeliness of UI Adjudication Decisions

The figures below represent the percentage of contested UI claims adjudicated within 21 days. The United States Department of Labor has set a target of 80 percent. [Note: Calendar year 2016 data is as of 9/19/2016.]

	2014	2015	2016	2017	2018
Target	80%	80%	80%	85%	90%
Actual	30.6%	54.8%	80.6%	--	--

Performance for this measure is reported by calendar year.

UI Call Center Wait Times

The figures below represent the average amount of time a caller spends on hold before reaching an agent in the UI call center. [Note: Calendar year 2016 data is as of 9/14/2016.]

	2014	2015	2016	2017	2018
Target	33 Minutes	25 Minutes	20 Minutes	15 Minutes	10 Minutes
Actual	30 Minutes	25 Minutes	19 Minutes	--	--

Performance for this measure is reported by calendar year.

Timeliness of Labor Standards Case Closure

Labor Standards cases are considered closed when the wage claim is dismissed as not valid, settled, or referred to a hearing. The figures below represent the percentage of cases closed in 90 days or less from date of assignment to examiner.

	2014	2015	2016	2017	2018
Target	--	--	50%	75%	65%
Actual	10%	30%	45%	--	--

Performance for this measure is reported by calendar year.

Performance Measures

Department Of Labor And Training

Real Jobs Rhode Island Employer Engagement

The figures below represent the number of employers participating in Real Jobs Partnerships. [Note: 2016 and 2017 targets have been revised.]

	2014	2015	2016	2017	2018
Target	--	100	200	200	200
Actual	--	186	218	--	--

Performance for this measure is reported by calendar year.

Real Jobs Rhode Island Job Placements

The figures below represent job placements through Real Jobs Partnerships. [Note: 2016 and 2017 targets have been revised.]

	2014	2015	2016	2017	2018
Target	--	--	236	557	590
Actual	--	--	222	--	--

Performance for this measure is reported by calendar year.

The Program

Department Of Labor And Training Central Management

Program Mission

To provide leadership, management and strategic planning for the development and implementation of a cost efficient and effective service delivery system.

To provide competent legal representation and consultation to all departmental staffing the execution of programs and services.

To provide comprehensive financial management, professional staff development and management information services to all divisions within the department.

Program Description

Organized through the Director's office, the Executive Management Program provides leadership, management, planning and program oversight for all departmental activities. An important aspect of the Executive Management program involves the sharing of information with the Department and the Governor's staff, other department directors and agency heads, U.S. Department of Labor representatives, state and federal legislators, local employment and training officials and professional service organizations.

The Executive Management Program provides administrative services for the Department including, legal services, purchasing, facilities and financial management. The Legal Services unit litigates for the department, interprets law and regulations, and provides counsel to the Director and staff on complex legal issues. The Facilities Management and Purchasing Units manage purchasing functions, operate a central stock and mail room, coordinate the maintenance and support of facilities, incident response and management, and other ancillary services. The Financial Management unit prepares the department's budgets, performs appropriation control and cash management functions, and is responsible for all federal and state financial reporting requirements. The Human Resources, Facilities Management and Information Systems functions are centralized functions and are administered in collaboration with the Department of Administration. The Human Resources unit processes all personnel actions, maintains central personnel files and assists with labor relations. The Information Services unit provides the coordination, planning, technical evaluation and implementation of information systems.

Statutory History

Title 42 of the Rhode Island General Laws establishes the responsibilities of the Director.

The Budget

Department Of Labor And Training Central Management

	2015 Audited	2016 Audited	2017 Enacted	2017 Revised	2018 Recommend
Expenditures By Subprogram					
Operations	679,226	2,892,443	2,554,448	2,518,406	1,951,919
Total Expenditures	\$679,226	\$2,892,443	\$2,554,448	\$2,518,406	\$1,951,919
Expenditures By Object					
Personnel	425,454	787,576	620,811	709,870	799,585
Operating Supplies and Expenses	178,898	2,104,627	25,117	40,690	22,092
Assistance and Grants	1,176	215	1,199	217	219
Subtotal: Operating Expenditures	605,528	2,892,418	647,127	750,777	821,896
Capital Purchases and Equipment	73,698	25	1,907,321	1,767,629	1,130,023
Total Expenditures	\$679,226	\$2,892,443	\$2,554,448	\$2,518,406	\$1,951,919
Expenditures By Funds					
General Revenue	102,981	132,154	120,134	134,127	134,315
Restricted Receipts	350,554	643,299	529,314	853,221	687,604
Operating Transfers from Other Funds	225,691	2,116,990	1,905,000	1,531,058	1,130,000
Total Expenditures	\$679,226	\$2,892,443	\$2,554,448	\$2,518,406	\$1,951,919

Personnel

Department Of Labor And Training Central Management

	Grade	FY 2017		FY 2018	
		FTE	Cost	FTE	Cost
Classified					
CHIEF ADMINISTRATOR DIVISION OF REHAB AND	00140A	0.2	24,026	0.2	24,026
ASSISTANT DIRECTOR FINANCIAL AND CONTRACT	00141A	0.2	24,000	0.2	24,000
ADMINISTRATOR, OPERATIONS MANAGEMENT	00141A	1.0	100,482	1.0	102,860
FISCAL MANAGEMENT OFFICER	00B26A	2.0	139,060	2.0	139,060
OFFICE MANAGER	00123A	0.2	10,188	0.2	10,188
ADMINISTRATIVE OFFICER	00124A	1.0	47,140	1.0	48,628
Subtotal		4.6	\$344,896	4.6	\$348,762
Unclassified					
EXECUTIVE COUNSEL	00839A	0.3	32,478	0.3	32,480
LEGAL COUNSEL (EMPLOYMENT SECURITY)	00889F	1.2	110,700	1.2	110,700
Subtotal		1.5	\$143,178	1.5	\$143,180
Turnover		-	(112,910)	-	(66,468)
Subtotal		-	(\$112,910)	-	(\$66,468)
Total Salaries		6.1	\$375,164	6.1	\$425,474
Benefits					
Payroll Accrual			2,172		2,458
FICA			28,702		32,548
Retiree Health			22,395		25,445
Health Benefits			77,814		95,318
Retirement			98,701		110,506
Subtotal			\$229,784		\$266,275
Total Salaries and Benefits		6.1	\$604,948	6.1	\$691,749
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$99,172		\$113,401
Statewide Benefit Assessment			\$17,644		\$19,834
Payroll Costs		6.1	\$622,592	6.1	\$711,583
Purchased Services					
Information Technology			120		122
Clerical and Temporary Services			128		129
Legal Services			15,010		15,010
Other Contracts			72,020		72,741
Subtotal			\$87,278		\$88,002
Total Personnel		6.1	\$709,870	6.1	\$799,585
Distribution By Source Of Funds					
General Revenue		1.8	\$129,432	1.8	\$129,577
Restricted Receipts		4.3	\$580,438	4.3	\$670,008
Total All Funds		6.1	\$709,870	6.1	\$799,585

The Program

Department Of Labor And Training Workforce Development Services

Program Mission

To administer employment and training service programs to match job seekers with suitable job openings, and employers with suitable workers. To provide up-to-date labor market information to workers, employers and students and to help individuals secure employment.

Program Description

The Workforce Development Services program consists of several sub-programs that are designed to help individuals find meaningful work and assist them with basic skills development and training. Workforce development services are accomplished through activities conducted through the following sub-programs:

The Employment Service subprogram provides our customers with a broad array of services, including: employment counseling, occupational exploration, aptitude test and performance testing, career guidance, job search workshops, resume writing seminars, and referrals to training programs. Individuals served as a target population such as Veterans or Trade are offered these same services by specialized staff. For example, in serving Veterans these services are provided by representatives who are Veterans and they are given priority for referrals to job openings.

The Workforce Innovation and Opportunity Act subprogram provides a variety of employment and training programs to prepare youth, unskilled adults, and dislocated workers for entry or re-entry into the labor force. It offers vocational planning, job search workshops, work readiness training, classroom training, and on-the-job training opportunities.

The Trade Adjustment Assistance (TAA) subprogram provides monetary benefits and/or educational assistance to workers who have lost their jobs or whose hours of work and wages have been reduced due to increase imports or a shift in production out of the United States. DLT coordinates with the USDOL's Employment and Training Administration, filing petitions on behalf of the affected worker. TAA services may include job search assistance, educational, interest and aptitude assessment, waivers to training for individuals who pursue employment utilizing their current skills, educational and occupational training, on-the-job training, and relocation allowances and wage supplements. The Alternative Trade Adjustment Assistance program (ATAA) provides additional support services to individuals 50 years of age and older.

The RI Works program provides a broad array of services to beneficiaries of Temporary Assistance for Needy Families (TANF) who must work as a first step in their employment plan. Job search skills, resume writing seminars, vocational counseling and a series of work preparation workshops are provided to TANF beneficiaries to help them get a job as quickly as possible. Additional skills building, training and education opportunities are explored once the work requirement has been achieved.

Statutory History

The Federal Social Security Act of 1935 and the Wagner-Peyser Act created state employment service programs. The Workforce Investment Act of 1998 created state job development and training programs. The Trade Act of 1974 created the Trade Act. R.I.G.L. 42-102 created the RI Works program.

The Budget

Department Of Labor And Training Workforce Development Services

	2015 Audited	2016 Audited	2017 Enacted	2017 Revised	2018 Recommend
Expenditures By Subprogram					
Employment Services	3,697,180	4,335,316	4,764,598	7,333,776	3,189,759
WIOA & Other Training Programs	16,918,393	19,748,750	18,671,997	29,082,132	17,785,672
Labor Market Information	702,011	634,731	638,310	616,278	516,784
Governor's Workforce Board RI	8,549,812	10,898,184	12,028,451	17,970,389	12,601,385
Veteran Services	659,807	552,282	761,244	643,607	657,923
Total Expenditures	\$30,527,203	\$36,169,263	\$36,864,600	\$55,646,182	\$34,751,523
Expenditures By Object					
Personnel	11,567,491	13,085,474	13,398,759	16,420,696	13,160,369
Operating Supplies and Expenses	1,829,065	1,569,739	1,664,440	1,507,262	1,368,038
Assistance and Grants	16,632,086	20,974,873	21,599,560	35,327,322	20,056,207
Aid to Local Units of Government	76,960	-	-	-	-
Subtotal: Operating Expenditures	30,105,602	35,630,086	36,662,759	53,255,280	34,584,614
Capital Purchases and Equipment	337,124	4,835	57,264	5,626	4,648
Operating Transfers	84,477	534,342	144,577	2,385,276	162,261
Total Expenditures	\$30,527,203	\$36,169,263	\$36,864,600	\$55,646,182	\$34,751,523
Expenditures By Funds					
General Revenue	955,748	869,462	704,517	704,517	704,517
Federal Funds	20,990,316	24,021,293	24,121,921	32,723,482	21,320,978
Restricted Receipts	8,549,812	10,898,184	12,028,451	17,970,389	12,601,385
Other Funds	31,327	380,324	9,711	4,247,794	124,643
Total Expenditures	\$30,527,203	\$36,169,263	\$36,864,600	\$55,646,182	\$34,751,523

Personnel

Department Of Labor And Training Workforce Development Services

	Grade	FY 2017		FY 2018	
		FTE	Cost	FTE	Cost
Classified					
DEPUTY DIRECTOR (DLT)	00144A	0.2	28,344	0.2	28,344
ASSISTANT DIRECTOR FINANCIAL AND CONTRACT	00141A	0.1	12,000	0.1	12,000
ASSISTANT DIRECTOR FOR LABOR MARKET INFO	00139A	0.6	67,637	0.6	67,637
ASSISTANT DIRECTOR FOR ADMINISTRATIVE	00143A	0.2	22,308	0.2	23,354
ASSISTANT DIRECTOR FOR PLANNING AND	00139A	1.0	99,623	1.0	103,228
ADMINISTRATOR, FINANCIAL MANAGEMENT	00137A	1.6	156,132	1.6	156,132
EMPLOYMENT AND TRAINING ADMINISTRATOR	00135A	0.7	67,517	0.7	67,517
CHIEF OF LABOR AND TRAINING OPERATIONS	00134A	3.0	262,321	3.0	262,321
CHIEF OF RESEARCH AND ANALYSIS	00134A	0.9	78,594	0.9	78,594
ASSISTANT DIRECTOR FOR EMPLOYMENT &	00139A	1.0	85,381	1.0	88,586
CHIEF PUBLIC AFFAIRS OFFICER (DLT)	00137A	0.2	16,748	0.2	16,748
MANAGEMENT ASSISTANCE SUPERVISOR	00131A	1.0	83,044	1.0	83,044
CHIEF PROGRAM DEVELOPMENT	00134A	1.0	82,220	1.0	82,220
ASSISTANT CHIEF OF PLANNING	00137A	2.0	158,940	2.0	165,938
BUSINESS MANAGEMENT OFFICER	00B26A	0.2	15,080	0.2	15,196
PRINCIPAL DLT BUSINESS OFFICER	00127A	0.3	21,633	0.3	21,633
COORDINATOR OF EMPLOYMENT AND TRAINING	00131A	20.0	1,438,808	20.0	1,459,336
SUPERVISOR OF OFFICE SERVICES (DLT)	00131A	0.2	13,950	0.2	13,950
INVESTIGATIVE AUDITOR	00133A	1.0	69,243	1.0	71,900
CHIEF IMPLEMENTATION AIDE	00128A	2.4	165,841	2.4	167,243
SUPERVISING DLT BUSINESS OFFICER	00132A	1.2	81,192	1.2	83,536
ASSISTANT COORDINATOR OF EMPLOYMENT AND	00129A	7.4	494,565	7.4	505,950
SENIOR EMPLOYMENT AND TRAINING MANAGER	00128A	2.0	126,358	2.0	130,187
SENIOR DLT BUSINESS OFFICER	00324A	2.4	150,614	2.4	150,875
PRINCIPAL RESEARCH TECHNICIAN	00127A	3.0	184,740	3.0	186,544
SENIOR RESEARCH TECHNICIAN	00323A	1.0	59,728	1.0	59,728
CHIEF OF INFORMATION AND PUBLIC RELATIONS	00129A	0.2	11,410	0.2	11,817
SENIOR EMPLOYMENT & TRAINING MONITORING	00126A	5.0	279,601	5.0	286,949
BUSINESS SERVICES SPECIALIST	00324A	9.0	492,556	9.0	492,556
PRINCIPAL EMPLOYMENT AND TRAINING	00323A	32.6	1,778,309	32.6	1,782,920
DLT BUSINESS OFFICER	00321A	1.2	64,651	1.2	64,873
LEGAL ASSISTANT	00319A	0.1	5,321	0.1	5,321
SENIOR COMPUTER OPERATOR	00318A	0.2	9,908	0.2	9,908
EMPLOYMENT AND TRAINING ASSISTANT	00316A	0.3	14,730	0.3	14,730
RESEARCH TECHNICIAN	00319A	2.0	97,550	2.0	97,550
EMPLOYMENT & TRAINING INTERVIEWER &	00320A	0.7	33,624	0.7	33,624
ADMINISTRATIVE OFFICER	00124A	1.0	47,140	1.0	48,629
OFFICE MANAGER	00123A	1.1	50,612	1.1	52,072
LOCAL VETERANS EMPLOYMENT	00320A	3.0	137,464	3.0	138,938
DISABLED VETERANS JOB ASSISTANT	00320A	3.0	130,603	3.0	133,358
ASSISTANT BUSINESS MANAGEMENT OFFICER	00319A	0.3	12,339	0.3	12,613
CENTRAL MAIL ROOM CLERK	00311G	0.4	14,033	0.4	14,155
Subtotal		114.7	\$7,222,412	114.7	\$7,301,754
Unclassified					
DIRECTOR, DEPARTMENT OF LABOR AND	00948KF	0.3	40,500	0.3	40,500

Personnel

Department Of Labor And Training Workforce Development Services

	Grade	FY 2017		FY 2018	
		FTE	Cost	FTE	Cost
EXECUTIVE COUNSEL	00839A	0.2	21,653	0.2	21,653
LEGAL COUNSEL (EMPLOYMENT SECURITY)	00889F	0.3	27,904	0.3	27,904
EXECUTIVE DIRECTOR GOVERNOR'S WORKFORCE	00839A	1.0	88,798	1.0	93,575
SPECIAL ASSISTANT	00829A	0.2	13,984	0.2	13,984
Subtotal		2.0	\$192,839	2.0	\$197,616
Cost Allocation from Other Programs		-	2,497,963	-	972,219
Interdepartmental Transfer		-	46,788	-	-
Turnover		-	(290,938)	-	(730,449)
Subtotal		-	\$2,253,813	-	\$241,770
Total Salaries		116.7	\$9,669,064	116.7	\$7,741,140
Benefits					
Payroll Accrual			54,502		44,023
FICA			741,241		591,954
Retiree Health			578,964		462,916
Health Benefits			2,141,116		1,728,984
Retirement			2,531,418		1,993,049
Subtotal			\$6,047,241		\$4,820,926
Total Salaries and Benefits		116.7	\$15,716,305	116.7	\$12,562,066
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$134,673		\$107,644
Statewide Benefit Assessment			\$460,756		\$367,711
Payroll Costs		116.7	\$16,177,061	116.7	\$12,929,777
Purchased Services					
Information Technology			4,800		4,664
Clerical and Temporary Services			6,586		6,559
Legal Services			3,217		3,249
Other Contracts			208,424		195,899
Buildings and Ground Maintenance			18,084		17,672
Training and Educational Services			2,525		2,550
Subtotal			\$243,636		\$230,593
Total Personnel		116.7	\$16,420,696	116.7	\$13,160,369
Distribution By Source Of Funds					
Federal Funds		107.8	\$11,179,918	107.8	\$10,396,951
Restricted Receipts		8.6	\$3,221,286	8.6	\$2,731,544
Other Funds		0.3	\$2,019,492	0.3	\$31,874
Total All Funds		116.7	\$16,420,696	116.7	\$13,160,369

The Program

Department Of Labor And Training Workforce Regulation and Safety

Program Mission

To impartially administer the labor laws designed to protect consumers, employees, and employers and to ensure the Rhode Island workplace is a safe, competitive, fair environment to work or conduct business.

Program Description

Workforce Regulation & Safety is a regulatory division charged with enforcing the safety laws that protect the state's workforce for fair collection of wages, child labor laws, safety in public buildings, trade licensing, apprenticeship training, hazardous substance exposure, weights and measures, and prevailing wage laws. This is accomplished through licensing, inspection programs, informational and educational programs, and enforcement of the various labor laws.

The Labor Standards unit enforces labor laws. It provides for worker protection in the areas of wages and hours, including but not limited to payment and collection of wages, minimum wages, and overtime provisions. The division also enforces laws regarding work permits on Sundays and holidays, child labor, parental and family leave, and industrial homework.

The Occupational Safety unit safeguards both public and private sector workplace environments by enforcing laws relating to safety compliance, elevators, boilers, hazardous substances, and weights and measures.

The Trade Licensing unit licenses numerous technical professions, and monitors and enforces trade laws pertaining to electricians, hoisting engineers, pipefitters, refrigeration technicians, sprinkler fitters, plumbers, sheet metal workers and telecommunications technicians. Staff responsibilities include conducting on-site inspections to safeguard the health, safety, and welfare of the general public.

The Registered Apprenticeship unit registers apprenticeship training programs. The apprentice training programs are essential in ensuring the creation of criteria for apprenticed occupations, related instruction and the necessary credentialing for a skilled trade workforce. The apprenticeship program also plays an important role in career and vocational day programs in the middle schools, high schools and charter schools in Rhode Island by introducing young students to alternative career choices by providing on-site informational sessions.

The Prevailing Wage unit is responsible for preventing unfair competition and worker exploitation. This is accomplished by enforcing prevailing wage rates for hours worked on public construction projects and ensuring that prevailing wages are paid in accordance with the laws.

Statutory History

In 1939, R.I.G.L. 42-16 established the Department of Labor and defined its functions. The Department of Labor and the Department of Employment and Training were merged into the Department of Labor and Training effective August 6, 1996 by 96-H-8219 Sub A.

The Budget

Department Of Labor And Training Workforce Regulation and Safety

	2015 Audited	2016 Audited	2017 Enacted	2017 Revised	2018 Recommend
Expenditures By Subprogram					
Labor Standards	453,196	303,999	326,344	324,646	586,520
Occupational Safety	796,122	736,288	705,118	697,158	968,841
Professional Regulations	1,543,508	1,931,678	1,793,949	1,791,531	1,913,037
Total Expenditures	\$2,792,826	\$2,971,965	\$2,825,411	\$2,813,335	\$3,468,398
Expenditures By Object					
Personnel	2,538,312	2,833,201	2,565,852	2,561,842	3,208,814
Operating Supplies and Expenses	234,397	132,650	239,041	239,041	239,041
Assistance and Grants	374	318	370	393	395
Subtotal: Operating Expenditures	2,773,083	2,966,169	2,805,263	2,801,276	3,448,250
Capital Purchases and Equipment	17,020	5,796	17,371	12,059	17,371
Operating Transfers	2,723	-	2,777	-	2,777
Total Expenditures	\$2,792,826	\$2,971,965	\$2,825,411	\$2,813,335	\$3,468,398
Expenditures By Funds					
General Revenue	2,792,826	2,971,965	2,825,411	2,813,335	3,468,398
Total Expenditures	\$2,792,826	\$2,971,965	\$2,825,411	\$2,813,335	\$3,468,398

Personnel

Department Of Labor And Training Workforce Regulation and Safety

	Grade	FY 2017		FY 2018	
		FTE	Cost	FTE	Cost
Classified					
DEPUTY DIRECTOR (DLT)	00144A	0.1	14,172	0.1	14,172
ASSISTANT DIRECTOR FOR ADMINISTRATIVE	00143A	0.1	11,154	0.1	11,677
ASSISTANT DIRECTOR DEPARTMENT OF LABOR	00140A	1.0	98,106	1.0	98,106
ADMINISTRATOR, FINANCIAL MANAGEMENT	00137A	0.2	19,516	0.2	19,516
EMPLOYMENT AND TRAINING ADMINISTRATOR	00135A	1.0	97,420	1.0	97,420
CHIEF OF LABOR AND TRAINING OPERATIONS	00134A	1.0	93,966	1.0	93,966
CHIEF LICENSING EXAMINER-DIVISION OF COMM	00333A	1.0	88,128	1.0	88,128
SUPERVISING DLT BUSINESS OFFICER	00132A	0.1	8,586	0.1	8,586
CHIEF PUBLIC AFFAIRS OFFICER (DLT)	00137A	0.1	8,374	0.1	8,374
COORDINATOR OF EMPLOYMENT AND TRAINING	00131A	0.2	16,642	0.2	16,642
CHIEF PREVAILING WAGE INVESTIGATOR	00330A	1.0	78,182	1.0	78,182
CHIEF PLUMBING INVESTIGATOR (BD OF	00330A	1.0	75,723	1.0	75,723
BUSINESS MANAGEMENT OFFICER	00B26A	0.1	7,540	0.1	7,598
CHIEF ELEVATOR INSPECTOR	00330A	1.0	72,895	1.0	72,895
ASSISTANT COORDINATOR OF EMPLOYMENT AND	00129A	0.2	14,427	0.2	14,427
CHIEF IMPLEMENTATION AIDE	00128A	0.2	14,258	0.2	14,258
CHIEF IMPLEMENTATION AIDE	00328A	1.0	71,102	1.0	72,704
SUPERVISOR OF OFFICE SERVICES (DLT)	00131A	0.1	6,975	0.1	6,975
CHIEF MECHANICAL INVESTIGATOR (BD OF	00330A	1.0	69,581	1.0	69,581
SUPERVISOR APPRENTICESHIP TRAINING	00327A	1.0	65,503	1.0	68,484
ASSISTANT ADMINISTRATOR DIVISION OF LABOR	00332A	0.5	31,701	0.5	32,812
SENIOR DLT BUSINESS OFFICER	00324A	0.1	6,325	0.1	6,390
CHIEF BOILER AND PRESSURE VESSEL INSPECTOR	00330A	1.0	63,227	1.0	65,421
PRINCIPAL DLT BUSINESS OFFICER	00127A	0.4	24,823	0.4	25,017
SENIOR INDUSTRIAL SAFETY SPECIALIST (DLT)	00328A	1.0	61,473	1.0	61,473
CHIEF ELECTRICAL INVESTIGATOR (BD. OF EXAM.	00330A	1.0	58,892	1.0	60,918
SENIOR PREVAILING WAGE INVESTIGATOR	00327A	1.0	57,142	1.0	59,050
CHIEF OF INFORMATION AND PUBLIC RELATIONS	00129A	0.1	5,704	0.1	5,908
ADMINISTRATIVE OFFICER	00324A	0.5	27,731	0.5	27,731
APPRENTICESHIP TRAINING COORDINATOR	00324A	1.0	55,462	1.0	55,462
LABOR STANDARDS EXAMINER	00322A	2.0	110,679	2.0	111,648
LEGAL ASSISTANT	00319A	0.2	10,642	0.2	10,642
IMPLEMENTATION AIDE	00322A	3.0	156,606	3.0	159,376
CLERK SECRETARY	00B16A	1.0	51,407	1.0	52,071
CHIEF HAZARDOUS SUBSTANCE UNIT	00326A	1.0	50,988	1.0	52,564
SENIOR COMPUTER OPERATOR	00318A	0.1	4,954	0.1	4,954
EMPLOYMENT AND TRAINING ASSISTANT	00316A	0.1	4,910	0.1	4,910
OFFICE MANAGER	00123A	0.9	44,099	0.9	45,306
INDUSTRIAL SAFETY SPECIALIST (OCCUPATIONAL	00322A	3.0	141,352	3.0	142,701
PREVAILING WAGE INVESTIGATOR	00322A	1.0	46,855	1.0	48,086
DLT BUSINESS OFFICER	00321A	0.9	42,124	0.9	43,351
ASSISTANT BUSINESS MANAGEMENT OFFICER	00319A	0.1	4,113	0.1	4,205
INTERPRETING INTERVIEWER (SPANISH)	00319A	1.0	40,895	1.0	41,781
CENTRAL MAIL ROOM CLERK	00311G	0.2	7,016	0.2	7,078
Subtotal		32.5	\$2,041,370	32.5	\$2,066,269

Personnel

Department Of Labor And Training Workforce Regulation and Safety

	Grade	FY 2017		FY 2018	
		FTE	Cost	FTE	Cost
Unclassified					
DIRECTOR, DEPARTMENT OF LABOR AND	00948KF	0.1	13,500	0.1	13,500
LEGAL COUNSEL (EMPLOYMENT SECURITY)	00889F	0.3	35,680	0.3	35,680
EXECUTIVE COUNSEL	00839A	0.3	32,479	0.3	32,479
SPECIAL ASSISTANT	00829A	0.1	6,992	0.1	6,992
CHIEF HOISTING ENGINEER INVESTIGATOR	00328A	1.0	61,473	1.0	61,473
Subtotal		1.8	\$150,124	1.8	\$150,124
Turnover		-	(644,049)	-	(282,979)
Subtotal		-	(\$644,049)	-	(\$282,979)
Total Salaries		34.3	\$1,547,445	34.3	\$1,933,414
Benefits					
Payroll Accrual			9,163		10,627
FICA			119,620		143,986
Retiree Health			93,347		116,480
Health Benefits			293,357		402,365
Retirement			411,864		501,237
Subtotal			\$927,351		\$1,174,695
Total Salaries and Benefits		34.3	\$2,474,796	34.3	\$3,108,109
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$72,151		\$90,615
Statewide Benefit Assessment			\$70,263		\$83,922
Payroll Costs		34.3	\$2,545,059	34.3	\$3,192,031
Purchased Services					
Information Technology			2,008		2,008
Clerical and Temporary Services			3,631		3,631
Legal Services			3,002		3,002
Other Contracts			5,987		5,987
Buildings and Ground Maintenance			2,155		2,155
Subtotal			\$16,783		\$16,783
Total Personnel		34.3	\$2,561,842	34.3	\$3,208,814
Distribution By Source Of Funds					
General Revenue		34.3	\$2,561,842	34.3	\$3,208,814
Total All Funds		34.3	\$2,561,842	34.3	\$3,208,814

The Program

Department Of Labor And Training Income Support

Program Mission

To administer the income support programs in a timely, efficient, and courteous manner with concentration on continuous improvement.

For the Unemployment Insurance program, to comply with the regulations and guidelines established by the United States Department of Labor and to seek methods to excel.

For Temporary Disability and Police and Fire programs, to comply with the regulations and guidelines established by state law and to seek methods for program performance improvements.

Program Description

The mission of the Income Support Program is to provide customers with income support services in a timely, efficient and courteous manner. This mission is accomplished by providing accurate information; by interpreting and applying the state and federal laws, policies, and regulations in a fair and consistent manner for all customers and by maintaining confidentiality of all information. The income support programs provide Unemployment Insurance, Temporary Disability Insurance, and Police and Fire Relief Benefits.

Unemployment Insurance provides temporary income support to workers who have lost employment through no fault of their own. While claiming benefits an individual must be able to work, be available for work, be actively seeking employment, and be willing to accept suitable work when it is offered. Applicants must meet a minimum earnings standard and state statute requirements in order to qualify for benefits. Unemployment Insurance benefits are funded from Rhode Island employer contributions based upon their experience rating.

Temporary Disability Insurance pays weekly benefits to individuals who are unable to work due to non-work related illness or injury. The disability must be certified by a qualified healthcare provider (QHP) and the disabled worker must meet a minimum earnings standard in order to qualify for benefits. The Temporary Disability Insurance Program is financed entirely from employee contributions. TDI also provides up to 4 weeks of Temporary Caregiver benefits for individuals to care for a seriously ill child, spouse, domestic partner, parent, parent-in-law, or grandparent or to bond with a newborn child, adopted child or foster child. The Caregiver must provide medical proof of the seriously ill family member and bonding claims must provide proof of relationship with the child to meet eligibility requirements. The minimum earnings standard is the same as for TDI.

Police and Fire Relief provides financial compensation to police officers, firefighters, crash rescue personnel, correctional officers and DEM Officers and/or their families for death or disabling injuries. Tuition benefits are also provided for dependent children at any Rhode Island state college or university.

Statutory History

Title 28 Chapters 39-44 of the Rhode Island General Laws include general provisions relating to Unemployment Insurance and Temporary Disability Insurance programs. R.I.G.L. 45-19 relates to Police and Fire Funds.

The Budget

Department Of Labor And Training Income Support

	2015 Audited	2016 Audited	2017 Enacted	2017 Revised	2018 Recommend
Expenditures By Subprogram					
Unemployment Insurance	201,700,842	185,722,512	177,204,659	177,790,521	177,499,143
TDI	180,683,299	185,552,379	186,953,678	192,748,132	197,566,522
Fire and Police	4,320,039	3,968,753	4,160,083	4,083,268	4,046,748
Total Expenditures	\$386,704,180	\$375,243,644	\$368,318,420	\$374,621,921	\$379,112,413
Expenditures By Object					
Personnel	21,333,448	21,556,498	21,776,161	23,693,641	21,647,076
Operating Supplies and Expenses	2,661,233	3,422,827	2,160,931	2,301,172	2,214,304
Assistance and Grants	340,490,310	330,673,165	338,351,151	338,882,866	346,094,453
Subtotal: Operating Expenditures	364,484,991	355,652,490	362,288,243	364,877,679	369,955,833
Capital Purchases and Equipment	284,196	188,708	30,177	624,242	36,580
Debt Service (Fixed Charges)	350,259	-	-	-	-
Operating Transfers	21,584,734	19,402,446	6,000,000	9,120,000	9,120,000
Total Expenditures	\$386,704,180	\$375,243,644	\$368,318,420	\$374,621,921	\$379,112,413
Expenditures By Funds					
General Revenue	4,320,039	3,968,753	4,160,083	4,083,268	4,046,748
Federal Funds	14,923,251	15,120,618	14,329,659	16,463,465	14,138,705
Restricted Receipts	18,143,645	12,406,284	2,475,000	2,306,606	2,100,020
Other Funds	349,317,245	343,747,989	347,353,678	351,768,582	358,826,940
Total Expenditures	\$386,704,180	\$375,243,644	\$368,318,420	\$374,621,921	\$379,112,413

Personnel

Department Of Labor And Training Income Support

	Grade	FY 2017		FY 2018	
		FTE	Cost	FTE	Cost
Classified					
DEPUTY DIRECTOR (DLT)	00144A	0.6	85,035	0.6	85,035
ASSISTANT DIRECTOR FINANCIAL AND CONTRACT ADMINISTRATOR, OPERATIONS MANAGEMENT	00141A	0.7	83,997	0.7	83,997
ASSISTANT DIRECTOR FOR LABOR MARKET INFO	00139A	0.4	45,091	0.4	45,091
ASSISTANT DIRECTOR FOR ADMINISTRATIVE	00143A	0.6	66,922	0.6	70,060
CHIEF REFEREE - BOARD OF REVIEW	00138A	1.0	108,965	1.0	108,965
REFEREE - BOARD OF REVIEW	00137A	6.0	592,756	4.0	393,381
LABOR AND TRAINING ADMINISTRATOR	00138A	1.0	96,903	1.0	100,447
EMPLOYMENT AND TRAINING ADMINISTRATOR	00135A	0.3	28,936	0.3	28,936
CHIEF OF LABOR AND TRAINING OPERATIONS	00134A	4.0	355,017	4.0	362,747
CHIEF OF RESEARCH AND ANALYSIS	00134A	0.1	8,733	0.1	8,733
SUPERVISING DLT BUSINESS OFFICER	00132A	0.6	51,517	0.6	51,517
CHIEF PUBLIC AFFAIRS OFFICER (DLT)	00137A	0.6	50,245	0.6	50,245
COORDINATOR OF EMPLOYMENT AND TRAINING BUSINESS MANAGEMENT OFFICER	00131A	1.6	125,823	1.6	126,195
BUSINESS MANAGEMENT OFFICER	00B26A	0.6	45,240	0.6	45,588
PRINCIPAL EMPLOYMENT AND TRAINING	00130A	3.0	223,832	3.0	226,874
ASSISTANT COORDINATOR OF EMPLOYMENT AND NURSING CARE EVALUATOR	00129A	1.2	86,560	1.2	86,560
CHIEF IMPLEMENTATION AIDE	00520A	3.0	215,708	3.0	220,905
COORDINATOR OF UNEMPLOYMENT INSURANCE	00128A	1.2	85,551	1.2	85,551
SUPERVISOR OF OFFICE SERVICES (DLT)	00131A	1.0	69,750	1.0	69,750
EMPLOYMENT AND TRAINING FINANCIAL	00131A	0.6	41,850	0.6	41,850
PRINCIPAL DLT BUSINESS OFFICER	00132A	1.0	68,788	1.0	72,473
SENIOR EMPLOYMENT AND TRAINING MANAGER	00127A	1.2	81,506	1.2	81,748
ASSISTANT COORDINATOR OF UNEMPLOYMENT	00128A	3.0	195,648	3.0	198,139
SENIOR EMPLOYMENT & TRAINING MONITORING	00129A	1.0	64,513	1.0	64,513
SENIOR DLT BUSINESS OFFICER	00126A	5.0	317,543	5.0	319,546
SENIOR RESEARCH TECHNICIAN	00324A	0.4	25,301	0.4	25,562
EMPLOYMENT AND TRAINING MANAGER	00323A	1.0	59,911	1.0	59,911
CHIEF OF INFORMATION AND PUBLIC RELATIONS	00126A	11.0	658,337	11.0	664,520
IMPLEMENTATION AIDE	00129A	0.6	34,224	0.6	35,448
OFFICE MANAGER	00322A	1.0	55,729	1.0	55,729
BENEFIT CLAIMS SPECIALIST	00123A	2.8	154,836	2.8	155,440
PRINCIPAL EMPLOYMENT AND TRAINING	00323A	58.0	3,107,541	58.0	3,131,568
LEGAL ASSISTANT	00323A	11.4	608,287	11.4	611,207
DLT BUSINESS OFFICER	00319A	0.7	37,247	0.7	37,247
FRAUD AND OVERPAYMENT INVESTIGATOR	00321A	1.7	85,843	1.7	86,987
ADMINISTRATIVE OFFICER	00321A	5.0	248,909	5.0	248,909
SENIOR COMPUTER OPERATOR	00124A	2.0	99,122	2.0	102,644
SENIOR EMPLOYMENT AND TRAINING	00318A	0.6	29,724	0.6	29,724
EMPLOYMENT AND TRAINING ASSISTANT	00320A	59.2	2,715,453	59.2	2,740,496
EMPLOYMENT & TRAINING INTERVIEWER &	00316A	2.5	111,613	2.5	112,322
EMPLOYMENT & TRAINING INTERVIEWER &	00320A	3.0	128,464	3.0	130,586
ASSISTANT BUSINESS MANAGEMENT OFFICER	00320A	11.3	475,365	11.3	491,013
INTERPRETER (SPANISH)	00319A	0.5	20,567	0.5	21,025
	00316A	4.0	158,792	4.0	160,934

Personnel

Department Of Labor And Training Income Support

	Grade	FY 2017		FY 2018	
		FTE	Cost	FTE	Cost
PRINCIPAL CLERK-TYPIST	00312A	1.0	37,083	1.0	37,083
SENIOR WORD PROCESSING TYPIST	00312A	3.0	109,199	3.0	109,761
CENTRAL MAIL ROOM CLERK	00311G	1.2	42,099	1.2	42,466
Subtotal		223.2	\$12,427,402	221.2	\$12,346,755
Unclassified					
DIRECTOR, DEPARTMENT OF LABOR AND	00948KF	0.5	67,500	0.5	67,500
LEGAL COUNSEL (BOARD OF REVIEW)	00889F	0.5	54,892	-	-
EXECUTIVE COUNSEL	00839A	0.2	21,653	0.2	21,653
MEMBER, BOARD OF REVIEW (ES)	00835A	2.0	204,823	2.0	204,823
LEGAL COUNSEL (EMPLOYMENT SECURITY)	00889F	3.6	351,310	3.6	351,310
CHAIRPERSON MEMBER OF BOARD OF REVIEW	00837A	1.0	93,575	1.0	93,575
SPECIAL ASSISTANT	00829A	0.6	41,952	0.6	41,952
CONFIDENTIAL SECRETARY	00818A	1.0	53,753	1.0	53,753
Subtotal		9.4	\$889,458	8.9	\$834,566
Cost Allocation from Other Programs		-	1,152,031	-	1,118,350
Cost Allocation to Other Programs		-	(3,649,994)	-	(2,090,569)
Overtime		-	260,000	-	260,000
Turnover		-	(284,796)	-	(1,044,419)
Subtotal		-	(\$2,522,759)	-	(\$1,756,638)
Total Salaries		232.6	\$10,794,101	230.1	\$11,424,683
Benefits					
Payroll Accrual			61,260		65,827
FICA			809,394		872,032
Retiree Health			632,789		682,861
Health Benefits			2,500,987		2,759,508
Retirement			2,770,045		2,944,223
Subtotal			\$6,774,475		\$7,324,451
Total Salaries and Benefits		232.6	\$17,568,576	230.1	\$18,749,134
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$75,531		\$81,483
Statewide Benefit Assessment			\$503,342		\$542,097
Payroll Costs		232.6	\$18,071,918	230.1	\$19,291,231

Personnel

Department Of Labor And Training Income Support

	Grade	FY 2017		FY 2018	
		FTE	Cost	FTE	Cost
Purchased Services					
Information Technology			5,134,770		1,942,782
Clerical and Temporary Services			64,381		64,522
Management & Consultant Services			4,921		4,969
Legal Services			255,103		180,104
Other Contracts			120,003		120,500
Buildings and Ground Maintenance			14,129		14,270
Medical Services			28,415		28,697
Subtotal			\$5,621,722		\$2,355,844
Total Personnel		232.6	\$23,693,641	230.1	\$21,647,076
Distribution By Source Of Funds					
General Revenue		1.0	\$100,533	1.0	\$100,911
Federal Funds		157.6	\$14,176,175	155.1	\$12,177,321
Restricted Receipts		-	\$2,191,034	-	\$2,050,000
Other Funds		74.0	\$7,225,899	74.0	\$7,318,844
Total All Funds		232.6	\$23,693,641	230.1	\$21,647,076

The Program

Department Of Labor And Training Injured Workers Services

Program Mission

To maintain a Workers' Compensation system that is fair to both employees and employers, that emphasizes and rewards safety in the workplace, that is cost-competitive with insurance coverage for employers available at a low cost, and that is free from fraud and ensures that all employers subject to the Act carry the proper insurance coverage.

Program Description

The Division of Workers' Compensation (WC) monitors procedures and payments made by insurance carriers to employees unable to work due to job related injury and collects and disseminates statistical data to the Governor's Workers' Compensation Advisory Council to monitor the system. The Division is comprised of the Workers' Compensation (administrative) unit, the Dr. John E. Donley Rehabilitation Center, and the Fraud Prevention and Compliance unit. The work of the division includes: vocational and physical rehabilitation assistance, as well as educational seminars, which are available to employers, employees, insurers, attorneys, and medical professionals. The Unit also responds to compliance and fraud issues.

The Workers' Compensation Administrative unit monitors all claim filings to ensure proper payment by insurance carriers and maintains all corresponding records and statistical data. It operates a self-insurance program for larger employers who meet certain financial and loss experience criteria. The division collects a mandated assessment from insurers and self-insured employers that funds the Division of Workers' Compensation and the Workers' Compensation Court. It also provides limited reimbursement to eligible insurers and claimants.

The Education unit provides training services throughout Rhode Island for employers and employees for workplace safety and workers' compensation. These services include: assisting employers in developing loss prevention programs and workplace safety committees, maintaining and disseminating a safety video lending library for employers, conducting workers' compensation filing procedure classes and providing information regarding the Workers' Compensation Act. The unit also has an outreach program to educate RI high school students on workplace safety and employee rights. These services are funded through the Administrative Fund assessment and are offered at no charge.

The Rehabilitation Unit provides broad-based rehabilitation programs for injured workers within the Workers' Compensation System. Services include providing the assessment, evaluation and provision of treatment programs for injured workers. The Donley Center provides a recovery program and vocational framework that enables the individual to be sufficiently prepared to seek and sustain employment. Through physical and vocational training, it assists clients to overcome the physical and vocational obstacles that may impede their ability to return to work and to stay employed.

The Fraud Prevention and Compliance unit's mission is to detect, prevent, and refer for criminal prosecution any suspected fraudulent activity related to Workers' Compensation, as well as to ensure employer and insurer compliance with the requirements of the Workers' Compensation Act.

Statutory History

Title 28, Chapters 29 through 38 of the General Laws of Rhode Island contain provisions relating to state and municipal employees, report of injuries, benefits, and other aspects of the WC system.

The Budget

Department Of Labor And Training Injured Workers Services

	2015 Audited	2016 Audited	2017 Enacted	2017 Revised	2018 Recommend
Expenditures By Subprogram					
Workers' Comp Compliance	4,047,340	3,741,734	4,298,773	4,407,097	4,486,460
Education & Rehabilitation	4,081,167	3,878,810	4,253,585	4,173,300	4,214,974
Total Expenditures	\$8,128,507	\$7,620,544	\$8,552,358	\$8,580,397	\$8,701,434
Expenditures By Object					
Personnel	5,976,160	5,719,498	6,549,590	6,873,056	6,977,017
Operating Supplies and Expenses	370,499	560,936	372,011	428,838	433,129
Assistance and Grants	1,654,898	1,264,427	1,630,463	1,277,053	1,289,824
Subtotal: Operating Expenditures	8,001,557	7,544,861	8,552,064	8,578,947	8,699,970
Capital Purchases and Equipment	126,950	75,683	294	1,450	1,464
Total Expenditures	\$8,128,507	\$7,620,544	\$8,552,358	\$8,580,397	\$8,701,434
Expenditures By Funds					
Restricted Receipts	8,128,507	7,620,544	8,552,358	8,580,397	8,701,434
Total Expenditures	\$8,128,507	\$7,620,544	\$8,552,358	\$8,580,397	\$8,701,434

Personnel

Department Of Labor And Training Injured Workers Services

	Grade	FY 2017		FY 2018	
		FTE	Cost	FTE	Cost
Classified					
DEPUTY DIRECTOR (DLT)	00144A	0.1	14,172	0.1	14,172
CHIEF ADMINISTRATOR DIVISION OF REHAB AND	00140A	0.8	96,106	0.8	96,106
CHIEF INVESTIGATOR WORKERS COMPENSATION	0AB38A	1.0	111,566	1.0	111,566
ASSISTANT DIRECTOR FOR ADMINISTRATIVE	00143A	0.1	11,154	0.1	11,677
ASSISTANT ADMINISTRATOR REHABILITATION	00137A	1.0	103,441	1.0	103,441
ADMINISTRATOR, FINANCIAL MANAGEMENT	00137A	0.2	19,517	0.2	19,517
CHIEF DATA OPERATIONS	00333A	2.0	181,449	2.0	181,449
SUPERVISING DLT BUSINESS OFFICER	00132A	0.1	8,586	0.1	8,586
CHIEF PUBLIC AFFAIRS OFFICER (DLT)	00137A	0.1	8,374	0.1	8,374
WORKERS' COMPENSATION PATIENT CARE	00520A	3.0	250,702	3.0	250,702
COORDINATOR OF EMPLOYMENT AND TRAINING	00131A	0.2	16,642	0.2	16,642
INVESTIGATOR WORKERS COMPENSATION FRAUD	0AB30A	5.0	379,337	5.0	380,829
BUSINESS MANAGEMENT OFFICER	00B26A	0.1	7,540	0.1	7,598
ASSISTANT ADMINISTRATOR OF VOCATIONAL	00132A	1.0	72,473	1.0	72,473
ASSISTANT COORDINATOR OF EMPLOYMENT AND	00129A	0.2	14,426	0.2	14,426
CHIEF IMPLEMENTATION AIDE	00128A	0.2	14,258	0.2	14,258
SUPERVISOR OF OFFICE SERVICES (DLT)	00131A	0.1	6,975	0.1	6,975
UNIT CLAIMS MANAGER	00326A	1.0	69,259	1.0	69,259
EDUCATION UNIT REPRESENTATIVE	00326A	2.0	128,322	2.0	130,520
ASSISTANT ADMINISTRATOR DIVISION OF LABOR	00332A	0.5	31,701	0.5	32,812
SENIOR DLT BUSINESS OFFICER	00324A	0.1	6,325	0.1	6,390
PRINCIPAL DLT BUSINESS OFFICER	00127A	0.1	6,206	0.1	6,254
SUPERVISOR OF VOCATIONAL REHABILITATION	00329A	1.0	60,188	1.0	63,025
IMPLEMENTATION AIDE	00322A	1.0	58,495	1.0	58,495
CHIEF OF INFORMATION AND PUBLIC RELATIONS	00129A	0.1	5,704	0.1	5,908
COMPENSATION CLAIMS ANALYST	00322A	3.0	167,890	3.0	168,154
ADMINISTRATIVE OFFICER	00324A	0.5	27,731	0.5	27,731
LABOR STANDARDS EXAMINER	00322A	2.0	110,681	2.0	111,649
IMPLEMENTATION AIDE	0AB22A	1.0	54,994	1.0	54,994
MEDICAL RECORDS TECHNICIAN	00320A	1.0	53,164	1.0	54,896
DLT BUSINESS OFFICER	00321A	0.2	10,180	0.2	10,291
PHYSICAL THERAPY ASSISTANT	00320A	5.0	251,016	5.0	251,016
ASSISTANT ADMINISTRATIVE OFFICER	00321A	1.0	49,589	1.0	49,589
SENIOR COMPUTER OPERATOR	00318A	0.1	4,954	0.1	4,954
CERTIFIED OCCUPATIONAL THERAPY ASSISTANT	00320A	2.0	89,469	2.0	90,969
EMPLOYMENT AND TRAINING ASSISTANT	00316A	1.1	47,561	1.1	47,561
MEDICAL ASSISTANT	00320A	1.0	41,826	1.0	42,849
ASSISTANT BUSINESS MANAGEMENT OFFICER	00319A	0.1	4,113	0.1	4,205
INTERPRETER (SPANISH)	00316A	1.0	40,054	1.0	40,620
SENIOR WORD PROCESSING TYPIST	00312A	3.0	111,074	3.0	111,639
INFORMATION AIDE	0AB15A	1.0	36,470	1.0	37,270
CENTRAL MAIL ROOM CLERK	00311G	0.2	7,016	0.2	7,078
Subtotal		44.2	\$2,790,700	44.2	\$2,806,919
Unclassified					
DIRECTOR, DEPARTMENT OF LABOR AND	00948KF	0.1	13,500	0.1	13,500

Personnel

Department Of Labor And Training Injured Workers Services

	Grade	FY 2017		FY 2018	
		FTE	Cost	FTE	Cost
LEGAL COUNSEL (EMPLOYMENT SECURITY)	00889F	0.1	9,606	0.1	9,606
SPECIAL ASSISTANT	00829A	0.1	6,992	0.1	6,992
Subtotal		0.3	\$30,098	0.3	\$30,098
Turnover		-	(21,708)	-	-
Subtotal		-	(\$21,708)	-	-
Total Salaries		44.5	\$2,799,090	44.5	\$2,837,017
Benefits					
Payroll Accrual			16,414		16,704
Holiday			2,703		2,703
FICA			212,440		215,341
Retiree Health			222,104		224,649
Health Benefits			612,363		651,649
Retirement			721,747		721,792
Subtotal			\$1,787,771		\$1,832,838
Total Salaries and Benefits		44.5	\$4,586,861	44.5	\$4,669,855
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$103,076		\$104,941
Statewide Benefit Assessment			\$132,953		\$134,757
Payroll Costs		44.5	\$4,719,814	44.5	\$4,804,612
Purchased Services					
Information Technology			307,212		310,285
Clerical and Temporary Services			5,318		5,550
Other Contracts			55,753		56,311
Training and Educational Services			108,851		109,940
Medical Services			1,676,108		1,690,319
Subtotal			\$2,153,242		\$2,172,405
Total Personnel		44.5	\$6,873,056	44.5	\$6,977,017
Distribution By Source Of Funds					
Restricted Receipts		44.5	\$6,873,056	44.5	\$6,977,017
Total All Funds		44.5	\$6,873,056	44.5	\$6,977,017

The Program

Department Of Labor And Training Labor Relations Board

Program Mission

To provide for expeditious resolution of representation election petitions, requests for unit clarification/accretion, and charges of unfair labor practices, through hearings and investigations, in accordance with the provisions of the Rhode Island State Labor Relations Act and its amendments.

Program Description

The Rhode Island State Labor Relations Act declares that it is the public policy of the State to encourage the practice and procedure of collective bargaining, and to protect employees in the exercise of full freedom of association, self-organization and designation of representatives of their own choosing for the purposes of collective bargaining. It is in the public interest that an equality of bargaining power between the employer and its employees be established and maintained. To that end, the Rhode Island State Labor Relations Board is empowered to make bargaining unit determinations, settle controversies as to employee representation, and to prevent unfair labor practices, through informal hearing, investigation, and the formal hearing process.

Statutory History

The statutory basis for the RI State Labor Relations Board is contained within R.I.G.L. 28-7, et seq.; R.I.G.L. 28-9.1 through 28-9.7; and R.I.G.L. 36-11.

The Budget

Department Of Labor And Training Labor Relations Board

	2015 Audited	2016 Audited	2017 Enacted	2017 Revised	2018 Recommend
Expenditures By Subprogram					
Operations	381,678	439,709	402,491	404,549	397,335
Total Expenditures	\$381,678	\$439,709	\$402,491	\$404,549	\$397,335
Expenditures By Object					
Personnel	367,139	421,107	387,665	391,502	386,899
Operating Supplies and Expenses	14,337	13,674	14,619	10,847	9,215
Assistance and Grants	27	21	28	21	21
Subtotal: Operating Expenditures	381,503	434,802	402,312	402,370	396,135
Capital Purchases and Equipment	175	4,907	179	2,179	1,200
Total Expenditures	\$381,678	\$439,709	\$402,491	\$404,549	\$397,335
Expenditures By Funds					
General Revenue	381,678	439,709	402,491	404,549	397,335
Total Expenditures	\$381,678	\$439,709	\$402,491	\$404,549	\$397,335

Personnel

Department Of Labor And Training Labor Relations Board

	Grade	FY 2017		FY 2018	
		FTE	Cost	FTE	Cost
Classified					
LABOR BOARD CASE AGENT	00128A	1.0	74,492	1.0	74,492
Subtotal		1.0	\$74,492	1.0	\$74,492
Unclassified					
ADMINISTRATOR LABOR RELATIONS BOARD	00833A	1.0	96,440	1.0	96,440
CHAIRPERSON, LABOR RELATIONS BOARD	00953F	-	14,659	-	14,659
LABOR RELATIONS BOARD MEMBER	00952F	-	67,655	-	67,655
Subtotal		1.0	\$178,754	1.0	\$178,754
Total Salaries		2.0	\$253,246	2.0	\$253,246
Benefits					
Payroll Accrual			1,353		1,351
FICA			19,373		19,373
Retiree Health			10,204		10,222
Health Benefits			24,716		25,646
Retirement			43,314		42,733
Subtotal			\$98,960		\$99,325
Total Salaries and Benefits		2.0	\$352,206	2.0	\$352,571
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$176,103		\$176,286
Statewide Benefit Assessment			\$11,380		\$10,637
Payroll Costs		2.0	\$363,586	2.0	\$363,208
Purchased Services					
Information Technology			88		89
Clerical and Temporary Services			3,733		2,270
Legal Services			23,387		20,618
Other Contracts			708		714
Subtotal			\$27,916		\$23,691
Total Personnel		2.0	\$391,502	2.0	\$386,899
Distribution By Source Of Funds					
General Revenue		2.0	\$391,502	2.0	\$386,899
Total All Funds		2.0	\$391,502	2.0	\$386,899

Agency

Department Of Revenue

Agency Mission

Assesses and collects all taxes, fees, and revenues in the most efficient and cost-effective manner.

Recommends options to enhance revenue collections and revenue competitiveness by evaluating the State's revenue policies and practices.

Administers and enforces all laws pertaining to the operation and registration of motor vehicles.

Administers a lottery system in the most efficient, ethical, and cost-effective manner.

Monitors and reports on the financial condition of the State's cities and towns. Administers a databank on local finances and property values for the benefit of municipalities and public policy decision-makers.

Assists cities and towns in financial distress to achieve sound fiscal management through data analysis, technical assistance, training, and oversight.

Agency Description

The Department of Revenue was established as a separate department within the executive branch of state government by the 2006 General Assembly and came into existence on July 1, 2006. The department is headed by the Director of Revenue, who is appointed by the Governor, with the advice and consent of the Senate. The Department has seven programmatic functions, including Central Management (Director of Revenue), Taxation, State Lottery, Registry of Motor Vehicles, Revenue Analysis, Municipal Finance, and State Aid (State Aid was transferred from the Department of Administration to the Department of Revenue in FY 2012).

Statutory History

The Department of Revenue was created by the 2006 General Assembly through the enactment of Article 38 sub. A of the FY 2007 Appropriations Act (R.I.G.L. 42-142).

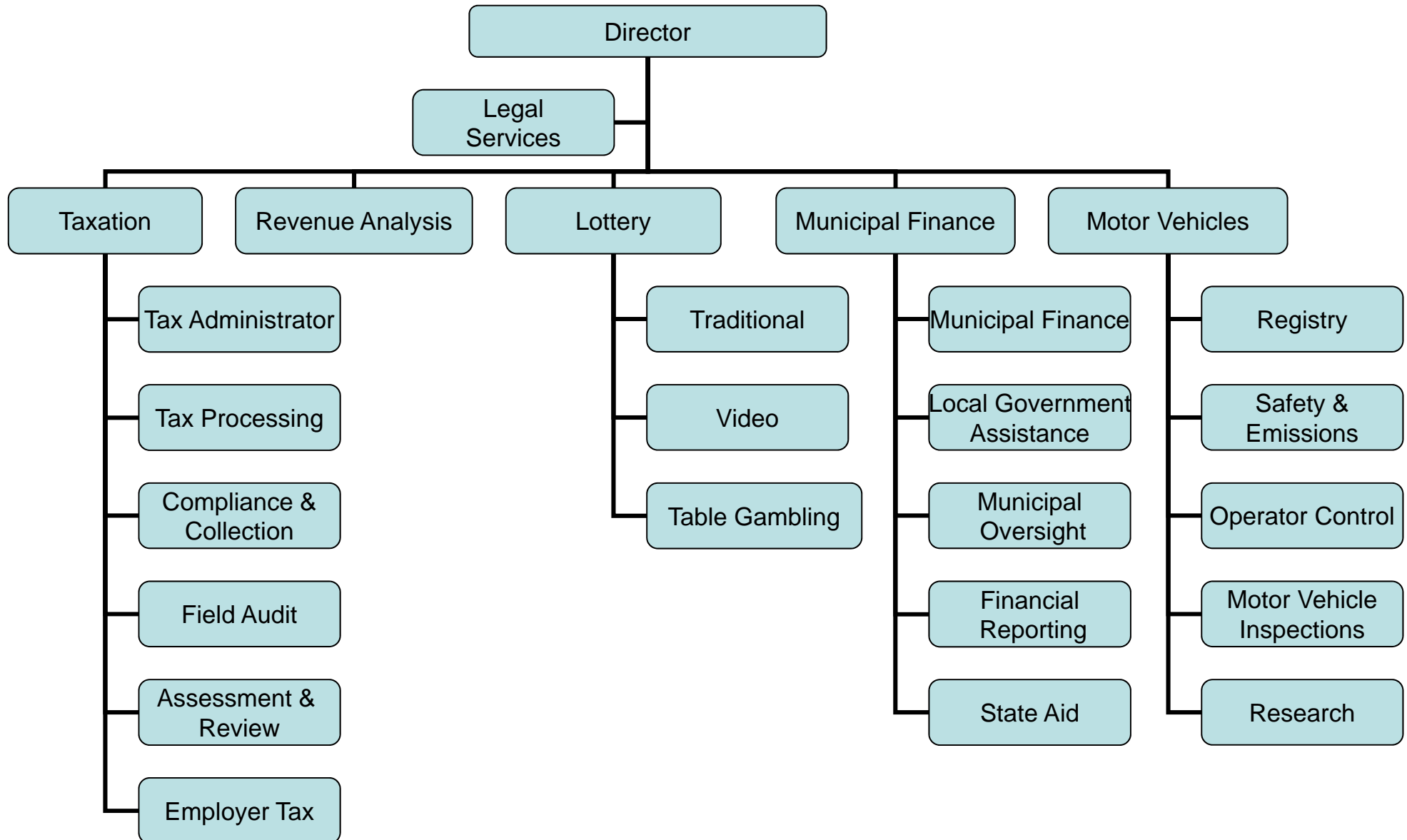
Budget

Department Of Revenue

	FY 2015 Audited	FY 2016 Audited	FY 2017 Enacted	FY 2017 Revised	FY 2018 Recommend
Expenditures By Program					
Director of Revenue	1,072,834	872,227	1,147,047	1,222,848	1,244,266
Office of Revenue Analysis	466,468	488,662	806,836	807,744	788,009
Lottery Division	334,734,573	341,711,943	362,367,224	370,210,160	375,039,436
Municipal Finance	2,375,374	2,200,715	3,053,887	3,097,334	2,511,025
Taxation	23,013,542	23,004,285	23,731,898	25,191,322	25,763,221
Registry of Motor Vehicles	20,262,042	22,793,972	28,565,229	25,244,889	26,346,001
State Aid	67,092,799	67,705,327	65,845,475	65,982,815	69,449,305
Total Expenditures	\$449,017,632	\$458,777,131	\$485,517,596	\$491,757,112	\$501,141,263
Expenditures By Object					
Personnel	47,179,271	48,858,519	54,149,542	56,422,209	55,829,160
Operating Supplies and Expenses	333,074,842	339,156,230	361,302,605	366,513,979	374,608,856
Assistance and Grants	1,479,494	563,596	959,326	1,685,949	413,641
Aid to Local Units of Government	66,299,608	67,657,254	65,974,514	66,111,854	69,578,344
Subtotal: Operating Expenditures	448,033,215	456,235,599	482,385,987	490,733,991	500,430,001
Capital Purchases and Equipment	917,497	2,041,403	3,063,508	955,020	643,161
Operating Transfers	66,920	500,129	68,101	68,101	68,101
Total Expenditures	\$449,017,632	\$458,777,131	\$485,517,596	\$491,757,112	\$501,141,263
Expenditures By Funds					
General Revenue	106,969,845	109,737,194	113,893,951	110,882,648	119,391,677
Federal Funds	4,314,983	2,740,506	2,145,367	4,375,213	1,567,500
Restricted Receipts	1,718,666	3,591,584	5,947,043	5,033,373	3,962,015
Operating Transfers from Other Funds	300,026	444,458	-	119,112	-
Other Funds	335,714,112	342,263,389	363,531,235	371,346,766	376,220,071
Total Expenditures	\$449,017,632	\$458,777,131	\$485,517,596	\$491,757,112	\$501,141,263
FTE Authorization	505.0	514.5	523.5	523.5	539.5

The Agency

Department of Revenue



Personnel

Department Of Revenue Agency Summary

	Grade	FY 2017		FY 2018	
		FTE	Cost	FTE	Cost
Classified		437.5	25,357,527	453.5	26,164,282
Unclassified		86.0	5,832,611	86.0	5,869,545
Subtotal		523.5	\$31,190,138	539.5	\$32,033,827
Cost Allocation from Other Programs		-	308,090	-	310,098
Cost Allocation to Other Programs		-	(\$308,090)	-	(\$310,098)
Interdepartmental Transfer		-	70,277	-	70,277
Overtime		-	835,271	-	834,869
Turnover		-	(\$2,023,818)	-	(\$1,264,028)
Subtotal		-	(\$1,118,270)	-	(\$358,882)
Total Salaries		523.5	\$30,071,868	539.5	\$31,674,945
Benefits					
Payroll Accrual			166,630		172,178
Retiree Health			1,773,537		1,778,887
Holiday			1,000		909
Health Benefits			6,179,343		5,931,679
FICA			2,199,742		2,211,304
Retirement			7,578,178		7,516,920
Subtotal			\$17,898,430		\$17,611,877
Total Salaries and Benefits		523.5	\$47,970,298	539.5	\$49,286,822
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$91,634		\$91,356
Statewide Benefit Assessment			\$1,319,226		\$1,262,287
Payroll Costs		523.5	\$49,289,524	539.5	\$50,549,109
Purchased Services					
Buildings and Ground Maintenance			12,432		12,520
Legal Services			40,000		10,000
Other Contracts			1,732,061		1,808,222
Information Technology			3,876,000		3,091,000
Clerical and Temporary Services			75,008		78,658
Management & Consultant Services			1,397,184		279,651
Subtotal			\$7,132,685		\$5,280,051
Total Personnel		523.5	\$56,422,209	539.5	\$55,829,160
Distribution By Source Of Funds					
General Revenue		401.5	\$37,392,005	417.5	\$39,494,839
Federal Funds		38.0	\$3,290,856	38.0	\$1,397,866
Restricted Receipts		-	\$4,081,460	-	\$3,010,102
Other Funds		84.0	\$11,657,888	84.0	\$11,926,353
Total All Funds		523.5	\$56,422,209	539.5	\$55,829,160

Performance Measures

Department Of Revenue

DMV Transaction Wait Times

The Rhode Island Division of Motor Vehicles (DMV) tracks transaction wait times to examine operational efficiency. DMV uses the indicators to recommend process adjustments to improve customer experience. The figures below represent the average wait time for licenses and registrations at DMV's Cranston headquarters.

	2014	2015	2016	2017	2018
Target	--	--	30 Minutes	20 Minutes	30 Minutes
Actual	43 Minutes	40 Minutes	39 Minutes	--	--

Performance for this measure is reported by state fiscal year.

Tax Returns Filed Online

The figures below represent the percentage of tax returns that are filed online with the Division of Taxation versus traditional means of filing. This measure is under development, and currently includes the following categories of tax returns: bank, insurance, and other tax types/fees from legacy system, IFTA (fuel), and personal income tax.

	2014	2015	2016	2017	2018
Target	--	--	55%	70%	75%
Actual	--	40%	65%	--	--

Performance for this measure is reported by state fiscal year.

Timeliness of Municipal Reporting

The Division of Municipal Finance uses numerous tools to track the fiscal health of Rhode Island's communities. RI General Laws § 45-12-22.2 requires municipalities to submit a quarterly report on or before the 25th day of the month succeeding the end of each fiscal quarter, certifying the status of the municipal budget, including the school budget. That data is used to identify potential areas for budget shortfalls. The figures below represent the percentage of municipal quarterly reports submitted to the Division on time.

	2014	2015	2016	2017	2018
Target	60%	60%	45%	60%	70%
Actual	41%	40%	40%	--	--

Performance for this measure is reported by state fiscal year.

Performance Measures

Department Of Revenue

Problem Gambling Treatment Sessions

The Problem Gambling program, featuring a Helpline and promotion of treatment options, was established in RIGL § 42-61.2-14, enacted in 2012. The previous iteration of this measure represented the percentage of individuals surveyed in the Needs Assessment Study who were aware of the existence of the Problem Gambling Helpline and available treatment options at that time. Since that Needs Assessment was completed, the Problem Gambling Program has expanded significantly and now includes (in addition to the Helpline number) a direct line to the Problem Gambling Services of Rhode Island Treatment Program, which was specifically established as part of the expansion of the Problem Gambling Program. The figures below represent the number of treatment sessions provided each year.

	2014	2015	2016	2017	2018
Target	--	200	250	300	350
Actual	--	245	285	--	--

Performance for this measure is reported by state fiscal year.

The Program

Department Of Revenue

Director of Revenue

Program Mission

The mission of the Director's Office is to ensure that the Department's programs are efficiently organized and implemented utilizing the resources provided by the taxpayers through the annual appropriation process.

Program Description

The Director's Office oversees the overall operation of the Department. The Office of Legal Services provides legal advice and support to the Director and programs within the Department.

Statutory History

The Director of Revenue position was created upon establishment of the Department of Revenue in Article 38 sub A of the FY 2007 Appropriations Act (R.I. Gen. Laws § 42-142).

The Budget

Department Of Revenue Director of Revenue

	2015 Audited	2016 Audited	2017 Enacted	2017 Revised	2018 Recommend
Expenditures By Subprogram					
Director of Revenue	1,072,834	872,227	1,147,047	1,222,848	1,244,266
Total Expenditures	\$1,072,834	\$872,227	\$1,147,047	\$1,222,848	\$1,244,266
Expenditures By Object					
Personnel	1,058,466	855,332	1,129,105	1,205,406	1,226,824
Operating Supplies and Expenses	12,519	15,871	15,917	15,417	15,417
Subtotal: Operating Expenditures	1,070,985	871,203	1,145,022	1,220,823	1,242,241
Capital Purchases and Equipment	1,849	1,024	2,025	2,025	2,025
Total Expenditures	\$1,072,834	\$872,227	\$1,147,047	\$1,222,848	\$1,244,266
Expenditures By Funds					
General Revenue	1,072,834	872,227	1,147,047	1,222,848	1,244,266
Total Expenditures	\$1,072,834	\$872,227	\$1,147,047	\$1,222,848	\$1,244,266

Personnel

Department Of Revenue Director of Revenue

	Grade	FY 2017		FY 2018	
		FTE	Cost	FTE	Cost
Classified					
ADMINISTRATIVE AND LEGAL SUPPORT SERVICES	00145A	1.0	140,173	1.0	140,173
CHIEF LEGAL OFFICER (TAXATION)	00138A	1.0	108,287	1.0	108,287
CHIEF OF STRATEGIC PLANNING, MONITORING	00143A	1.0	104,140	1.0	108,895
ADMINISTRATOR, FINANCIAL MANAGEMENT	00137A	1.0	91,601	1.0	91,601
DEPUTY CHIEF OF LEGAL SERVICES	00137A	1.0	80,427	1.0	83,724
PROGRAMMING SERVICES OFFICER	00131A	1.0	77,882	1.0	77,882
SENIOR LEGAL COUNSEL	00134A	1.0	73,118	1.0	76,579
CHIEF IMPLEMENTATION AIDE	00128A	1.0	68,901	1.0	68,901
REVENUE POLICY ANALYST (DOR)	00132A	1.0	65,193	1.0	67,576
CHIEF OF INFORMATION AND PUBLIC RELATIONS	00129A	1.0	64,513	1.0	64,513
Subtotal		10.0	\$874,235	10.0	\$888,131
Unclassified					
DIRECTOR DEPARTMENT OF REVENUE	00956KF	1.0	130,100	1.0	130,100
Subtotal		1.0	\$130,100	1.0	\$130,100
Cost Allocation from Other Programs		-	56,177	-	58,185
Cost Allocation to Other Programs		-	(251,913)	-	(251,913)
Turnover		-	(48,515)	-	(49,470)
Subtotal		-	(\$244,251)	-	(\$243,198)
Total Salaries		11.0	\$760,084	11.0	\$775,033
Benefits					
Payroll Accrual			4,392		4,464
FICA			57,371		58,734
Retiree Health			45,377		46,347
Health Benefits			104,985		110,897
Retirement			199,043		198,797
Subtotal			\$411,168		\$419,239
Total Salaries and Benefits		11.0	\$1,171,252	11.0	\$1,194,272
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$106,477		\$108,570
Statewide Benefit Assessment			\$34,154		\$32,552
Payroll Costs		11.0	\$1,205,406	11.0	\$1,226,824
Total Personnel		11.0	\$1,205,406	11.0	\$1,226,824
Distribution By Source Of Funds					
General Revenue		11.0	\$1,205,406	11.0	\$1,226,824
Total All Funds		11.0	\$1,205,406	11.0	\$1,226,824

The Program

Department Of Revenue Office of Revenue Analysis

Program Mission

The Office of Revenue Analysis' mission is to analyze, evaluate, and appraise the tax system of the State of Rhode Island. The Office makes recommendations for the revision of the State's tax system that are in the best interests of the State's economy. The Office also provides objective information and unbiased advice on the State's revenue system and the impact of proposed changes to it.

Program Description

The Office of Revenue Analysis is responsible for making recommendations to the State's tax system with the best interests of the economy of Rhode Island. The Office is charged with the preparation of cost benefit analyses of all tax proposals. It publishes an annual Unified Economic Development Report and a biennial Tax Expenditures Report. It prepares fiscal analysis notes on bills that pertain to revenues. It also publishes a monthly report on cash collections. In conjunction with the Budget Office, it forecasts the State's general revenues as part of the biannual Revenue Estimating Conference. Finally, a representative of the Office serves as an ex officio member of the Council of Economic Advisors.

Statutory History

The Office of Revenue Analysis and the position of Chief of Revenue Analysis were created upon establishment of the Department of Revenue in Article 38 sub A of the FY 2007 Appropriations Act (R.I. Gen. Laws § 42-142-3).

The Budget

Department Of Revenue Office of Revenue Analysis

	2015 Audited	2016 Audited	2017 Enacted	2017 Revised	2018 Recommend
Expenditures By Subprogram					
Revenue Analysis	466,468	488,662	806,836	807,744	788,009
Total Expenditures	\$466,468	\$488,662	\$806,836	\$807,744	\$788,009
Expenditures By Object					
Personnel	454,151	462,934	786,287	784,173	764,513
Operating Supplies and Expenses	11,293	23,227	19,524	22,546	22,471
Subtotal: Operating Expenditures	465,444	486,161	805,811	806,719	786,984
Capital Purchases and Equipment	1,024	2,501	1,025	1,025	1,025
Total Expenditures	\$466,468	\$488,662	\$806,836	\$807,744	\$788,009
Expenditures By Funds					
General Revenue	466,468	488,662	806,836	807,744	788,009
Total Expenditures	\$466,468	\$488,662	\$806,836	\$807,744	\$788,009

Personnel

Department Of Revenue Office of Revenue Analysis

	Grade	FY 2017		FY 2018	
		FTE	Cost	FTE	Cost
Classified					
PRINCIPAL ECONOMIC AND POLICY ANALYST	00138A	1.0	81,017	1.0	82,363
SENIOR REVENUE POLICY ANALYST (DOR)	00137A	1.0	78,457	1.0	81,324
SENIOR ECONOMIC AND POLICY ANALYST	00134A	2.0	147,392	2.0	150,154
REVENUE POLICY ANALYST (DOR)	00132A	1.0	66,644	1.0	72,917
Subtotal		5.0	\$373,510	5.0	\$386,758
Unclassified					
CHIEF OF REVENUE ANALYSIS	00845A	1.0	138,301	1.0	138,301
Subtotal		1.0	\$138,301	1.0	\$138,301
Turnover		-	(30,709)	-	(31,504)
Subtotal		-	(\$30,709)	-	(\$31,504)
Total Salaries		6.0	\$481,102	6.0	\$493,555
Benefits					
Payroll Accrual			2,778		2,844
FICA			35,825		36,952
Retiree Health			28,723		29,514
Health Benefits			50,568		53,271
Retirement			126,059		127,648
Subtotal			\$243,953		\$250,229
Total Salaries and Benefits		6.0	\$725,055	6.0	\$743,784
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$120,843		\$123,964
Statewide Benefit Assessment			\$21,618		\$20,729
Payroll Costs		6.0	\$746,673	6.0	\$764,513
Purchased Services					
Management & Consultant Services			37,500		-
Subtotal			\$37,500		-
Total Personnel		6.0	\$784,173	6.0	\$764,513
Distribution By Source Of Funds					
General Revenue		6.0	\$784,173	6.0	\$764,513
Total All Funds		6.0	\$784,173	6.0	\$764,513

The Program

Department Of Revenue Lottery Division

Program Mission

The mission of the Rhode Island Lottery is to generate revenue for the State through the responsible management and sale of entertaining lottery products. It incorporates the highest standards of security and integrity. It emphasizes customer service and maintaining the public's trust.

Program Description

The Rhode Island Lottery (The Lottery) was created under the General Laws of the State of Rhode Island in 1974 to operate lottery games to generate revenues for the state. The Lottery operates as an enterprise fund, and its financial information is included in the State Comprehensive Annual Financial Report.

The Lottery promotes and sells tickets for on-line games (e.g. Daily Numbers), Keno, and instant games (e.g. scratch tickets). Tickets are sold through licensed lottery retailers. The Lottery sells Powerball®, Mega Millions, and Lucky for Life tickets. It collects all revenues and remits prize funds as required to the Multi-State Lottery Association. R.I. Gen. Laws § 42-61-15 requires that the Lottery award prizes in an amount not less than 45 percent nor more than 65 percent of the total lottery ticket revenue. For Keno, however, prize awards shall not be less than 45 percent nor more than 72 percent of the total Keno ticket revenue. Transfers are made on a monthly basis in an amount equal to estimated net income after prizes, commissions, and Lottery operating expenses.

R.I. Gen. Laws § 42-61.2 authorizes the Lottery to conduct video lottery games at Twin River and Newport Grand. Video lottery terminals are electronically linked to a central computer facility at Lottery Headquarters. As of July 1, 2004, the net terminal income generated is divided among the licensed video lottery facilities, the technology providers, the host municipalities, the central computer system provider, and the State General Fund. In 2005, the General Assembly enacted legislation that allocates a percentage of the net revenue from the operation of authorized video lottery terminals at Twin River to the Narragansett Indian Tribe. R.I. Gen. Laws § 42-61.2-2.1 authorizes the Division of Lotteries to operate casino gaming, including Table Games, at Twin River. As required by the Rhode Island Constitution, Article VI Section XV and as provided, inter alia, in R.I. Gen. Laws § 42-61.2-3.1, the Division of Lotteries operates all aspects of the Table Games. Sixteen percent (16%) of net Table Game Revenue is allocated to the State Lottery Fund for administrative purposes, with the balance transferred to the State's General Fund.

Statutory History

R.I. Gen. Laws § 42-61 provides the general authority for the State Division of Lottery. R.I. Gen. Laws § 42-142 establishes the State Lottery as a division of the Department of Revenue.

The Budget

Department Of Revenue Lottery Division

	2015 Audited	2016 Audited	2017 Enacted	2017 Revised	2018 Recommend
Expenditures By Subprogram					
Lottery Division	334,734,573	341,711,943	362,367,224	370,210,160	375,039,436
Total Expenditures	\$334,734,573	\$341,711,943	\$362,367,224	\$370,210,160	\$375,039,436
Expenditures By Object					
Personnel	9,593,334	9,691,019	11,136,079	10,427,993	10,771,541
Operating Supplies and Expenses	325,046,971	331,460,978	350,976,084	359,407,994	364,000,081
Assistance and Grants	86,688	115,488	100,000	100,000	105,000
Subtotal: Operating Expenditures	334,726,993	341,267,485	362,212,163	369,935,987	374,876,622
Capital Purchases and Equipment	-	-	155,061	274,173	162,814
Operating Transfers	7,580	444,458	-	-	-
Total Expenditures	\$334,734,573	\$341,711,943	\$362,367,224	\$370,210,160	\$375,039,436
Expenditures By Funds					
Operating Transfers from Other Funds	24,680	444,458	-	119,112	-
Other Funds	334,709,893	341,267,485	362,367,224	370,091,048	375,039,436
Total Expenditures	\$334,734,573	\$341,711,943	\$362,367,224	\$370,210,160	\$375,039,436

Personnel

Department Of Revenue Lottery Division

	Grade	FY 2017		FY 2018	
		FTE	Cost	FTE	Cost
Unclassified					
LOTTERY DIRECTOR	00816JF	1.0	147,357	1.0	147,357
DEPUTY DIRECTOR (LOTTERY)	00842JA	1.0	137,959	1.0	137,959
DIRECTOR MANAGEMENT INFORMATION	00839JA	1.0	118,575	1.0	118,575
CASINO OPERATIONS AND COMPLIANCE	00841JA	1.0	112,643	1.0	112,643
FINANCE ADMINISTRATION (MANAGER)	00839JA	1.0	108,265	1.0	108,265
CASINO FINANCIAL ANALYST SUPERVISOR	00834JA	1.0	95,206	1.0	95,206
CASINO SENIOR COMPLIANCE SUPERVISOR	00834JA	1.0	88,655	1.0	88,655
LOTTERY SALES & MARKETING MANAGER	00834JA	1.0	88,655	1.0	88,655
MANAGER MARKETING AGENT LICENSES AND	00834JA	1.0	88,655	1.0	88,655
PRINCIPAL PROJECTS MANAGER	00831JA	1.0	83,125	1.0	83,125
CASINO TABLE GAMES ACCOUNTING MANAGER	00833JA	1.0	81,403	1.0	81,403
INTERNAL AUDITOR	00833JA	1.0	81,403	1.0	81,403
LEGAL COUNSEL (LOTTERY)	00835JA	1.0	78,539	1.0	81,407
CASINO FINANCIAL ANALYST	00832JA	1.0	78,493	1.0	78,493
CASINO INSPECTIONS AND STANDARDS	00832JA	1.0	78,493	1.0	78,493
CASINO SURVEILLANCE ANALYST	00832JA	1.0	78,493	1.0	78,493
CONTROLLER (LOTTERY)	00834JA	1.0	75,863	1.0	78,634
INSTANT TICKET DEVELOPMENT SUPERVISOR	00827JA	1.0	74,368	1.0	74,368
PRODUCTION MANAGER (LOTTERY)	00828JA	1.0	73,919	1.0	73,919
CASINO SECURITY MANAGER	00829JA	1.0	73,415	1.0	73,415
PRINCIPAL PROJECTS MANAGER	00314G	1.0	72,875	1.0	75,568
VIDEO LOTTERY SUPERVISOR	00827JA	1.0	71,135	1.0	71,135
CASINO COMPLIANCE SUPERVISOR	00829JA	2.0	139,838	2.0	139,838
INFORMATION TECHNOLOGY SECURITY MANAGER	00829JA	1.0	69,919	1.0	69,919
SECURITY MANAGER	00827A	1.0	67,901	1.0	67,901
PROJECT COORDINATOR	00826JA	1.0	65,248	1.0	65,248
ACCOUNTING MANAGER	00829JA	1.0	64,980	1.0	67,847
ASSISTANT MANAGER-MARKETING, AGENT	00826JA	2.0	129,945	2.0	132,767
CASINO SECURITY INSPECTOR	00827JA	3.0	194,004	3.0	194,004
VIDEO LOTTERY SYSTEMS MANAGER	00827JA	1.0	64,668	1.0	64,668
CASINO SECURITY INSPECTOR	07752	1.0	63,654	1.0	64,668
EXECUTIVE SECRETARY	00822JA	1.0	63,604	1.0	63,604
VIDEO LOTTERY PROGRAM AUDITOR	00829JA	1.0	62,871	1.0	65,160
MAINTENANCE PERSON (LOTTERY)	00822JA	1.0	62,279	1.0	62,279
CASINO GAMING OPERATIONS INVESTIGATOR	00826JA	3.0	186,423	3.0	186,423
CASINO IT SUPPORT SPECIALIST	00826JA	1.0	62,141	1.0	62,141
ADMINISTRATIVE OFFICER	00822A	1.0	62,108	1.0	62,108
ASSISTANT PRODUCTION MANAGER (LOTTERY)	00824JA	1.0	59,974	1.0	61,951
CASINO COMPLIANCE REPRESENTATIVE	00825JA	13.0	769,906	13.0	775,057
SUPERVISOR PERSONNEL RECORDS	00821JA	1.0	56,170	1.0	56,170
FIELD REPRESENTATIVE (LOTTERY)	00822JA	10.0	560,859	10.0	562,360
ASSISTANT CONTROLLER	00824JA	2.0	111,721	2.0	113,410
RECEPTIONIST	00817JA	1.0	54,475	1.0	54,544
LICENSING CLERK	00820JA	1.0	53,968	1.0	53,968
COMPUTER PROGRAMMER	00825JA	1.0	53,880	1.0	55,726

Personnel

Department Of Revenue Lottery Division

	Grade	FY 2017		FY 2018	
		FTE	Cost	FTE	Cost
SECRETARY	00818JA	3.0	146,390	3.0	146,390
SUPERVISOR PUBLIC AFFAIRS & DRAWINGS	00822JA	1.0	48,344	1.0	49,861
PRODUCTION CLERK	00822JA	1.0	48,115	1.0	49,624
ASSISTANT FIELD REPRESENTATIVE	00818JA	1.0	48,034	1.0	48,034
VALIDATIONS OFFICER	00817JA	1.0	44,341	1.0	44,341
TICKET ACCOUNTING CLERK (LOTTERY)	00820JA	1.0	43,903	1.0	45,162
ASSISTANT PRODUCTION WORKER	00818JA	2.0	86,191	2.0	88,911
JUNIOR MAINTENANCE PERSON	00801JA	1.0	30,862	1.0	31,234
Subtotal		84.0	\$5,564,210	84.0	\$5,601,144
Cost Allocation from Other Programs		-	105,130	-	105,130
Overtime		-	130,000	-	130,000
Turnover		-	(438,982)	-	(342,376)
Subtotal		-	(\$203,852)	-	(\$107,246)
Total Salaries		84.0	\$5,360,358	84.0	\$5,493,898
Benefits					
Payroll Accrual			30,274		30,971
FICA			397,657		407,536
Retiree Health			314,960		323,470
Health Benefits			1,004,960		1,086,910
Retirement			1,378,872		1,396,878
Subtotal			\$3,126,723		\$3,245,765
Total Salaries and Benefits		84.0	\$8,487,081	84.0	\$8,739,663
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$101,037		\$104,044
Statewide Benefit Assessment			\$248,441		\$254,783
Payroll Costs		84.0	\$8,735,522	84.0	\$8,994,446
Purchased Services					
Clerical and Temporary Services			73,008		76,658
Management & Consultant Services			94,500		99,225
Other Contracts			1,523,231		1,599,392
Buildings and Ground Maintenance			1,732		1,820
Subtotal			\$1,692,471		\$1,777,095
Total Personnel		84.0	\$10,427,993	84.0	\$10,771,541
Distribution By Source Of Funds					
Other Funds		84.0	\$10,427,993	84.0	\$10,771,541
Total All Funds		84.0	\$10,427,993	84.0	\$10,771,541

The Program

Department Of Revenue Municipal Finance

Program Mission

The Division of Municipal Finance's mission is to provide guidance to municipalities in achieving and maintaining fiscal stability. The Division promotes the highest standards in local government fiscal policy and decision-making. It encourages transparency by serving as a conduit for information and education for taxpayers, for government officials, and for the public.

Program Description

The Division of Municipal Finance's responsibilities include assisting municipalities and fire districts to achieve sound fiscal management through data analysis, technical assistance, training, and oversight. The Division encourages cooperation between municipalities, fire districts, and the State by distributing relevant and timely information. It provides technical assistance to distressed municipalities and fire districts. The Division maintains a databank on local finances and property values for the benefit of municipalities, fire districts, and public policy decision-makers. In addition, the Division annually calculates municipal property wealth. It calculates and distributes municipal state aid programs and advises municipalities on the statistical property valuation process. Finally, the Division provides staff assistance to the Local Pension and Other Post Employment Benefit (OPEB) Study Commission.

Statutory History

R.I. Gen. Laws § 42-142-4 establishes the Division of Municipal Finance within the Department of Revenue.

The Budget

Department Of Revenue Municipal Finance

	2015 Audited	2016 Audited	2017 Enacted	2017 Revised	2018 Recommend
Expenditures By Subprogram					
Municipal Affairs	2,375,374	2,200,715	3,053,887	3,097,334	2,511,025
Total Expenditures	\$2,375,374	\$2,200,715	\$3,053,887	\$3,097,334	\$2,511,025
Expenditures By Object					
Personnel	2,230,410	2,053,419	1,986,203	2,035,451	1,996,939
Operating Supplies and Expenses	19,221	18,748	80,417	74,616	77,504
Assistance and Grants	-	1,720	855,703	855,703	305,018
Aid to Local Units of Government	123,509	125,804	129,039	129,039	129,039
Subtotal: Operating Expenditures	2,373,140	2,199,691	3,051,362	3,094,809	2,508,500
Capital Purchases and Equipment	2,234	1,024	2,525	2,525	2,525
Total Expenditures	\$2,375,374	\$2,200,715	\$3,053,887	\$3,097,334	\$2,511,025
Expenditures By Funds					
General Revenue	2,375,374	2,200,715	3,053,887	3,097,334	2,511,025
Total Expenditures	\$2,375,374	\$2,200,715	\$3,053,887	\$3,097,334	\$2,511,025

Personnel

Department Of Revenue Municipal Finance

	Grade	FY 2017		FY 2018	
		FTE	Cost	FTE	Cost
Classified					
CHIEF OF STRATEGIC PLANNING, MONITORING	00143A	1.0	112,370	1.0	112,370
SUPERVISOR LOCAL GOVERNMENT ASSISTANCE	00833A	2.0	161,403	2.0	163,984
CHIEF PROGRAM DEVELOPMENT	00134A	2.0	155,982	2.0	156,610
FISCAL MANAGEMENT OFFICER	00B26A	1.0	76,844	1.0	76,928
STATE AID AND FINANCE SPECIALIST - MUNICIPAL	00332A	3.0	225,006	3.0	225,006
PROGRAMMING SERVICES OFFICER	00131A	2.0	132,471	2.0	134,753
PRODUCTIVITY PROJECT DIRECTOR	00130A	2.0	131,198	2.0	134,072
SENIOR MANAGEMENT AND METHODS ANALYST	00325A	1.0	60,222	1.0	60,222
PRINCIPAL PROGRAM ANALYST	00328A	1.0	57,479	1.0	59,882
SENIOR INFORMATION AND PUBLIC RELATIONS	00324A	1.0	51,461	1.0	52,821
DATA CONTROL CLERK	00315A	1.0	47,234	1.0	47,234
Subtotal		17.0	\$1,211,670	17.0	\$1,223,882
Turnover		-	(100,297)	-	(73,433)
Subtotal		-	(\$100,297)	-	(\$73,433)
Total Salaries		17.0	\$1,111,373	17.0	\$1,150,449
Benefits					
Payroll Accrual			6,426		6,634
FICA			85,019		88,010
Retiree Health			66,350		68,797
Health Benefits			225,627		247,633
Retirement			290,715		297,097
Subtotal			\$674,137		\$708,171
Total Salaries and Benefits		17.0	\$1,785,510	17.0	\$1,858,620
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$105,030		\$109,331
Statewide Benefit Assessment			\$49,941		\$48,319
Payroll Costs		17.0	\$1,835,451	17.0	\$1,906,939
Purchased Services					
Management & Consultant Services			160,000		80,000
Legal Services			40,000		10,000
Subtotal			\$200,000		\$90,000
Total Personnel		17.0	\$2,035,451	17.0	\$1,996,939
Distribution By Source Of Funds					
General Revenue		17.0	\$2,035,451	17.0	\$1,996,939
Total All Funds		17.0	\$2,035,451	17.0	\$1,996,939

The Program

Department Of Revenue Taxation

Program Mission

The Division of Taxation's mission is to foster voluntary compliance with the Rhode Island tax laws and instill public confidence through professional, impartial, and ethical conduct. The Division administers and collects all taxes as required by Rhode Island law in the most efficient and cost effective manner, and assists taxpayers by helping them understand and meet their tax responsibilities.

Program Description

The Office of Tax Administrator is responsible for providing the overall guidance and direction of the Division of Taxation. The Office oversees the operations of the Division and is directly responsible for overseeing the appeals process, legislative tracking and legislative testimony, tax credit administration, forms management, website maintenance, and overseeing the voluntary disclosure program.

The Tax Processing section is responsible for the management and security of all processing operations in the Division. The unit performs all activities relating to the receipt of cash payments and the processing of tax returns. It also registers taxpayers, records tax accounts, and develops and implements forms necessary to collect all the taxes administered.

The Compliance and Collections section's primary function is to maintain the integrity of the Rhode Island tax system through the collection of delinquent taxes and tax returns from individuals and businesses. This unit is responsible for compliance, collection and, if necessary, enforcement actions to collect all taxes administered by the Division.

The Field Audit section is responsible for conducting comprehensive tax audits of the accounting and related records of individuals, partnerships, and corporate business organizations to determine their proper tax liability under State tax laws. The Field Audit section workload is divided into specialty audits and routine audits conducted in-state and out-of-state.

The Office of Assessment and Review is responsible for the review and audit of tax returns received by the Division. It is also responsible for the maintenance of the Division's rules and regulations as well as educating taxpayers.

The Employer Tax section operates the State's Unemployment Insurance (UI), Temporary Disability Insurance (TDI) and Job Development Fund (JDF) tax receipt programs.

Statutory History

R.I. Gen. Laws § 42-142 establishes the Division of Taxation within the Department of Revenue.

The Budget

Department Of Revenue Taxation

	2015 Audited	2016 Audited	2017 Enacted	2017 Revised	2018 Recommend
Expenditures By Subprogram					
Tax Administrator	2,862,329	2,200,093	1,885,394	2,708,412	2,055,771
Tax Processing Division	4,131,860	4,218,012	4,395,442	4,557,143	5,904,334
Compliance and Collection	3,581,929	3,597,458	4,122,931	3,906,970	3,934,371
Field Audit	6,664,900	6,708,807	6,716,219	7,088,316	7,130,457
Assessment and Review	3,010,566	3,386,164	3,350,491	3,371,020	3,427,202
Employer Tax	2,761,958	2,893,751	3,261,421	3,559,461	3,311,086
Total Expenditures	\$23,013,542	\$23,004,285	\$23,731,898	\$25,191,322	\$25,763,221
Expenditures By Object					
Personnel	19,871,865	20,754,398	21,832,936	22,643,421	23,941,943
Operating Supplies and Expenses	1,730,949	1,775,301	1,863,689	1,786,005	1,786,005
Assistance and Grants	1,390,614	445,103	1,273	727,896	1,273
Subtotal: Operating Expenditures	22,993,428	22,974,802	23,697,898	25,157,322	25,729,221
Capital Purchases and Equipment	20,114	29,483	34,000	34,000	34,000
Total Expenditures	\$23,013,542	\$23,004,285	\$23,731,898	\$25,191,322	\$25,763,221
Expenditures By Funds					
General Revenue	18,114,988	19,544,975	20,294,329	20,374,332	22,275,987
Federal Funds	3,107,132	1,632,034	1,343,291	2,544,675	1,361,360
Restricted Receipts	787,203	831,372	930,267	1,016,597	945,239
Other Funds	1,004,219	995,904	1,164,011	1,255,718	1,180,635
Total Expenditures	\$23,013,542	\$23,004,285	\$23,731,898	\$25,191,322	\$25,763,221

Personnel

Department Of Revenue Taxation

	Grade	FY 2017		FY 2018	
		FTE	Cost	FTE	Cost
Classified					
EXECUTIVE DIRECTOR (DOA)/TAX	00152A	1.0	143,297	1.0	148,055
CHIEF OF EXAMINATION (TAXATION)	00142A	1.0	130,055	1.0	130,055
ASSOCIATE DIRECTOR, REVENUE SERVICES	00144A	1.0	123,003	1.0	128,237
CHIEF OF TAX PROCESSING SERVICES	00140A	2.0	225,644	2.0	225,644
CHIEF COMPLIANCE AND COLLECTIONS	00140A	1.0	110,111	1.0	110,111
CHIEF REVENUE AGENT	00138A	10.0	975,148	10.0	989,379
CHIEF ESTATE AND GIFT TAXES	00138A	1.0	83,979	1.0	87,863
SUPERVISING REVENUE OFFICER	00831A	5.0	407,458	5.0	407,458
SENIOR MULTISTATE TAX AUDITOR	00829A	1.0	76,662	1.0	76,662
PRINCIPAL REVENUE AGENT	00831A	17.0	1,294,642	17.0	1,301,493
REVENUE ANALYST	00328A	1.0	74,875	1.0	74,875
MANAGING REVENUE OFFICER	00834A	1.0	74,203	1.0	78,179
SENIOR LEGAL COUNSEL	00134A	2.0	144,255	2.0	147,707
SPECIAL INVESTIGATION UNIT SUPERVISOR	00128A	1.0	68,193	1.0	68,193
SENIOR REVENUE AGENT	00328A	35.0	2,314,322	35.0	2,331,019
REVENUE POLICY ANALYST (DOR)	00132A	1.0	65,193	1.0	67,576
REVENUE OFFICER SPECIAL INVESTIGATIONS	00324A	5.0	311,299	5.0	311,299
TAXPAYER SERVICE SPECIALIST	00323A ³	8.0	451,458	10.0	546,624
CHIEF IMPLEMENTATION AIDE	00128A	1.0	56,177	1.0	58,185
REVENUE AGENT II	00326A	16.0	871,091	16.0	893,354
TRAINING SUPERVISOR	00326A	1.0	53,839	1.0	56,254
DATA ENTRY UNIT SUPERVISOR	00B21A	1.0	53,537	1.0	53,537
EMPLOYER REGISTRATION SUPERVISOR	00326A	1.0	52,322	1.0	53,967
TAXPAYER ASSISTANCE REPRESENTATIVE	00318A	1.0	51,694	1.0	51,694
SUPERVISING TAX EXAMINER (DOA)	00326A	1.0	51,178	1.0	52,843
TAX EXAMINER (DOA)	00321A	7.0	350,848	7.0	353,124
REVENUE OFFICER	00321A	7.0	346,880	7.0	349,848
IMPLEMENTATION AIDE	00322A	2.0	96,686	2.0	99,942
REVENUE AGENT I	00324A ¹	19.0	917,261	21.0	1,034,474
TAX AIDE II	00318A	22.0	1,017,339	22.0	1,022,114
TAX INVESTIGATOR	00320A	4.0	182,529	4.0	182,988
OFFICE MANAGER	00323A	1.0	45,275	1.0	60,554
STOREKEEPER	00315A	1.0	43,646	1.0	43,646
ACCOUNTANT	00320A	1.0	42,538	1.0	43,562
REVENUE OFFICER I	00320A	30.0	1,258,697	30.0	1,291,849
TAX AIDE I	00316A	16.0	648,707	16.0	655,051
TELLER	00315A ⁴	1.0	37,426	2.0	74,575
DATA ENTRY OPERATOR	00310A	2.0	71,491	2.0	72,007
SENIOR CLERK-TYPIST	00309A	1.0	34,129	1.0	34,868
WORD PROCESSING TYPIST	00310A	1.0	34,041	1.0	34,533
SENIOR CLERK	00308A	1.0	32,630	1.0	33,093
CLERK-TAXATION(SEASONAL)	00242F	-	186,498	-	186,498
DATA ANALYST I	00134A ⁶	-	-	2.0	138,152
DATA ENTRY OPER-TAX(SEASONAL)	00243F	-	259,512	-	259,512
SEASONAL POLICY INTERN	00252H ⁵	-	36,400	-	36,400

Personnel

Department Of Revenue Taxation

	Grade	FY 2017		FY 2018	
		FTE	Cost	FTE	Cost
SUPERVISING REVENUE OFFICER	00031A ²	-	-	1.0	61,604
Subtotal		232.0	\$13,906,168	240.0	\$14,518,657
Cost Allocation from Other Programs		-	77,882	-	77,882
Cost Allocation to Other Programs		-	(56,177)	-	(58,185)
Overtime		-	304,187	-	303,785
Turnover		-	(721,919)	-	(604,783)
Subtotal		-	(\$396,027)	-	(\$281,301)
Total Salaries		232.0	\$13,510,141	240.0	\$14,237,356
Benefits					
Payroll Accrual			73,952		74,152
FICA			977,623		982,607
Retiree Health			800,190		805,406
Health Benefits			2,850,975		2,822,767
Retirement			3,377,547		3,350,985
Subtotal			\$8,080,287		\$8,035,917
Total Salaries and Benefits		232.0	\$21,590,428	240.0	\$22,273,273
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$93,062		\$92,805
Statewide Benefit Assessment			\$585,359		\$555,794
Payroll Costs		232.0	\$22,175,787	240.0	\$22,829,067
Purchased Services					
Information Technology			-		1,000,000
Clerical and Temporary Services			2,000		2,000
Management & Consultant Services			450,384		95,626
Other Contracts			15,250		15,250
Subtotal			\$467,634		\$1,112,876
Total Personnel		232.0	\$22,643,421	240.0	\$23,941,943
Distribution By Source Of Funds					
General Revenue		195.0	\$18,626,748	203.0	\$20,528,403
Federal Funds		37.0	\$1,785,318	37.0	\$1,328,626
Restricted Receipts		-	\$1,001,460	-	\$930,102
Other Funds		-	\$1,229,895	-	\$1,154,812
Total All Funds		232.0	\$22,643,421	240.0	\$23,941,943

1 New position related to the integrated tax system.

3 New position related to the integrated tax system.

5 Seasonal Intern

2 New position related to the integrated tax system.

4 New position related to the integrated tax system.

6 New position related to the integrated tax system.

The Program

Department Of Revenue Registry of Motor Vehicles

Program Mission

The Division of Motor Vehicles is responsible for administering and enforcing all laws pertaining to the operation and registration of motor vehicles. It is committed to providing excellent customer service with integrity and transparency.

Program Description

The Division of Motor Vehicles (DMV), also known as the “Registry of Motor Vehicles,” is responsible for administering and enforcing all laws pertaining to the operation and registration of motor vehicles and the issuance of licenses. It is responsible for the enforcement of all laws relating to the issuance, suspension, and revocation of motor vehicle registrations and licenses. It is responsible for administering the inspection of motor vehicles and the study of motor vehicle accidents.

Statutory History

R.I. Gen. Laws § 42-142 entitled “Department of Revenue” establishes the Registry of Motor Vehicles as a division within the Department of Revenue.

The Budget

Department Of Revenue Registry of Motor Vehicles

	2015 Audited	2016 Audited	2017 Enacted	2017 Revised	2018 Recommend
Expenditures By Subprogram					
Registry of Motor Vehicles	20,232,516	22,764,425	28,535,703	25,215,363	26,316,475
Vehicle Value Commission	29,526	29,547	29,526	29,526	29,526
Total Expenditures	\$20,262,042	\$22,793,972	\$28,565,229	\$25,244,889	\$26,346,001
Expenditures By Object					
Personnel	13,971,045	15,041,417	17,278,932	19,325,765	17,127,400
Operating Supplies and Expenses	5,337,189	5,688,228	8,346,974	5,207,401	8,707,378
Assistance and Grants	2,192	1,285	2,350	2,350	2,350
Subtotal: Operating Expenditures	19,310,426	20,730,930	25,628,256	24,535,516	25,837,128
Capital Purchases and Equipment	892,276	2,007,371	2,868,872	641,272	440,772
Operating Transfers	59,340	55,671	68,101	68,101	68,101
Total Expenditures	\$20,262,042	\$22,793,972	\$28,565,229	\$25,244,889	\$26,346,001
Expenditures By Funds					
General Revenue	18,764,082	19,865,801	23,668,390	20,319,588	24,045,098
Federal Funds	1,207,851	1,108,472	802,076	1,830,538	206,140
Restricted Receipts	14,763	1,819,699	4,094,763	3,094,763	2,094,763
Operating Transfers from Other Funds	275,346	-	-	-	-
Total Expenditures	\$20,262,042	\$22,793,972	\$28,565,229	\$25,244,889	\$26,346,001

Personnel

Department Of Revenue Registry of Motor Vehicles

	Grade	FY 2017		FY 2018	
		FTE	Cost	FTE	Cost
Classified					
ASSISTANT MOTOR VEHICLES ADMINISTRATOR	00140A	1.0	112,822	1.0	59,356
ASSOCIATE DIRECTOR REVENUE SERVICE (MOTOR	00144A	1.0	109,993	1.0	62,771
CHIEF OF STRATEGIC PLANNING, MONITORING	00143A	1.0	107,615	1.0	41,917
ASSISTANT MOTOR VEHICLES ADMINISTRATOR	00140A	1.0	103,011	1.0	53,117
ADMINISTRATOR, FINANCIAL MANAGEMENT	00137A	1.0	91,601	1.0	48,498
CHIEF OF MOTOR VEHICLE SUPPORT SERVICES	00134A	1.0	89,070	1.0	41,662
COORDINATOR, MOTOR CARRIER & SCHOOL BUS	00133A	1.0	86,693	1.0	53,117
CHIEF PROGRAM DEVELOPMENT	00134A	3.0	252,864	3.0	132,981
CHIEF MOTOR VEHICLE SAFETY AND EMISSION	00135A	1.0	82,405	1.0	45,232
CHIEF OF MOTOR VEHICLE OPERATOR CONTROL	00135A	1.0	81,206	1.0	81,206
SUPERVISOR COMPUTER OPERATIONS	03328A	1.0	72,524	1.0	72,524
DEALER LICENSING OFFICER (DMV)	00328A	1.0	72,231	1.0	52,609
CHIEF IMPLEMENTATION AIDE	00128A	2.0	138,810	2.0	104,997
CHIEF APPEALS OFFICER (MOTOR VEHICLES)	00326A	1.0	68,236	1.0	68,236
SENIOR COMMUNITY DEVELOPMENT TRAINING	00326A	1.0	66,814	1.0	66,814
MOTOR VEHICLE APPEALS OFFICER	00324A	8	662,343	12.0	610,944
ECONOMIC AND POLICY ANALYST	00130A	1.0	62,525	1.0	39,678
ADMINISTRATIVE OFFICER	00124A	3.0	170,972	3.0	115,793
SUPERVISOR, MOTOR VEHICLE CUSTOMER	03327A	2.0	112,871	2.0	103,031
INTERPRETING INTERVIEWER (SPANISH)	00319A	1.0	53,295	1.0	55,520
MOTOR VEHICLE INVESTIGATOR	00320A	3.0	156,563	3.0	149,147
FISCAL MANAGEMENT OFFICER	03326A	1.0	51,874	1.0	52,464
SUPERVISOR OF BRANCH OFFICE SERVICES	03325A	9.0	458,741	9.0	465,304
LICENSE INVESTIGATOR	00322A	2.0	101,857	2.0	91,323
ASSISTANT CHIEF MOTOR VEHICLE SAF. & EMIS.	00125A	1.0	50,922	1.0	52,724
CUSTOMER SERVICE REPRESENTATIVE I (DMV)	00315A	7	1,034,693	26.5	1,221,027
CUSTOMER SERVICE REPRESENTATIVE III (DMV)	00321A	35.0	1,680,742	35.0	1,899,602
SENIOR TELLER	00318A	3.0	142,928	3.0	131,468
DATA CONTROL CLERK	00315A	1.0	47,351	1.0	85,266
SENIOR AUTOMOTIVE AND EMISSION CONTROL	00321A	1.0	46,998	1.0	48,498
AUTOMOTIVE SERVICE SPECIALIST	00318A	4.0	178,774	4.0	179,618
MOTOR VEHICLE OPERATOR EXAMINER	00319A	11.0	489,577	11.0	590,244
INFORMATION AIDE	00315A	4.0	174,374	4.0	209,569
PRINCIPAL CLERK-TYPIST	00312A	1.0	42,645	1.0	51,506
INFORMATION SERVICES TECHNICIAN II	00320A	1.0	42,616	1.0	54,515
LICENSING AIDE	00315A	4.0	168,801	4.0	161,930
PRINCIPAL CLERK-STENOGRAPHER	00313A	2.0	83,808	2.0	83,808
CUSTOMER SERVICE SPECIALIST I	00315A	5.0	209,089	5.0	236,048
TELLER	00315A	2.0	83,447	2.0	84,127
TELEPHONE OPERATOR	00310A	1.0	41,697	1.0	45,232
CUSTOMER SERVICE REPRESENTATIVE II (DMV)	00318A	21.0	866,432	21.0	964,760
SENIOR WORD PROCESSING TYPIST	00312A	2.0	81,455	2.0	185,918
STOREKEEPER	00315A	2.0	79,819	2.0	112,416
AUTOMOTIVE AND EMISSION CONTROL	00317A	2.0	78,840	2.0	80,337
Subtotal		173.5	\$8,991,944	181.5	\$9,146,854

Personnel

Department Of Revenue Registry of Motor Vehicles

	Grade	FY 2017		FY 2018	
		FTE	Cost	FTE	Cost
Cost Allocation from Other Programs		-	68,901	-	68,901
Interdepartmental Transfer		-	70,277	-	70,277
Overtime		-	401,084	-	401,084
Turnover		-	(683,396)	-	(162,462)
Subtotal		-	(\$143,134)	-	\$377,800
Total Salaries		173.5	\$8,848,810	181.5	\$9,524,654
Benefits					
Payroll Accrual			48,808		53,113
Holiday			1,000		909
FICA			646,247		637,465
Retiree Health			517,937		505,353
Health Benefits			1,942,228		1,610,201
Retirement			2,205,942		2,145,515
Subtotal			\$5,362,162		\$4,952,556
Total Salaries and Benefits		173.5	\$14,210,972	181.5	\$14,477,210
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$81,908		\$79,764
Statewide Benefit Assessment			\$379,713		\$350,110
Payroll Costs		173.5	\$14,590,685	181.5	\$14,827,320
Purchased Services					
Information Technology			3,876,000		2,091,000
Management & Consultant Services			654,800		4,800
Other Contracts			193,580		193,580
Buildings and Ground Maintenance			10,700		10,700
Subtotal			\$4,735,080		\$2,300,080
Total Personnel		173.5	\$19,325,765	181.5	\$17,127,400
Distribution By Source Of Funds					
General Revenue		172.5	\$14,740,227	180.5	\$14,978,160
Federal Funds		1.0	\$1,505,538	1.0	\$69,240
Restricted Receipts		-	\$3,080,000	-	\$2,080,000
Total All Funds		173.5	\$19,325,765	181.5	\$17,127,400

7 New positions related to the implementation of RIMS

8 New positions related to the implementation of RIMS

The Program

Department Of Revenue State Aid

Program Mission

The Division of Municipal Finance annually calculates and distributes State Aid to qualifying cities and towns. The Executive Summary and Technical Appendix include the distributions of State Aid to individual cities and towns.

Program Description

For the purposes of budget presentation, State Aid programs are separated from the Division of Municipal Finance's budget. Below is a summary of all appropriated State Aid programs. It should be noted that State Library Aid is appropriated under the Department of Administration.

The Payment-in-Lieu-of-Taxes (PILOT) program reimburses communities for up to 27.0 percent of what they would have collected in property taxes from certain designated tax exempt property (subject to appropriation). The FY 2017 revised budget is funded at \$42.0 million, while the FY 2018 proposed budget is funded at \$45.2 million. Data used to determine distribution amounts is updated annually to reflect the most recent data.

The Distressed Communities Relief Fund provides state assistance to municipalities with the highest property tax burdens relative to the wealth of taxpayers. Municipalities are eligible that meet the statutorily determined distressed test in three of four categories. The program is level funded at \$12.4 million in FY 2017 revised and FY 2018 proposed.

Municipal Incentive Aid is a category of state assistance with the purpose of encouraging municipalities to improve the sustainability of their retirement plans and to reduce unfunded liabilities. Each municipality with a pension fund deemed "critical" must submit a Funding Improvement Plan under RIGL45-13.2.

The FY 2018 budget continues to provide funding for the Property Valuation Statistical Update Program, which reimburses cities and towns for legislatively mandated property valuation statistical updates on a per parcel basis. Funding for FY 2017 revised is estimated at \$559,901. The projected FY 2018 funding is \$937,228 for estimated actual cost of reimbursement based on similar communities.

The 1998 General Assembly enacted the Motor Vehicle Excise Tax Phase-Out legislation to phase out the excise tax on motor vehicles and trailers over an original seven year period. There have been various legislative changes to the legislation since its inception. Total funding enacted in FY 2017 revised and FY 2018 proposed to support this program is \$10.0 million.

Statutory History

The State Aid Program was transferred from the Department of Administration as part of the FY 2012 Budget.

The Budget

Department Of Revenue State Aid

	2015 Audited	2016 Audited	2017 Enacted	2017 Revised	2018 Recommend
Expenditures By Subprogram					
State Aid	67,092,799	67,705,327	65,845,475	65,982,815	69,449,305
Total Expenditures	\$67,092,799	\$67,705,327	\$65,845,475	\$65,982,815	\$69,449,305
Expenditures By Object					
Operating Supplies and Expenses	916,700	173,877	-	-	-
Aid to Local Units of Government	66,176,099	67,531,450	65,845,475	65,982,815	69,449,305
Subtotal: Operating Expenditures	67,092,799	67,705,327	65,845,475	65,982,815	69,449,305
Total Expenditures	\$67,092,799	\$67,705,327	\$65,845,475	\$65,982,815	\$69,449,305
Expenditures By Funds					
General Revenue	66,176,099	66,764,814	64,923,462	65,060,802	68,527,292
Restricted Receipts	916,700	940,513	922,013	922,013	922,013
Total Expenditures	\$67,092,799	\$67,705,327	\$65,845,475	\$65,982,815	\$69,449,305

Agency

General Assembly - Constitution

Agency Mission

The General Assembly meets annually and is responsible for enactment of laws, formation of state policy, and evaluation of programs through the appropriation process.

Agency Description

The Rhode Island Legislature, the General Assembly, consists of two chambers. The Senate is composed of 38 members. The House of Representatives is composed of 75 members. The Office of the Speaker of the House, President of the Senate Staff, the Joint Committee on Legislative Affairs, the Legislative Council, the Fiscal Advisory Staff, the Office of the Auditor General, the Legislative Press Bureau, State Government Internship Office, the Law Revision Office, the Research and Proofing Offices, and the Special Legislative Commissions assist the General Assembly in executing its constitutional role.

Statutory History

The Legislature is one of the three branches of government authorized in the Rhode Island Constitution. Article VI establishes the powers of the Legislature, and Articles VII and VIII define the composition of the House of Representatives and the Senate.

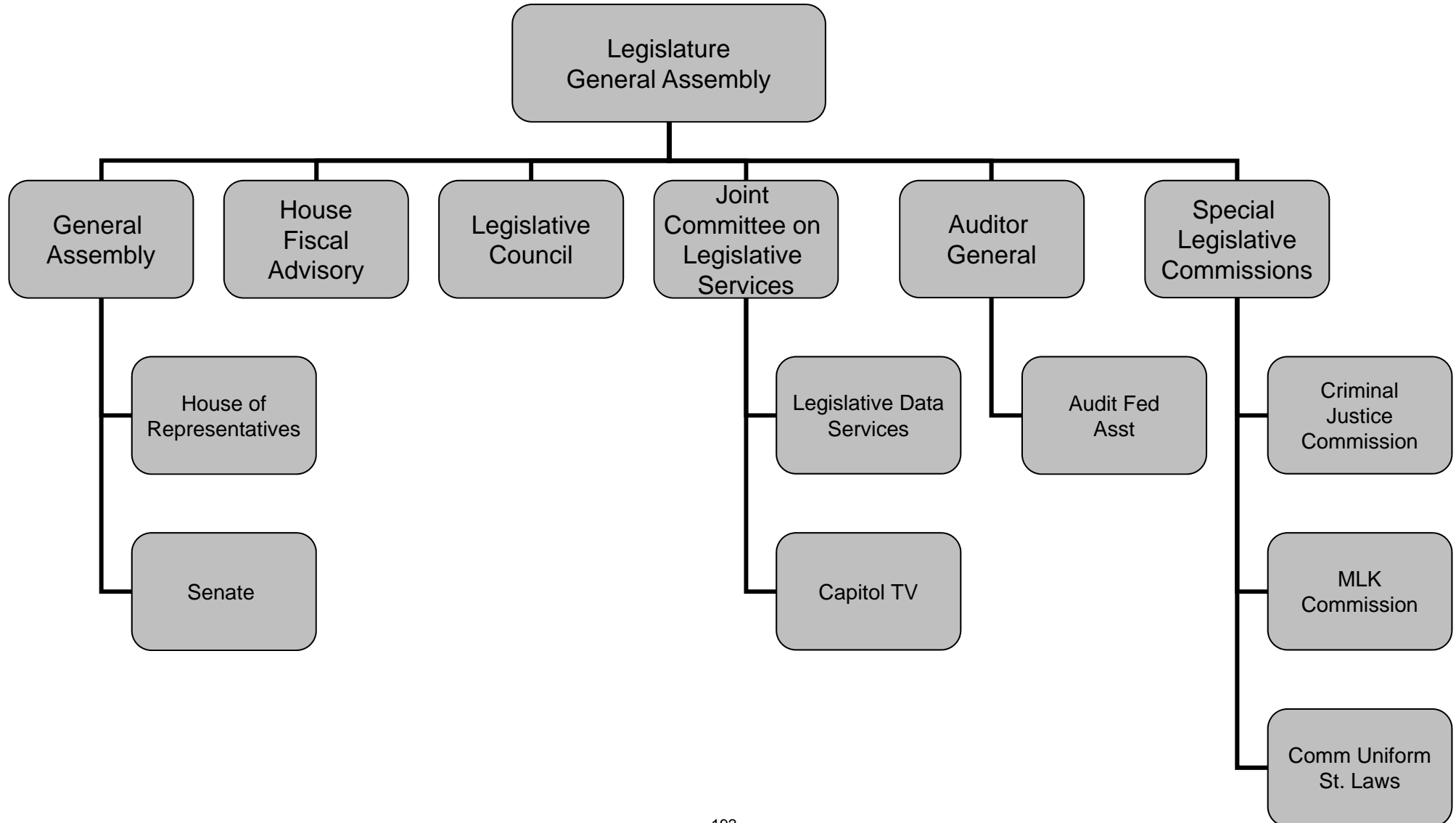
Budget

General Assembly - Constitution

	FY 2015 Audited	FY 2016 Audited	FY 2017 Enacted	FY 2017 Revised	FY 2018 Recommend
Expenditures By Program					
General Assembly	5,100,489	5,442,154	5,725,048	7,972,363	6,080,325
Fiscal Advisory Staff	1,464,798	1,405,837	1,893,563	1,946,864	1,843,417
Legislative Council	3,692,521	3,683,314	5,357,247	5,225,644	5,436,430
Joint Comm. on Legislative Services	21,485,556	22,296,146	24,114,329	27,022,477	25,130,388
Auditor General	4,439,628	4,833,990	5,645,215	5,366,566	5,748,004
Special Legislative Commissions	7,430	8,475	13,900	13,900	13,900
Total Expenditures	\$36,190,422	\$37,669,916	\$42,749,302	\$47,547,814	\$44,252,464
Expenditures By Object					
Personnel	31,199,869	31,981,198	36,619,042	36,112,411	37,581,869
Operating Supplies and Expenses	2,589,008	3,179,171	3,092,760	7,262,289	3,545,595
Assistance and Grants	2,165,230	2,238,865	2,300,000	2,300,000	2,300,000
Subtotal: Operating Expenditures	35,954,107	37,399,234	42,011,802	45,674,700	43,427,464
Capital Purchases and Equipment	236,315	270,682	737,500	1,873,114	825,000
Total Expenditures	\$36,190,422	\$37,669,916	\$42,749,302	\$47,547,814	\$44,252,464
Expenditures By Funds					
General Revenue	34,865,422	36,219,919	41,052,730	45,936,290	42,522,507
Restricted Receipts	1,325,000	1,449,997	1,696,572	1,611,524	1,729,957
Total Expenditures	\$36,190,422	\$37,669,916	\$42,749,302	\$47,547,814	\$44,252,464
FTE Authorization	298.5	298.5	298.5	298.5	298.5

The Agency

Legislature General Assembly



Personnel

General Assembly - Constitution Agency Summary

	Grade	FY 2017		FY 2018	
		FTE	Cost	FTE	Cost
Unclassified		298.5	21,700,560	298.5	21,801,212
Subtotal		298.5	\$21,700,560	298.5	\$21,801,212
Temporary and Seasonal		-	403,733	-	403,733
Turnover		-	(\$1,107,493)	-	(\$24,528)
Subtotal		-	(\$703,760)	-	\$379,205
Total Salaries		298.5	\$20,996,800	298.5	\$22,180,417
Benefits					
Payroll Accrual			118,418		125,296
Retiree Health			1,149,783		1,212,672
Health Benefits			5,522,115		5,724,809
FICA			1,582,018		1,679,818
Retirement			4,930,361		5,182,301
Subtotal			\$13,302,695		\$13,924,896
Total Salaries and Benefits		298.5	\$34,299,495	298.5	\$36,105,313
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$113,554		\$119,603
Statewide Benefit Assessment			\$926,416		\$921,056
Payroll Costs		298.5	\$35,225,911	298.5	\$37,026,369
Purchased Services					
Buildings and Ground Maintenance			3,500		3,500
Legal Services			285,000		210,000
Other Contracts			4,500		3,500
Information Technology			315,000		210,000
Clerical and Temporary Services			110,000		80,000
Management & Consultant Services			168,500		48,500
Subtotal			\$886,500		\$555,500
Total Personnel		298.5	\$36,112,411	298.5	\$37,581,869
Distribution By Source Of Funds					
General Revenue		298.5	\$34,679,552	298.5	\$36,004,702
Restricted Receipts		-	\$1,432,859	-	\$1,577,167
Total All Funds		298.5	\$36,112,411	298.5	\$37,581,869

The Program

General Assembly - Constitution General Assembly

Program Mission

The General Assembly meets annually and is responsible for the enactment of laws, the formation of basic state policy, and the evaluation of existing programs through the appropriation process.

Program Description

The General Assembly consists of two chambers. The Senate is composed of 38 members. The House of Representatives is composed of 75 members.

Statutory History

The legislative power of the State is vested in the Legislature as set forth in Article VI of the State Constitution. The composition of the General Assembly is established in Articles VII and VIII of the State Constitution.

The Budget

General Assembly - Constitution General Assembly

	2015 Audited	2016 Audited	2017 Enacted	2017 Revised	2018 Recommend
Expenditures By Subprogram					
Operations	5,100,489	5,442,154	5,725,048	7,972,363	6,080,325
Total Expenditures	\$5,100,489	\$5,442,154	\$5,725,048	\$7,972,363	\$6,080,325
Expenditures By Object					
Personnel	3,558,652	3,799,901	3,901,198	4,285,287	4,161,475
Operating Supplies and Expenses	1,462,901	1,595,742	1,658,350	3,146,197	1,808,350
Subtotal: Operating Expenditures	5,021,553	5,395,643	5,559,548	7,431,484	5,969,825
Capital Purchases and Equipment	78,936	46,511	165,500	540,879	110,500
Total Expenditures	\$5,100,489	\$5,442,154	\$5,725,048	\$7,972,363	\$6,080,325
Expenditures By Funds					
General Revenue	5,100,489	5,442,154	5,725,048	7,972,363	6,080,325
Total Expenditures	\$5,100,489	\$5,442,154	\$5,725,048	\$7,972,363	\$6,080,325

Personnel

General Assembly - Constitution

General Assembly

	Grade	FY 2017		FY 2018	
		FTE	Cost	FTE	Cost
Unclassified					
REPRESENTATIVE	00509F	-	1,131,456	-	1,139,170
REPRESENTATIVE-SPEAKER OF THE HOUSE	00511F	-	30,860	-	30,860
SENATOR	00509F	-	570,873	-	570,873
SENATOR-PRESIDENT OF THE SENATE	00511F	-	30,860	-	30,860
Subtotal		-	\$1,764,049	-	\$1,771,763
Temporary and Seasonal		-	403,733	-	403,733
Subtotal		-	\$403,733	-	\$403,733
Total Salaries		-	\$2,167,782	-	\$2,175,496
Benefits					
Payroll Accrual			9,744		9,776
FICA			165,907		166,498
Retiree Health			26,983		14,354
Health Benefits			1,343,591		1,403,925
Subtotal			\$1,546,225		\$1,594,553
Total Salaries and Benefits		-	\$3,714,007	-	\$3,770,049
Cost Per FTE Position (Excluding Temporary and Seasonal)			-		-
Statewide Benefit Assessment			\$79,280		\$74,426
Payroll Costs		-	\$3,793,287	-	\$3,844,475
Purchased Services					
Clerical and Temporary Services			109,500		79,500
Management & Consultant Services			150,000		30,000
Legal Services			230,000		205,000
Other Contracts			2,500		2,500
Subtotal			\$492,000		\$317,000
Total Personnel		-	\$4,285,287	-	\$4,161,475
Distribution By Source Of Funds					
General Revenue		-	\$4,285,287	-	\$4,161,475
Total All Funds		-	\$4,285,287	-	\$4,161,475

The Program

General Assembly - Constitution Fiscal Advisory Staff

Program Mission

Perform fiscal research and analysis for the Legislature, its committees, and individual legislators.

Program Description

The Fiscal Advisory Staff to the House Finance Committee and to the Legislature operates under the supervision of the House Finance Committee. Duties include examination of revenues, review of appropriations and expenditures, and analysis of the State's indebtedness and Capital Improvement Program. The House Fiscal Advisor serves as one of three principals on the Revenue Consensus Forecasting and the Medical and Public Assistance Caseload Estimating Conferences.

Statutory History

The Fiscal Advisory Staff to the House Finance Committee was established as a separate agency in 1959. Statutory provisions for the Fiscal Advisory Staff to the House Finance Committee are contained in R.I.G.L. 22-6.

The Budget

General Assembly - Constitution Fiscal Advisory Staff

	2015 Audited	2016 Audited	2017 Enacted	2017 Revised	2018 Recommend
Expenditures By Subprogram					
Operations	1,464,798	1,405,837	1,893,563	1,946,864	1,843,417
Total Expenditures	\$1,464,798	\$1,405,837	\$1,893,563	\$1,946,864	\$1,843,417
Expenditures By Object					
Personnel	1,402,401	1,277,704	1,763,163	1,620,464	1,713,017
Operating Supplies and Expenses	62,397	113,907	105,400	241,400	105,400
Subtotal: Operating Expenditures	1,464,798	1,391,611	1,868,563	1,861,864	1,818,417
Capital Purchases and Equipment	-	14,226	25,000	85,000	25,000
Total Expenditures	\$1,464,798	\$1,405,837	\$1,893,563	\$1,946,864	\$1,843,417
Expenditures By Funds					
General Revenue	1,464,798	1,405,837	1,893,563	1,946,864	1,843,417
Total Expenditures	\$1,464,798	\$1,405,837	\$1,893,563	\$1,946,864	\$1,843,417

Personnel

General Assembly - Constitution Fiscal Advisory Staff

	Grade	FY 2017		FY 2018	
		FTE	Cost	FTE	Cost
Unclassified					
HOUSE FISCAL ADVISOR	07759F	1.0	175,120	1.0	175,120
DEPUTY FISCAL ADVISOR	07943F	1.0	120,620	1.0	120,620
PRINCIPAL ANALYST II	07749F	1.0	112,943	1.0	112,943
PRINCIPAL ANALYST I	07963F	1.0	106,106	1.0	106,106
LEGISLATIVE BUDGET ANALYST II	07992F	1.0	64,593	1.0	64,593
ANALYST I	07722F	4.0	240,363	4.0	251,102
SENIOR ANALYST I	07894F	3.0	175,770	3.0	175,770
ADMINISTRATIVE ASSISTANT	07821F	1.0	58,446	1.0	58,446
Subtotal		13.0	\$1,053,961	13.0	\$1,064,700
Turnover		-	(53,220)	-	-
Subtotal		-	(\$53,220)	-	-
Total Salaries		13.0	\$1,000,741	13.0	\$1,064,700
Benefits					
Payroll Accrual			5,786		6,141
FICA			73,291		78,316
Retiree Health			59,745		63,671
Health Benefits			170,731		177,041
Retirement			265,203		278,432
Subtotal			\$574,756		\$603,601
Total Salaries and Benefits		13.0	\$1,575,497	13.0	\$1,668,301
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$121,192		\$128,331
Statewide Benefit Assessment			\$44,967		\$44,716
Payroll Costs		13.0	\$1,620,464	13.0	\$1,713,017
Total Personnel		13.0	\$1,620,464	13.0	\$1,713,017
Distribution By Source Of Funds					
General Revenue		13.0	\$1,620,464	13.0	\$1,713,017
Total All Funds		13.0	\$1,620,464	13.0	\$1,713,017

The Program

General Assembly - Constitution Legislative Council

Program Mission

Legislative Council is the principal research and legal arm of the General Assembly. The Council's staff of attorneys, researchers, secretaries, and clerical aides provides legislators with information on matters pending or to come before the Legislature. Legislative Council's principal activity is drafting of legislation for individual members.

Program Description

The Legislative Council has two main functions: bill drafting and research, with separate offices to handle each. The bill-drafting section is ordinarily referred to as the Legislative Council. Staffed by a number of attorneys, the Council takes bill-drafting requests and prepares the legislation. Other staff members handle the typing, proofing, and duplicating of the bill and its delivery to legislators in the House and Senate chambers.

Statutory History

The Legislative Council was established as a separate agency in 1939. Statutory provisions for the Legislative Council are contained in R.I.G.L. 22-8.

The Budget

General Assembly - Constitution Legislative Council

	2015 Audited	2016 Audited	2017 Enacted	2017 Revised	2018 Recommend
Expenditures By Subprogram					
Operations	3,692,521	3,683,314	5,357,247	5,225,644	5,436,430
Total Expenditures	\$3,692,521	\$3,683,314	\$5,357,247	\$5,225,644	\$5,436,430
Expenditures By Object					
Personnel	3,639,314	3,574,968	5,200,297	4,757,694	5,264,480
Operating Supplies and Expenses	53,207	81,177	111,950	387,950	126,950
Subtotal: Operating Expenditures	3,692,521	3,656,145	5,312,247	5,145,644	5,391,430
Capital Purchases and Equipment	-	27,169	45,000	80,000	45,000
Total Expenditures	\$3,692,521	\$3,683,314	\$5,357,247	\$5,225,644	\$5,436,430
Expenditures By Funds					
General Revenue	3,692,521	3,683,314	5,357,247	5,225,644	5,436,430
Total Expenditures	\$3,692,521	\$3,683,314	\$5,357,247	\$5,225,644	\$5,436,430

Personnel

General Assembly - Constitution Legislative Council

	Grade	FY 2017		FY 2018	
		FTE	Cost	FTE	Cost
Unclassified					
LEGAL COUNSEL (LEGISLATIVE COU	07823F	1.0	123,851	1.0	123,851
CHIEF ASST TO DIRECTOR	07991F	1.0	122,774	1.0	122,774
LEGAL COUNSEL - PT	07792F	0.6	66,041	0.6	66,041
LEGAL COUNSEL	07818H	0.6	65,116	0.6	65,116
LEGAL COUNSEL	07743F	1.0	103,433	1.0	103,433
LEGAL COUNSEL	07967F	0.6	61,868	0.6	61,868
SENIOR LEGAL COUNSEL	07906F	1.0	98,055	1.0	98,055
LEGAL COUNSEL	07866F	0.6	58,473	0.6	58,473
LEGAL COUNSEL--PT	07788F	3.0	280,892	3.0	280,892
ADMINISTRATIVE ASSISTANT	07721F	1.0	86,194	1.0	86,194
LEGAL COUNSEL	07765F	0.6	51,581	0.6	51,581
LEGAL COUNSEL	07726F	0.6	48,320	0.6	48,320
ADMINISTRATIVE ASSISTANT	07721F	1.0	78,493	1.0	78,493
ANALYST I	07765F	0.6	44,853	0.6	44,853
LEGAL COUNSEL	07734F	0.6	41,671	0.6	41,671
LEGISLATIVE ANALYST	07724F	1.0	62,808	1.0	62,808
RESEARCHER II	07978F	1.0	62,433	1.0	62,433
LEGISLATIVE ANALYST	07930F	1.0	60,891	1.0	60,891
LEGAL COUNSEL--PT	07757F	0.6	35,049	0.6	35,049
CLERICAL (LEGISLATIVE COUNCIL)	07824F	1.0	58,224	1.0	58,224
LEGAL COUNSEL	07962F	0.6	34,518	0.6	34,518
LEGAL COUNSEL	07781F	0.6	32,270	0.6	32,270
CLERICAL	07811F	1.0	53,222	1.0	53,222
CONSTITUENT LIAISON	07976F	1.0	52,416	1.0	52,416
LEGISLATIVE AIDE	07709F	1.0	51,444	1.0	51,444
LEGISLATIVE AIDE	07994F	2.0	102,758	2.0	102,758
LEGAL COUNSEL--PT	07903F	0.6	30,284	0.6	30,284
LEGAL COUNSEL	07706F	0.6	30,195	0.6	30,195
LEGAL COUNSEL PT	07976F	0.6	29,952	0.6	29,952
SECRETARY I	07922F	1.0	49,362	1.0	49,362
SECRETARY/CLERK	07802F	1.0	49,122	1.0	49,122
SECRETARY I	07974F	1.0	48,737	1.0	48,737
LEGISLATIVE ASSISTANT	07773F	3.0	138,094	3.0	138,094
CLERICAL	07816F	1.0	44,991	1.0	44,991
LEGAL COUNSEL	07719F	0.6	26,677	0.6	26,677
LEGISLATIVE ASSISTANT	07764F	1.2	52,698	1.2	52,698
LEGISLATIVE AIDE	07895F	1.0	43,569	1.0	43,569
LEGAL COUNSEL -PT	07719F	2.4	104,556	2.4	104,556
LEGAL COUNSEL	07719F	0.6	25,661	0.6	25,661
LEGAL COUNSEL	07719F	0.6	25,657	0.6	25,657
LEGAL COUNSEL	07961F	0.6	24,332	0.6	24,332
SECRETARY	07928F	1.0	40,517	1.0	40,517
LEGIS AIDE	07877H	1.0	40,420	1.0	40,420
PROOFREADER	07938F	1.0	40,327	1.0	40,327
LEGAL COUNSEL	07819F	0.6	24,026	0.6	24,026

Personnel

General Assembly - Constitution Legislative Council

	Grade	FY 2017		FY 2018	
		FTE	Cost	FTE	Cost
LEGISLATIVE RESEARCHER	07907F	1.0	38,932	1.0	38,932
LEGAL COUNSEL	07777F	0.6	23,290	0.6	23,290
LEGAL COUNSEL	07983F	0.6	21,848	0.6	21,848
SENIOR PROOFREADER	07923F	1.0	32,218	1.0	32,218
POLICY ANALYST	07729F	2.0	62,234	2.0	62,234
PROOFER	07717F	1.0	30,588	1.0	30,588
Subtotal		50.2	\$3,015,935	50.2	\$3,015,935
Turnover		-	(378,176)	-	-
Subtotal		-	(\$378,176)	-	-
Total Salaries		50.2	\$2,637,759	50.2	\$3,015,935
Benefits					
Payroll Accrual			15,254		17,403
FICA			201,569		230,869
Retiree Health			157,476		180,350
Health Benefits			880,553		907,345
Retirement			691,052		780,408
Subtotal			\$1,945,904		\$2,116,375
Total Salaries and Benefits		50.2	\$4,583,663	50.2	\$5,132,310
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$91,308		\$102,237
Statewide Benefit Assessment			\$118,531		\$126,670
Payroll Costs		50.2	\$4,702,194	50.2	\$5,258,980
Purchased Services					
Clerical and Temporary Services			500		500
Legal Services			55,000		5,000
Subtotal			\$55,500		\$5,500
Total Personnel		50.2	\$4,757,694	50.2	\$5,264,480
Distribution By Source Of Funds					
General Revenue		50.2	\$4,757,694	50.2	\$5,264,480
Total All Funds		50.2	\$4,757,694	50.2	\$5,264,480

The Program

General Assembly - Constitution
Joint Comm. on Legislative Services

Program Mission

The Joint Committee on Legislative Services (JCLS) is responsible for all administrative matters affecting the operations of the General Assembly. The Office also coordinates the Legislative Data Services and Telecommunications - Cable TV activities.

Program Description

Under the direction of the Joint Committee on Legislative Services, the JCLS Administrative Office is responsible for the overall day-to-day operations of the General Assembly. Matters pertaining to personnel, payroll and benefits, operations, purchasing and accounts payable are handled through this office. The JCLS Office prepares and submits the annual budget and oversees the finances of the Legislature. The operations staff is responsible for the purchasing function, the upkeep and maintenance of the legislative offices in the State House, the disbursement of supplies to the various offices of the JCLS, and repairs to equipment and furnishings of the Legislature. All payables of the Legislature are processed by utilizing the State's RIFANS financial system.

Statutory History

The Joint Committee on Legislative Affairs was established as a separate agency in 1960. Statutory provisions for the Joint Committee on Legislative Affairs are contained in R.I.G.L. 22-11.

The Budget

General Assembly - Constitution Joint Comm. on Legislative Services

	2015 Audited	2016 Audited	2017 Enacted	2017 Revised	2018 Recommend
Expenditures By Subprogram					
Operations	21,485,556	22,296,146	24,114,329	27,022,477	25,130,388
Total Expenditures	\$21,485,556	\$22,296,146	\$24,114,329	\$27,022,477	\$25,130,388
Expenditures By Object					
Personnel	18,621,715	19,046,193	20,545,819	20,677,950	21,234,193
Operating Supplies and Expenses	548,488	865,613	786,510	2,912,292	1,014,195
Assistance and Grants	2,165,230	2,238,865	2,300,000	2,300,000	2,300,000
Subtotal: Operating Expenditures	21,335,433	22,150,671	23,632,329	25,890,242	24,548,388
Capital Purchases and Equipment	150,123	145,475	482,000	1,132,235	582,000
Total Expenditures	\$21,485,556	\$22,296,146	\$24,114,329	\$27,022,477	\$25,130,388
Expenditures By Funds					
General Revenue	21,485,556	22,296,146	24,114,329	27,022,477	25,130,388
Total Expenditures	\$21,485,556	\$22,296,146	\$24,114,329	\$27,022,477	\$25,130,388

Personnel

General Assembly - Constitution Joint Comm. on Legislative Services

	Grade	FY 2017		FY 2018	
		FTE	Cost	FTE	Cost
Unclassified					
CHIEF OF STAFF	07834F	1.0	178,152	1.0	178,152
EXECUTIVE DIRECTOR TO JCLS	07703F	1.0	155,931	1.0	155,931
LEGAL COUNSEL TO THE SEN PRES.	07855F	1.0	154,480	1.0	154,480
DEP CHF OF STAFF/DIR OF POLICY	07823F	1.0	148,621	1.0	148,621
CHIEF OF STAFF	07834F	1.0	148,460	1.0	148,460
SENATE FISCAL ADVISOR	07960F	1.0	144,855	1.0	144,855
DIRECTOR OF HOUSE POLICY	07878F	1.0	141,259	1.0	141,259
CHIEF LEGAL COUNSEL	07855F	1.0	135,170	1.0	135,170
DIRECTOR OF LAW REVISION	07855F	1.0	135,170	1.0	135,170
LEGAL COUNSEL	07711F	1.0	133,474	1.0	133,474
HOUSE DIR OF COMMUNICATIONS	07711F	1.0	127,407	1.0	127,407
SENIOR POLICY ANALYST	07979F	1.0	125,143	1.0	125,143
DEP CHIEF OF STAFF/LEGISLATION	07943F	1.0	122,288	1.0	122,288
DIRECTOR OF IT	07898F	1.0	121,913	1.0	121,913
LEGAL COUNSEL - PT	07731F	0.6	70,955	0.6	70,955
LEGAL COUNSEL/HOUSE MAJ LEADER	07866F	1.0	116,946	1.0	116,946
SENIOR DEPUTY CHIEF OF STAFF	07943F	1.0	111,171	1.0	111,171
DIR OF LEGAL SVS FOR HSE COMM	07736F	0.6	64,475	0.6	64,475
DEPUTY FISCAL ADVISOR	07943F	1.0	107,224	1.0	107,224
LEGISLATIVE PERSONNEL ADMIN	07822F	1.0	106,106	1.0	106,106
DEPUTY LEGAL COUNSEL	07963F	1.0	101,053	1.0	101,053
LEGAL COUNSEL	07963F	1.0	101,053	1.0	101,053
SENATE PARLIAMENTARIAN	07993F	0.6	59,686	0.6	59,686
EXECUTIVE DIRECTOR	07940F	1.0	99,415	1.0	99,415
SENIOR LEGAL COUNSEL	07906F	1.0	98,426	1.0	98,426
LEGAL COUNSEL	07822F	1.0	95,996	1.0	95,996
SENIOR FINANCIAL OFFICER	07894F	1.0	95,649	1.0	95,648
EXECUTIVE ASSISTANT	07721F	3.0	284,148	3.0	284,148
DIRECTOR OF CONSTITNENT SERVIC	00915F	1.0	93,248	1.0	93,248
SPEC ASST TO MAJORITY LEADER	07714F	1.0	92,690	1.0	92,690
ASST DIRECTOR OF LAW REVISION	07959F	0.7	63,941	0.7	63,941
HOUSE PARLIAMENTARIAN-LEG COUN	07702F	0.6	54,770	0.6	54,770
DIRECTOR OF COMMUNICATIONS	07721F	1.0	91,115	1.0	91,115
DIRECTOR OF CAPITOL TV	07797F	1.0	89,723	1.0	89,723
HOUSE READING CLERK	07820F	0.9	79,682	0.8	79,682
ADMINISTRATIVE ASSISTANT	07721F	1.0	88,141	1.0	88,141
SR LEGISLATIVE FISCAL ANLAYST	07784F	1.0	87,017	1.0	87,017
IT OPERATIONS MANAGER	07745F	1.0	86,812	1.0	86,812
LEGAL COUNSEL -PT	07736F	2.6	223,529	2.6	223,529
SR DATA/ SYSTEM SPECIALIST	07997F	1.0	84,398	1.0	84,398
ADMINISTRATIVE ASSISTANT	07716F	1.0	83,375	1.0	83,375
DIR OF THE LEGIS PRESS BUREAU	07768F	2.0	166,236	2.0	166,236
SUPERVISING SVS ADMINISTRATOR	07896F	1.0	80,755	1.0	80,755
LEGAL COUNSEL	07916F	0.6	48,436	0.6	48,436
DIR OF CONSTITUENT SERVICES	07915F	1.0	80,641	1.0	80,641

Personnel

General Assembly - Constitution Joint Comm. on Legislative Services

	Grade	FY 2017		FY 2018	
		FTE	Cost	FTE	Cost
DEPUTY POLICY DIRECTOR	007771	1.0	79,948	1.0	79,948
TELEVISION MAINTENANCE ENGINEER	07771F	1.0	79,948	1.0	79,948
HOUSE RECORDING CLERK	07857H	0.6	47,505	0.6	47,505
ADMINISTRATIVE ASSISTANT	07721F	1.0	78,765	1.0	78,765
LEGAL COUNSEL	07916F	0.6	46,436	0.6	46,436
CHIEF OF STAFF	07725F	1.0	75,065	1.0	75,065
LEGISLATIVE GRANTS COORDINATOR	07865F	1.0	74,724	1.0	74,724
SR. PRODUCER/DIRECTOR	07729F	1.0	74,439	1.0	74,439
CHIEF OF STAFF	07871F	1.0	74,376	1.0	74,376
LEGIS. COORDINATOR	07728F	1.0	73,557	1.0	73,557
IT TECHNICAL SPECIALIST II	07710F	1.0	72,828	1.0	72,828
ASSISTANT TO ADMINISTRATOR	07747F	1.0	71,953	1.0	71,953
FISCAL ANALYST II	07738F	1.0	71,653	1.0	71,653
POLICY ANALYST II	07893F	1.0	70,620	1.0	70,620
PROGRAM MANAGER	08328A	1.0	70,577	1.0	70,577
LEGISLATIVE AIDE	07710F	1.0	69,517	1.0	69,517
PRINCIPAL POLICY ANALYST	07734F	1.0	69,452	1.0	69,452
FISCAL ANALYST II	07725F	1.0	68,241	1.0	68,241
FISCAL ANALYST II	07725F	1.0	68,241	1.0	68,241
DIRECTOR OF SENATE SERVICES	07826F	1.0	68,225	1.0	68,225
IT TECHNICAL SPECIALIST II	07871F	1.0	68,178	1.0	68,178
SECRETARY	07925F	1.0	67,648	1.0	67,648
SENIOR POLICY ANALYST	07996F	1.0	65,941	1.0	65,941
SENIOR PROGRAMMER	07771F	1.0	65,826	1.0	65,826
ADMIN OF HOUSE OVERSIGHT	07936F	1.0	65,564	1.0	65,564
OPERATIONS SUPERVISOR	07864F	1.0	65,546	1.0	65,545
LEGISLATIVE FINANCIAL OFFICER	07992F	1.0	64,593	1.0	64,593
POLICY ANALYST II	07715F	1.0	64,478	1.0	64,478
DIRECTOR OF COMMUNICATIONS	07863F	1.0	64,150	1.0	64,150
SECRETARY	07856F	1.0	63,777	1.0	63,777
SR ANALYST/LEG COORDINATOR	07996F	1.0	62,801	1.0	62,801
LEGAL COUNSEL	07735F	0.6	37,382	0.6	37,382
SECRETARY	07955F	1.0	61,922	1.0	61,922
SUPERVISOR CLERICAL SERVICES	07727F	1.0	61,811	1.0	61,811
SUPERVISOR VET'S AFFAIRS OFFI	07908F	1.0	61,133	1.0	61,133
POLICY ANALYST I	07868H	0.6	36,407	0.6	36,407
POLICY ANALYST	07757F	0.5	30,270	0.5	30,270
EXECUTIVE ASSISTANT	07776F	2.0	120,108	2.0	120,108
LEGISLATIVE ASSISTANT	07994F	1.0	58,858	1.0	58,858
SENIOR POLICY ANALYST	07708F	1.0	58,263	1.0	58,263
POLICY ANALYST	07818F	1.0	58,088	1.0	58,088
LEGISLATIVE COORDINATOR	07776F	1.0	58,044	1.0	58,044
SECRETARY	07955F	1.0	57,970	1.0	57,970
CONSTITUENT LIAISON	07812F	1.0	57,950	1.0	57,950
LEGISLATIVE ASST --PT	07755F	0.6	34,760	0.6	34,760
LEGAL COUNSEL	07886F	0.6	34,756	0.6	34,756

Personnel

General Assembly - Constitution Joint Comm. on Legislative Services

	Grade	FY 2017		FY 2018	
		FTE	Cost	FTE	Cost
CONSTITUENT SVS CASEWORKER	00946F	1.0	57,858	1.0	57,858
LEGAL COORDINATOR	07709F	1.0	57,569	1.0	57,569
SECRETARY	07989F	1.0	57,066	1.0	57,066
COMPUTER OPERATOR	07824F	1.0	57,011	1.0	57,011
DEPUTY COMMUNICATIONS DIRECTOR	08534A	1.0	56,886	1.0	56,886
SR. PRESS OPERATOR	07891F	1.0	56,755	1.0	56,755
CLERICAL	07881F	1.0	56,688	1.0	56,688
ADMINISTRATIVE ASSISTANT	07708F	2.0	112,392	2.0	112,392
OPERATIONS PROJECT COORD.	07779F	1.0	56,145	1.0	56,145
LEGISLATIVE COORDINATOR	07937F	1.0	55,614	1.0	55,614
SECRETARY	07955F	1.0	55,335	1.0	55,335
SECRETARY I	07802F	1.0	55,237	1.0	55,238
ASSISTANT COMPUTER OPERATOR	07951F	1.0	54,920	1.0	54,920
LEGISLATIVE AIDE	07790F	1.0	54,658	1.0	54,658
LEGISLATIVE ASSISTANT	07790F	1.0	54,658	1.0	54,658
ASSISTANT ENGINEER	07859F	1.0	54,642	1.0	54,642
ADMINISTRATIVE ASSIST.	07973F	1.0	54,003	1.0	54,003
ADMINISTRATIVE ASSISTANT	07742F	1.0	53,537	1.0	53,537
ADMINISTRATIVE ASSISTANT	07955F	1.0	52,700	1.0	52,700
DATA ANALYST	07824F	3.0	157,690	3.0	157,690
TV TECHNICIAN II	07944F	1.0	52,279	1.0	52,279
PUBLICIST	07859F	3.0	156,535	3.0	156,535
POLICY ANALYST/COMM ASSISTANT	07887F	1.0	52,143	1.0	52,143
SECRETARY	07887F	1.0	52,143	1.0	52,143
TV DIRECTOR	07802F	1.0	51,712	1.0	51,712
T. V. TECHNICIAN	07973F	1.0	51,549	1.0	51,549
ANALYST	07857F	1.0	51,363	1.0	51,363
CLERICAL	07920F	1.0	51,272	1.0	51,272
LEGISLATIVE AIDE	07994F	1.0	51,181	1.0	51,181
SECRETARY I	07773F	1.0	50,881	1.0	50,881
SECRETARY OF THE SENATE	07743F	1.0	50,527	1.0	101,053
ADMINISTRATIVE ASSISTANT	07779F	1.0	50,462	1.0	50,462
CONSTITUENT LIAISON	07976F	2.0	100,507	2.0	100,507
SECRETARY/CLERK	07802F	1.0	49,362	1.0	49,362
T.V. TECHNICIAN	07819F	2.0	98,403	2.0	98,403
PRESS OPERATOR	07782F	3.0	146,186	3.0	146,186
LEGAL COUNSEL	07843F	0.6	29,136	0.6	29,136
POLICY ANALYST	07723F	1.0	48,520	1.0	48,520
LEGISLATIVE ASSISTANT	07848H	0.6	28,927	0.6	28,926
ASSISTANT PROGRAM DIRECTOR	07779F	1.0	48,059	1.0	48,059
CLERK CORPORATIONS COMMITTEE	07892F	1.0	47,760	1.0	47,760
LEGISLATIVE AIDE	07892F	1.0	47,760	1.0	47,760
TV TECHNICIAN II	07730F	2.0	94,009	2.0	94,009
SECRETARY	07928F	1.0	46,595	1.0	46,595
LEGISLATIVE AIDE	07857F	0.6	27,953	0.6	27,953
CLERK- LABOR COMMITTEE	07974F	0.6	27,912	0.6	27,912

Personnel

General Assembly - Constitution Joint Comm. on Legislative Services

	Grade	FY 2017		FY 2018	
		FTE	Cost	FTE	Cost
LEGISLATIVE ASSISTANT	07764F	0.6	27,882	0.6	27,882
ASSISTANT CLERK - FINANCE	07773F	1.0	46,255	1.0	46,255
CONSTITUENT SERVICES LIAISON	07887F	1.0	46,255	1.0	46,255
LEGISLATIVE AIDE	07773F	1.0	46,255	1.0	46,255
LEGISLATIVE ASSISTANT	07773F	1.0	46,255	1.0	46,255
TV TECHNICIAN	07773F	1.0	46,255	1.0	46,255
SECRETARY	07819F	1.0	46,249	1.0	46,249
LEGISLATIVE PROJECT COORDINATO	07766F	1.0	45,464	1.0	45,464
LEGISLATIVE PROJECT COORDINATO	07853F	1.0	45,210	1.0	45,210
NETWORK & SYSTEMS TECHNICIAN I	07773F	1.4	62,916	1.4	62,916
RESEARCH ASSISTANT	07719F	1.0	44,900	1.0	44,900
SECRETARY	07819F	1.0	44,146	1.0	44,146
CLERICAL--PT	07869F	0.6	26,274	0.6	26,274
SECRETARY	07895F	1.0	43,569	1.0	43,569
SENATE SERVICES ASSISTANT	07808H	0.5	21,586	0.6	21,586
CLERICAL	07817F	1.0	42,883	1.0	42,883
LEGAL COUNSEL	07719F	0.6	25,661	0.6	25,661
LEGAL COUNSEL	07719F	0.6	25,661	0.6	25,661
LEGISLATIVE ASSISTANT	07713F	1.0	42,767	1.0	42,767
SECRETARY	07713F	1.0	42,767	1.0	42,767
LEGAL COUNSEL	07719F	0.6	25,657	0.6	25,657
LEGISLATIVE AIDE	07777F	1.0	42,698	1.0	42,697
LEGAL COUNSEL	07907F	0.6	25,584	0.6	25,584
LEGISLATIVE ASSISTANT	07773F	3.5	149,117	3.5	149,117
LEGAL COUNSEL	07941F	0.6	25,410	0.6	25,410
LEGISLATIVE AIDE	07958F	1.0	41,784	1.0	41,784
LEGAL COUNSEL	07760F	0.6	25,026	0.6	25,026
LEGAL COUNSEL	07895F	1.0	41,494	1.0	41,494
SENATE SERVICES ASSISTANT	07971F	1.0	40,517	1.0	40,517
SECRETARY	07984F	1.0	40,320	1.0	40,320
LEGISLATIVE AIDE	07782F	3.0	117,691	3.0	117,691
SECRETARY	07739F	3.0	112,551	3.0	112,551
COPY ROOM COORDINATOR	07846F	1.0	36,413	1.0	36,413
CLERK	07827F	0.6	21,691	0.6	21,691
ADMINISTRATIVE AIDE	07917F	1.0	35,980	1.0	35,980
LEGISLATIVE AIDE	07872F	2.0	71,342	2.0	71,342
LEGISLATIVE AIDE	07926F	0.6	21,276	0.6	21,276
LEGISLATIVE AIDE-PT	07874F	1.0	35,107	1.0	35,107
ADMINISTRATIVE AIDE	07809F	1.0	33,935	1.0	33,935
POLICY ANALYST	07729F	1.0	31,676	1.0	63,352
LEGISLATIVE AIDE	07923F	1.0	30,684	1.0	30,684
Subtotal		190.1	\$12,691,092	190.1	\$12,773,291

Personnel

General Assembly - Constitution Joint Comm. on Legislative Services

	Grade	FY 2017		FY 2018	
		FTE	Cost	FTE	Cost
Turnover		-	(387,854)	-	(24,528)
Subtotal		-	(\$387,854)	-	(\$24,528)
Total Salaries		190.1	\$12,303,238	190.1	\$12,748,763
Benefits					
Payroll Accrual			70,958		73,500
FICA			922,528		961,067
Retiree Health			733,052		762,376
Health Benefits			2,548,712		2,633,912
Retirement			3,220,699		3,297,127
Subtotal			\$7,495,949		\$7,727,982
Total Salaries and Benefits		190.1	\$19,799,187	190.1	\$20,476,745
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$104,151		\$107,716
Statewide Benefit Assessment			\$551,763		\$535,448
Payroll Costs		190.1	\$20,350,950	190.1	\$21,012,193
Purchased Services					
Information Technology			315,000		210,000
Management & Consultant Services			8,500		8,500
Buildings and Ground Maintenance			3,500		3,500
Subtotal			\$327,000		\$222,000
Total Personnel		190.1	\$20,677,950	190.1	\$21,234,193
Distribution By Source Of Funds					
General Revenue		190.1	\$20,677,950	190.1	\$21,234,193
Total All Funds		190.1	\$20,677,950	190.1	\$21,234,193

The Program

General Assembly - Constitution Auditor General

Program Mission

Provides independent audits, accounting, and evaluation of state government programs to the General Assembly for its legislative oversight and capability.

Program Description

The Office of the Auditor General assists the General Assembly in reviewing compliance, efficiency, economy, and effectiveness of state programs. The Office of the Auditor General conducts financial and program audits which encompass the investigation of all matters relating to a review of program costs and the evaluation of program performance; completes the annual post-audit of the receipts and expenditures of the State; and, in accordance with the Single Audit Act of 1984, circular number A-128, issued by the United States Office of Management and Budget, and Chapter 41 of the Rhode Island General Laws, conducts annual audits of federally-funded programs administered by the State.

Statutory History

The Office of the Auditor General was established as a separate agency in 1974. Statutory provisions for the Office of the Auditor General are contained in R.I.G.L. 22-13-1.

The Budget

General Assembly - Constitution Auditor General

	2015 Audited	2016 Audited	2017 Enacted	2017 Revised	2018 Recommend
Expenditures By Subprogram					
Operations	4,439,628	4,833,990	5,645,215	5,366,566	5,748,004
Total Expenditures	\$4,439,628	\$4,833,990	\$5,645,215	\$5,366,566	\$5,748,004
Expenditures By Object					
Personnel	3,977,787	4,282,432	5,208,565	4,771,016	5,208,704
Operating Supplies and Expenses	454,585	514,257	416,650	560,550	476,800
Subtotal: Operating Expenditures	4,432,372	4,796,689	5,625,215	5,331,566	5,685,504
Capital Purchases and Equipment	7,256	37,301	20,000	35,000	62,500
Total Expenditures	\$4,439,628	\$4,833,990	\$5,645,215	\$5,366,566	\$5,748,004
Expenditures By Funds					
General Revenue	3,114,628	3,383,993	3,948,643	3,755,042	4,018,047
Restricted Receipts	1,325,000	1,449,997	1,696,572	1,611,524	1,729,957
Total Expenditures	\$4,439,628	\$4,833,990	\$5,645,215	\$5,366,566	\$5,748,004

Personnel

General Assembly - Constitution

Auditor General

	Grade	FY 2017		FY 2018	
		FTE	Cost	FTE	Cost
Unclassified					
AUDITOR GENERAL	07703F	1.0	159,248	1.0	159,248
SENIOR AUDIT MANAGER	07752F	1.0	118,877	1.0	118,877
SENIOR AUDIT MANAGER	07792F	1.0	115,571	1.0	115,571
SENIOR AUDIT MANAGER	07906F	1.0	112,062	1.0	112,062
AUDIT MANAGER	07985F	1.0	104,721	1.0	104,721
AUDIT MANAGER	07743F	1.0	99,123	1.0	99,123
AUDIT MANAGER	07788F	1.0	96,168	1.0	96,168
LEGAL COUNSEL	07850F	0.6	57,218	0.6	57,218
INFORMATION SYSTEMS AUDIT MGR	07972F	1.0	90,549	1.0	90,549
ASSISTANT AUDIT MANAGER	07726F	1.0	88,404	1.0	88,404
SUPERVISING AUDITOR	07716F	1.0	87,000	1.0	87,000
SUPERVISING IT AUDITOR	07997F	1.0	86,194	1.0	86,194
SUPERVISING AUDITOR	07771F	1.0	83,945	1.0	83,945
SUPERVISING AUDITOR	07940F	2.0	159,488	2.0	159,488
PRINCIPAL IT AUDITOR	07997F	1.0	79,011	1.0	79,011
PRINCIPAL AUDITOR	07982F	1.0	73,689	1.0	73,689
ADMINISTRATIVE OFFICER	07750F	1.0	71,776	1.0	71,776
PRINCIPAL AUDITOR	07929F	1.0	71,449	1.0	71,449
DATA SYSTEMS COORDINATOR	07957F	1.0	67,632	1.0	67,632
PRINCIPAL AUDITOR	07747F	6.0	399,413	6.0	399,413
COMMISION LIAISON OFFICER	07975F	1.0	64,619	1.0	64,619
SENIOR I.T. AUDITOR	07901F	1.0	59,586	1.0	59,586
ASSISTANT DATA SYSTEMS COORD	07976F	1.0	57,409	1.0	57,409
ADMINISTRATIVE ASSISTANT	07773F	1.0	53,193	1.0	53,193
SENIOR AUDITOR	07724F	4.0	204,509	4.0	204,509
ADMINISTRATIVE AIDE	07995F	1.0	48,174	1.0	48,174
SENIOR AUDITOR	07794F	1.0	47,533	1.0	47,533
AUDITOR	07913F	1.0	45,322	1.0	45,322
AUDITOR	07969F	1.0	45,322	1.0	45,322
AUDITOR	07974F	1.0	45,322	1.0	45,322
SENIOR AUDITOR	07824F	1.0	45,322	1.0	45,322
AUDITOR	07918F	2.0	89,789	2.0	89,789
AUDITOR	07766F	1.0	43,299	1.0	43,299
AUDITOR	07918F	0.6	25,791	0.6	25,791
ADMINISTRATIVE AIDE	07917F	2.0	78,795	2.0	78,795
Subtotal		45.2	\$3,175,523	45.2	\$3,175,523

Personnel

General Assembly - Constitution Auditor General

	Grade	FY 2017		FY 2018	
		FTE	Cost	FTE	Cost
Turnover		-	(288,243)	-	-
Subtotal		-	(\$288,243)	-	-
Total Salaries		45.2	\$2,887,280	45.2	\$3,175,523
Benefits					
Payroll Accrual			16,676		18,476
FICA			218,723		243,068
Retiree Health			172,527		191,921
Health Benefits			578,528		602,586
Retirement			753,407		826,334
Subtotal			\$1,739,861		\$1,882,385
Total Salaries and Benefits		45.2	\$4,627,141	45.2	\$5,057,908
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$102,370		\$111,901
Statewide Benefit Assessment			\$131,875		\$139,796
Payroll Costs		45.2	\$4,759,016	45.2	\$5,197,704
Purchased Services					
Management & Consultant Services			10,000		10,000
Other Contracts			2,000		1,000
Subtotal			\$12,000		\$11,000
Total Personnel		45.2	\$4,771,016	45.2	\$5,208,704
Distribution By Source Of Funds					
General Revenue		45.2	\$3,338,157	45.2	\$3,631,537
Restricted Receipts		-	\$1,432,859	-	\$1,577,167
Total All Funds		45.2	\$4,771,016	45.2	\$5,208,704

The Program

General Assembly - Constitution
Special Legislative Commissions

Program Mission

Special Legislative Commissions include boards and commissions established for the promotion of certain goals and objectives, and to address items of local, regional, and national concern.

Program Description

Included in this program are the Commission on Uniform State Laws, the Criminal Justice Commission, and the Martin Luther King Commission.

Statutory History

Special Legislative Commissions are generally established under the authority granted to the General Assembly in the Rhode Island State Constitution. Specific provisions for various commissions are also established within the Rhode Island General Laws.

The Budget

General Assembly - Constitution Special Legislative Commissions

	2015 Audited	2016 Audited	2017 Enacted	2017 Revised	2018 Recommend
Expenditures By Subprogram					
Operations	7,430	8,475	13,900	13,900	13,900
Total Expenditures	\$7,430	\$8,475	\$13,900	\$13,900	\$13,900
Expenditures By Object					
Operating Supplies and Expenses	7,430	8,475	13,900	13,900	13,900
Subtotal: Operating Expenditures	7,430	8,475	13,900	13,900	13,900
Total Expenditures	\$7,430	\$8,475	\$13,900	\$13,900	\$13,900
Expenditures By Funds					
General Revenue	7,430	8,475	13,900	13,900	13,900
Total Expenditures	\$7,430	\$8,475	\$13,900	\$13,900	\$13,900

Agency

Office Of Lieutenant Governor

Agency Mission

To fulfill the constitutional and statutory functions of the law for the Office of Lieutenant Governor.

Agency Description

The Lieutenant Governor was established as one of the five general offices subject to voter election under the Constitution of Rhode Island. The Office of the Lieutenant Governor is an organization within the Executive Department. The Lieutenant Governor fulfills the executive responsibilities of the Governor upon his/her death, resignation, impeachment or inability to serve. The Lieutenant Governor appoints members of the general public to serve on committees and commissions established by the General Assembly. Additionally, the Lieutenant Governor chairs and serves on various commissions and advisory boards. The Office of the Lieutenant Governor initiates legislation and has assumed advocacy and leadership roles in such areas as emergency management, veterans affairs, education, economic development, the environment, long-term care, health care and elderly affairs. The Office also serves as a liaison between citizens and state agencies.

Statutory History

Article IX, Section 1 and 9 through 11 of the Constitution of Rhode Island specify the Lieutenant Governor's elected power, duties in the case of death, resignation, impeachment of the Governor, responsibilities in the case of a vacancy of position, and compensation. Article IV, Section 1 and 3 of the Constitution of Rhode Island address the quadrennial election process by the voters of Rhode Island and the transition responsibility for the Lieutenant Governor. The Lieutenant Governor is statutorily appointed to chair the Long Term Care Coordinating Council (R.I.G.L. 23-17, 3-2); The Emergency Management Advisory Committee (R.I.G.L. 30-15-6(10)); and the Small Business Advocacy Council (R.I.G.L. 42-91-2).

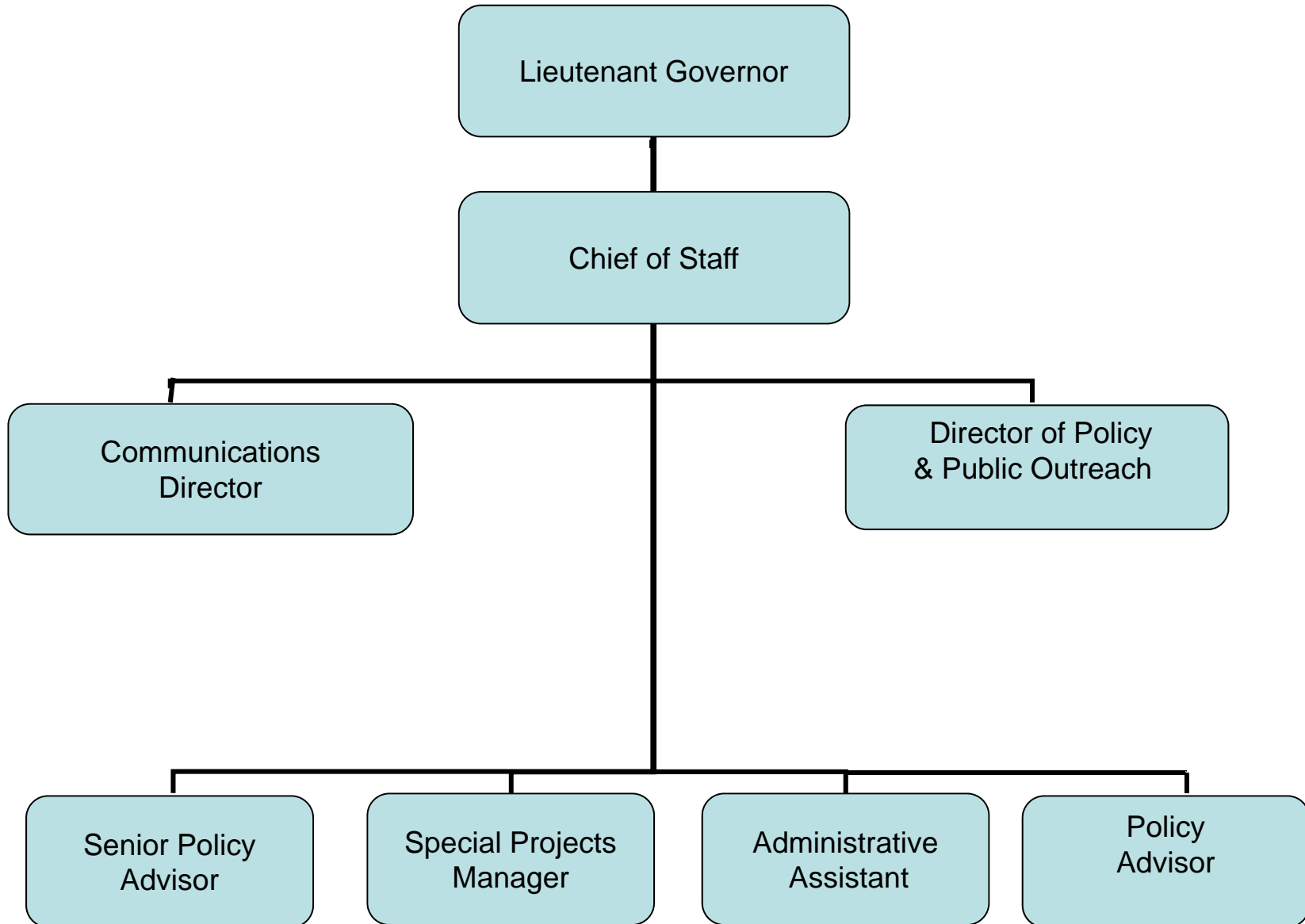
Budget

Office Of Lieutenant Governor

	FY 2015 Audited	FY 2016 Audited	FY 2017 Enacted	FY 2017 Revised	FY 2018 Recommend
Expenditures By Program					
Lt. Governor's Office - General	971,873	1,026,362	1,079,576	1,053,288	1,084,217
Total Expenditures	\$971,873	\$1,026,362	\$1,079,576	\$1,053,288	\$1,084,217
Expenditures By Object					
Personnel	905,956	994,311	1,045,917	1,010,952	1,041,881
Operating Supplies and Expenses	48,041	32,051	32,909	41,586	41,586
Assistance and Grants	12,000	-	-	-	-
Subtotal: Operating Expenditures	965,997	1,026,362	1,078,826	1,052,538	1,083,467
Capital Purchases and Equipment	5,876	-	750	750	750
Total Expenditures	\$971,873	\$1,026,362	\$1,079,576	\$1,053,288	\$1,084,217
Expenditures By Funds					
General Revenue	959,864	1,026,362	1,079,576	1,053,288	1,084,217
Federal Funds	9	-	-	-	-
Restricted Receipts	12,000	-	-	-	-
Total Expenditures	\$971,873	\$1,026,362	\$1,079,576	\$1,053,288	\$1,084,217
FTE Authorization	8.0	8.0	8.0	8.0	8.0

The Agency 2018

Office of Lieutenant Governor



Personnel

Office Of Lieutenant Governor Lt. Governor's Office - General

	Grade	FY 2017		FY 2018	
		FTE	Cost	FTE	Cost
Unclassified					
CHIEF OF STAFF (LT GOV)	08444A	1.0	133,299	1.0	133,299
LIEUTENANT GOVERNOR	00531F	1.0	117,637	1.0	117,637
DIRECTOR OF POLICY (LT GOV)	08438A	1.0	98,340	1.0	75,568
COMMUNICATIONS DIRECTOR (LT GOV)	08433A	1.0	81,403	1.0	52,727
ADMINISTRATIVE ASSISTANT (LT GOV)	08425A	1.0	59,709	1.0	43,504
SENIOR POLICY ADVISOR (LT GOV)	08425A	1.0	59,709	1.0	59,709
POLICY & ADMINISTRATIVE AIDE (LT GOV)	08414A	1.0	54,757	1.0	107,875
SPECIAL PROJECT MANAGER (LT GOV)	08422A	1.0	53,003	1.0	53,003
Subtotal		8.0	\$657,857	8.0	\$643,322
Turnover		-	(86,933)	-	-
Subtotal		-	(\$86,933)	-	-
Total Salaries		8.0	\$570,924	8.0	\$643,322
Benefits					
Payroll Accrual			3,304		3,714
FICA			42,944		48,669
Retiree Health			34,085		38,472
Health Benefits			107,056		113,090
Retirement			150,983		167,595
Subtotal			\$338,372		\$371,540
Total Salaries and Benefits		8.0	\$909,296	8.0	\$1,014,862
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$113,662		\$126,858
Statewide Benefit Assessment			\$25,656		\$27,019
Payroll Costs		8.0	\$934,952	8.0	\$1,041,881
Purchased Services					
Legal Services			76,000		-
Subtotal			\$76,000		-
Total Personnel		8.0	\$1,010,952	8.0	\$1,041,881
Distribution By Source Of Funds					
General Revenue		8.0	\$1,010,952	8.0	\$1,041,881
Total All Funds		8.0	\$1,010,952	8.0	\$1,041,881

Agency

Department Of State

Agency Mission

The objective of the Office of the Secretary of State is to effectively administer all activities of the Office prescribed by the Rhode Island Constitution and state law. To engage and empower all Rhode Islanders by making government more accessible and transparent, encouraging civic pride, enhancing commerce, and ensuring that elections are fair, fast, and accurate.

Agency Description

The Office of the Secretary of State was established under the Rhode Island Constitution as one of the five general offices subject to voter election. As the custodian of state records, the Office has a vital role in providing the public with basic information about the workings of state government. The Office consists of six divisions and one internal service fund.

Statutory History

Article IV, paragraph 4 of the Rhode Island State Constitution, and R.I.G.L. 42-8 establish the Secretary of State and address areas concerning elections, legislative records, archives, and distribution and exchange of documents; Title 17 Chapters 14, 15 and 22 also refer to elections; Title 19 Chapter 1 refers to corporations; Title 29 establishes the state library and the Legislative Reference Bureau; Title 29 Chapter 1 also refers to the distribution of documents.

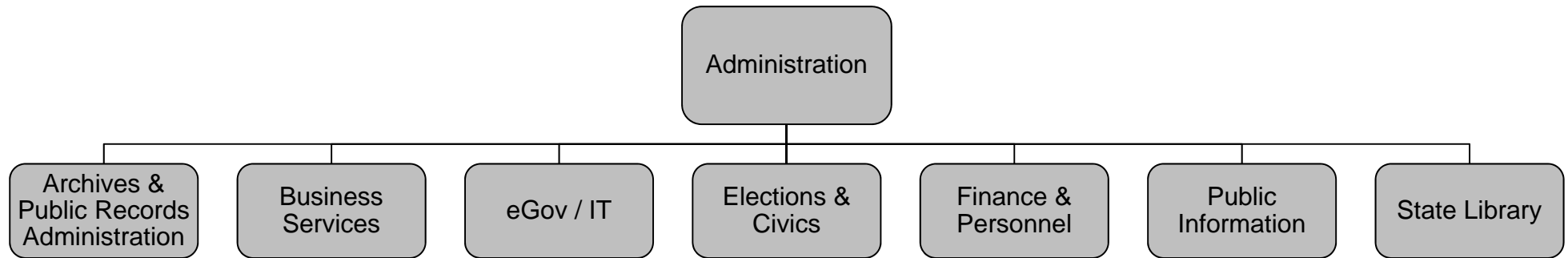
Budget

Department Of State

	FY 2015 Audited	FY 2016 Audited	FY 2017 Enacted	FY 2017 Revised	FY 2018 Recommend
Expenditures By Program					
Administration	2,282,219	2,545,884	3,539,219	3,302,338	3,382,625
Corporations	2,070,630	2,145,535	2,192,627	2,118,776	2,224,127
State Archives	625,384	539,730	750,240	652,423	501,628
Elections and Civics	1,665,785	1,282,184	3,377,103	3,280,615	1,906,470
State Library	535,336	554,048	554,149	630,151	723,385
Office of Public Information	512,149	450,744	524,232	549,703	612,562
<i>Internal Services</i>	<i>[817,194]</i>	<i>[914,723]</i>	<i>[907,177]</i>	<i>[808,527]</i>	<i>[807,345]</i>
Total Expenditures	\$7,691,503	\$7,518,125	\$10,937,570	\$10,534,006	\$9,350,797
Expenditures By Object					
Personnel	5,365,883	5,606,391	6,775,826	6,478,747	6,686,208
Operating Supplies and Expenses	2,106,765	1,313,747	3,676,173	3,513,058	2,481,388
Assistance and Grants	134,635	149,635	143,976	143,976	143,976
Subtotal: Operating Expenditures	7,607,283	7,069,773	10,595,975	10,135,781	9,311,572
Capital Purchases and Equipment	84,220	448,352	341,595	398,225	39,225
Total Expenditures	\$7,691,503	\$7,518,125	\$10,937,570	\$10,534,006	\$9,350,797
Expenditures By Funds					
General Revenue	7,164,977	6,690,089	10,281,051	9,920,874	8,911,319
Federal Funds	11,264	-	-	22,859	-
Restricted Receipts	478,183	397,868	556,519	440,273	439,478
Operating Transfers from Other Funds	37,079	430,168	100,000	150,000	-
Total Expenditures	\$7,691,503	\$7,518,125	\$10,937,570	\$10,534,006	\$9,350,797
FTE Authorization	57.0	57.0	59.0	59.0	59.0

The Agency

Office of the Secretary of State



Personnel

Department Of State Agency Summary

	Grade	FY 2017		FY 2018	
		FTE	Cost	FTE	Cost
Unclassified		58.0	3,868,021	58.0	3,921,046
Nonclassified		1.0	93,440	1.0	94,979
Subtotal		59.0	\$3,961,461	59.0	\$4,016,025
Temporary and Seasonal		-	36,000	-	36,000
Turnover		-	(\$133,031)	-	-
Subtotal		-	(\$97,031)	-	\$36,000
Total Salaries		59.0	\$3,864,430	59.0	\$4,052,025
Benefits					
Payroll Accrual			21,995		23,016
Retiree Health			233,555		245,161
Health Benefits			747,390		823,920
FICA			292,406		305,993
Retirement			1,037,851		1,075,336
Subtotal			\$2,333,197		\$2,473,426
Total Salaries and Benefits		59.0	\$6,197,627	59.0	\$6,525,451
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$104,434		\$109,991
Statewide Benefit Assessment			\$173,197		\$171,152
Payroll Costs		59.0	\$6,370,824	59.0	\$6,696,603
Purchased Services					
Buildings and Ground Maintenance			1,925		1,925
Legal Services			96,000		70,000
Other Contracts			1,700		51,300
Information Technology			433,823		290,713
University and College Services			25,000		25,000
Subtotal			\$558,448		\$438,938
Total Personnel		59.0	\$6,929,272	59.0	\$7,135,541
Distribution By Source Of Funds					
General Revenue		52.0	\$6,178,557	52.0	\$6,386,813
Restricted Receipts		2.8	\$300,190	2.8	\$299,395
Other Funds		4.2	\$450,525	4.2	\$449,333
Total All Funds		59.0	\$6,929,272	59.0	\$7,135,541

The Program

Department Of State Administration

Program Mission

To provide administrative support for the efficient and secure operation of the Office of the Secretary of State.

Program Description

The Administration Program provides support functions for the Office of the Secretary of State. The program has six functions and the responsibilities of each are described below.

Personnel maintains personnel records and provides administrative support for payroll and personnel matters.

Fiscal Management monitors accounts payable and accounts receivable for the department and prepares the budget for the Office of the Secretary of State.

E-government and Information Technology develops and implements model e-government solutions to collect and distribute information to the public and provides support for the department's computer systems for the Office.

Constituent Affairs collects and distributes information to the public and coordinates responses to inquiries from the public.

Communications details the programs and services offered to Rhode Islanders and serves as the liaison with the media.

Policy and Legislative Affairs researches, plans, and develops innovative policy initiatives that serve as models for the country and develops legislation for passage that moves those policy initiatives forward.

Statutory History

The Office of the Secretary of State was established by the Rhode Island Constitution as one of the five general offices subject to voter election. R.I.G.L. 42-8 established the Department of State under the Secretary of State. The Secretary of State is also responsible for the duties contained in R.I.G.L. 22-3-14 and 36-1-4.

The Budget

Department Of State Administration

	2015 Audited	2016 Audited	2017 Enacted	2017 Revised	2018 Recommend
Expenditures By Subprogram					
Administration	1,309,833	1,293,847	1,472,431	1,415,962	1,439,371
Personnel and Finance	367,898	429,836	423,315	461,225	470,228
Information Technology	604,488	822,201	1,643,473	1,425,151	1,473,026
Total Expenditures	\$2,282,219	\$2,545,884	\$3,539,219	\$3,302,338	\$3,382,625
Expenditures By Object					
Personnel	2,043,066	2,298,998	2,902,084	2,843,604	2,838,448
Operating Supplies and Expenses	187,126	229,427	614,697	425,615	524,058
Assistance and Grants	-	-	976	976	976
Subtotal: Operating Expenditures	2,230,192	2,528,425	3,517,757	3,270,195	3,363,482
Capital Purchases and Equipment	52,027	17,459	21,462	32,143	19,143
Total Expenditures	\$2,282,219	\$2,545,884	\$3,539,219	\$3,302,338	\$3,382,625
Expenditures By Funds					
General Revenue	2,282,219	2,545,884	3,539,219	3,302,338	3,382,625
Total Expenditures	\$2,282,219	\$2,545,884	\$3,539,219	\$3,302,338	\$3,382,625

Personnel

Department Of State Administration

	Grade	FY 2017		FY 2018	
		FTE	Cost	FTE	Cost
Unclassified					
DEPUTY SOS/DIRECTOR OF ADMINISTRATION	08646A	1.0	138,108	1.0	143,313
DEPUTY SOS/CHIEF OF STAFF	08646A	1.0	136,489	1.0	136,489
COMMUNICATIONS DIRECTOR/SENIOR ADVISOR	08646A	1.0	131,715	1.0	136,489
SECRETARY OF STATE	00531F	1.0	117,637	1.0	117,637
CHIEF INNOVATION OFFICER	08640A	1.0	117,412	1.0	117,412
DIRECTOR OF FINANCE AND PERSONNEL	08638A	1.0	93,575	1.0	98,340
LEGISLATIVE AFFAIRS	08636A	1.0	90,456	1.0	90,456
SENIOR NETWORK ENGINEER	08637A	1.0	87,193	1.0	91,187
SENIOR APPLICATION DEVELOPER	08633A	1.0	81,403	1.0	81,403
ADMINISTRATIVE ASSISTANT	05325A	2.0	132,012	2.0	132,012
SENIOR GRAPHICS DESIGNER (SOS)	05326A	1.0	64,159	1.0	64,159
COMMUNITY RELATIONS & ADVANACE	08627A	1.0	61,371	1.0	64,668
EDUCATION AND PUBLIC PROGRAMS	08625A	1.0	54,487	1.0	56,396
EXECUTIVE ASSISTANT	08624A	1.0	54,476	1.0	57,281
JUNIOR APPLICATION DEVELOPER	08618A	2.0	82,890	2.0	83,899
VISITOR CENTER ADMINISTRATOR	08616A	1.0	40,179	1.0	41,032
CONTENT & SOCIAL MEDIA SPECIALIST	08613A	1.0	37,270	1.0	37,947
Subtotal		19.0	\$1,520,832	19.0	\$1,550,120
Nonclassified					
DATA SYSTEM MANAGER	08633A	1.0	93,440	1.0	94,979
Subtotal		1.0	\$93,440	1.0	\$94,979
Temporary and Seasonal		-	24,000	-	24,000
Turnover		-	(10,224)	-	-
Subtotal		-	\$13,776	-	\$24,000
Total Salaries		20.0	\$1,628,048	20.0	\$1,669,099
Benefits					
Payroll Accrual			9,208		9,418
FICA			121,322		123,700
Retiree Health			100,759		103,377
Health Benefits			245,691		259,854
Retirement			424,972		430,191
Subtotal			\$901,952		\$926,540
Total Salaries and Benefits		20.0	\$2,530,000	20.0	\$2,595,639
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$125,300		\$128,582
Statewide Benefit Assessment			\$72,080		\$69,095
Payroll Costs		20.0	\$2,602,080	20.0	\$2,664,734

Personnel

Department Of State Administration

	Grade	FY 2017		FY 2018	
		FTE	Cost	FTE	Cost
Purchased Services					
Information Technology			241,523		173,713
Subtotal			\$241,523		\$173,713
Total Personnel		20.0	\$2,843,604	20.0	\$2,838,448
Distribution By Source Of Funds					
General Revenue		20.0	\$2,843,604	20.0	\$2,838,448
Total All Funds		20.0	\$2,843,604	20.0	\$2,838,448

The Program

Department Of State Corporations

Program Mission

To serve as the official agent and record keeper on behalf of the State of Rhode Island for all official corporate filings, Uniform Commercial Code (UCC) filings, and Notary/Trademark applications, and to provide requested information concerning the data from the program.

Program Description

Corporations maintains filings for active and inactive Rhode Island and foreign business corporations, nonprofit corporations, limited partnerships and limited liability companies. Corporate information is maintained in a computer database.

Online access is provided to the following public databases: Corporate Database, New Entities Listing, Rejected Documents Look-Up, Corporate Card Catalog Look-Up, UCC Search, Trademark/Service Mark Database, Notary Public Look-Up, Verify an Issued Certificate, and Verify an Issued Apostille. Business and UCC filers can file electronically.

Additionally, the Corporations Division encompasses six service sections: Business Filings, Uniform Commercial Code, Trademark & Service Mark, Notary Public, Certification/Authentication and the Rhode Island Business Portal.

Statutory History

The functions of the Division of Business Services are outlined in Chapters 1, 1.2 – 16 of Title 7 Corporations & Partnerships; Chapter 6-2 Registration and Protection of Trademarks; Chapter 6A-9 Secured Transactions; Chapter 42-30 Notaries and Justice of the Peace; and Chapter 11-50 Games of Chance, and Chapter 42-132 Business Fast-Start Office.

The Budget

Department Of State Corporations

	2015 Audited	2016 Audited	2017 Enacted	2017 Revised	2018 Recommend
Expenditures By Subprogram					
Corporations	1,919,587	2,144,082	2,006,846	2,118,776	2,224,127
First Stop Business Inf.	151,043	1,453	185,781	-	-
Total Expenditures	\$2,070,630	\$2,145,535	\$2,192,627	\$2,118,776	\$2,224,127
Expenditures By Object					
Personnel	1,685,746	1,745,622	1,890,231	1,809,350	1,912,589
Operating Supplies and Expenses	375,333	390,539	293,200	300,230	302,342
Subtotal: Operating Expenditures	2,061,079	2,136,161	2,183,431	2,109,580	2,214,931
Capital Purchases and Equipment	9,551	9,374	9,196	9,196	9,196
Total Expenditures	\$2,070,630	\$2,145,535	\$2,192,627	\$2,118,776	\$2,224,127
Expenditures By Funds					
General Revenue	2,070,630	2,145,535	2,192,627	2,118,776	2,224,127
Total Expenditures	\$2,070,630	\$2,145,535	\$2,192,627	\$2,118,776	\$2,224,127

Personnel

Department Of State Corporations

	Grade	FY 2017		FY 2018	
		FTE	Cost	FTE	Cost
Unclassified					
DIRECTOR CORPORATIONS (SECRETARY OF STATE)	08635A	1.0	100,564	1.0	100,564
DEPUTY DIRECTOR OF BUSINESS SERVICES	08630A	1.0	76,794	1.0	82,746
ADMINISTRATIVE ASSISTANT	05322A	1.0	54,564	1.0	54,564
ADMINISTRATIVE ASSISTANT (SECRETARY OF MANAGER OF BUSINESS SUPPORT SERVICES (SOS)	05319A	6.0	319,873	6.0	319,873
ADMINISTRATIVE SECRETARY	05323A	1.0	52,239	1.0	53,854
ADMINISTRATIVE ASSISTANT	05318A	1.0	51,417	1.0	51,417
ADMINISTRATIVE ASSISTANT (SECRETARY OF RESEARCH AIDE	05320A	1.0	50,426	1.0	50,426
	05316A	7.0	304,797	7.0	304,797
	08610A	1.0	35,760	1.0	36,480
Subtotal		20.0	\$1,046,434	20.0	\$1,054,721
Temporary and Seasonal		-	12,000	-	12,000
Turnover		-	(20,479)	-	-
Subtotal		-	(\$8,479)	-	\$12,000
Total Salaries		20.0	\$1,037,955	20.0	\$1,066,721
Benefits					
Payroll Accrual			5,898		6,049
FICA			79,405		81,605
Retiree Health			61,250		63,073
Health Benefits			261,349		279,029
Retirement			287,392		291,814
Subtotal			\$695,294		\$721,570
Total Salaries and Benefits		20.0	\$1,733,249	20.0	\$1,788,291
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$86,062		\$88,815
Statewide Benefit Assessment			\$46,101		\$44,298
Payroll Costs		20.0	\$1,779,350	20.0	\$1,832,589
Purchased Services					
Legal Services			30,000		30,000
Other Contracts			-		50,000
Subtotal			\$30,000		\$80,000
Total Personnel		20.0	\$1,809,350	20.0	\$1,912,589
Distribution By Source Of Funds					
General Revenue		20.0	\$1,809,350	20.0	\$1,912,589
Total All Funds		20.0	\$1,809,350	20.0	\$1,912,589

The Program

Department Of State State Archives

Program Mission

To provide comprehensive archives and records management services for all public records in the State; to provide information on the preservation of historical records; and to provide information from and access to the State government archives.

Program Description

The State Archives is the Division of the Department of State charged with preserving and maintaining the permanent records of state government. The "State Archives and Historical Records Act" created the State Archives as the "official custodian and trustee for the state of all public records of permanent historical, legal or other value". The collection of historical records, dating from 1638 to the present, is available to the public for research. Archives staff provides reference assistance to researchers at the Archives facility, and by mail, phone, and e-mail. Publications, guides and finding aids to the collections are available onsite and through the Internet, as well as an online catalog. The State Archives also features exhibits and displays that are free and open to the public in addition to online virtual exhibits.

The Division operates the Local Government Records Program, which was established in 1992 with federal grant funding from the National Historical Publications and Records Commission. The program was designed to provide advisory and technical services relating to records and archives for local governments in the state. The 1993 Session of the General Assembly passed legislation establishing the Historical Records Trust, a restricted receipt account, which now provides funding for this program and for the Division. The program provides records management advice, assistance and consultation to all departments of municipal government, as well as developing records control schedules that govern the retention and disposition of municipal records. The program actively works with Town and City Clerks to better preserve and administer the historical records in their care. Workshops and talks are provided to municipal personnel about records management functions and archival records administration and preservation. In conjunction with the Public Records Administration, the program also publishes guidelines and regulations about records and records issues

Statutory History

The authority of the State Archives is granted from Rhode Island General Law sections 42-8.1, 38-1 and 38-3. Functions of the State Archives are also outlined in Public Law 1989, Chapter 341, Public Law 1992, Chapter 241, Public Law 1993, Chapters 385 & 417, and Public Law 2007, Chapter 73, Article 36.

The Budget

Department Of State State Archives

	2015 Audited	2016 Audited	2017 Enacted	2017 Revised	2018 Recommend
Expenditures By Subprogram					
Operations	625,384	539,730	750,240	652,423	501,628
Total Expenditures	\$625,384	\$539,730	\$750,240	\$652,423	\$501,628
Expenditures By Object					
Personnel	386,861	332,450	394,737	300,190	299,395
Operating Supplies and Expenses	238,523	207,280	251,453	202,233	202,233
Subtotal: Operating Expenditures	625,384	539,730	646,190	502,423	501,628
Capital Purchases and Equipment	-	-	104,050	150,000	-
Total Expenditures	\$625,384	\$539,730	\$750,240	\$652,423	\$501,628
Expenditures By Funds					
General Revenue	155,076	161,181	133,721	87,150	87,150
Restricted Receipts	470,308	378,549	516,519	415,273	414,478
Operating Transfers from Other Funds	-	-	100,000	150,000	-
Total Expenditures	\$625,384	\$539,730	\$750,240	\$652,423	\$501,628

Personnel

Department Of State State Archives

	Grade	FY 2017		FY 2018	
		FTE	Cost	FTE	Cost
Unclassified					
DIRECTOR OF ARCHIVES AND RECORDS	08630A	0.4	34,774	0.4	33,419
COLLECTIONS SUPERVISOR CLERK	05327A	0.4	27,998	0.4	27,998
PRINCIPAL PLANNING AND PROGRAMMING	05328A	0.4	27,788	0.4	27,788
ADMINISTRATIVE ASSISTANT	05325A	0.4	26,989	0.4	26,989
SENIOR INFORMATION AND PUBLIC RELATION	05324A	0.4	25,872	0.4	25,872
ADMINISTRATIVE SECRETARY	05317A	0.4	21,132	0.4	21,132
ADMINISTRATIVE AIDE	05315A	0.4	17,083	0.4	17,083
Subtotal		2.8	\$181,636	2.8	\$180,281
Total Salaries		2.8	\$181,636	2.8	\$180,281
Benefits					
Payroll Accrual			1,044		1,034
FICA			13,895		13,791
Retiree Health			10,844		10,781
Health Benefits			33,646		35,424
Retirement			50,498		49,522
Subtotal			\$109,927		\$110,552
Total Salaries and Benefits		2.8	\$291,563	2.8	\$290,833
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$104,130		\$103,869
Statewide Benefit Assessment			\$8,628		\$8,563
Payroll Costs		2.8	\$300,191	2.8	\$299,396
Total Personnel		2.8	\$300,190	2.8	\$299,395
Distribution By Source Of Funds					
Restricted Receipts		2.8	\$300,190	2.8	\$299,395
Total All Funds		2.8	\$300,190	2.8	\$299,395

The Program

Department Of State Elections and Civics

Program Mission

To provide efficient election services and preparation of all elections-federal, statewide and municipal-as required by statute. To provide an accurate and timely statewide central voter registration system (CVRS) as mandated by HAVA and to make improvements to the CVRS to allow for a more efficient and accurate electoral process. To continue to provide concise, accurate, and timely information to the voters of Rhode Island in the form of a ballot and voter information booklet with respect to referenda.

Program Description

The Elections Division performs the functions of the Secretary of State as enumerated in Title 17 of the Rhode Island General Laws. Accordingly, the Elections Division is responsible for the preparation of federal, state and local elections. The Division carries out the responsibilities detailed below.

Provides necessary forms for candidate declarations, endorsements, nomination signatures, and candidate certification.

Certifies all federal and state candidates for ballot placement, and receives and maintains files for local candidate ballot placement as certified by local boards of canvassers.

Designs ballot layout, and prepares and provides sample and polling place ballots for all federal, state and municipal elections as mandated by law; prepares and sends mail ballots via postal service.

Prepares and distributes the Voter Information Handbook, and provides other voter assistance and education, including through the publication of numerous guides for candidates, election officials, and voters.

Maintains the statewide Central Voter Registration System (CVRS). As mandated under the federal Help America Vote Act, CVRS contains all voter information and related data.

Trains local users of CVRS, a real-time system application that all 39 municipalities access and update on a daily basis.

Statutory History

The Elections and Civics Division operates under Title 17 of the R.I.G.L

The Budget

Department Of State Elections and Civics

	2015 Audited	2016 Audited	2017 Enacted	2017 Revised	2018 Recommend
Expenditures By Subprogram					
No Sub-Programs	-	14,388	-	-	-
Operations	1,665,785	1,267,796	3,377,103	3,280,615	1,906,470
Total Expenditures	\$1,665,785	\$1,282,184	\$3,377,103	\$3,280,615	\$1,906,470
Expenditures By Object					
Personnel	452,886	464,493	769,150	617,746	530,757
Operating Supplies and Expenses	1,193,907	401,946	2,404,717	2,459,633	1,368,477
Subtotal: Operating Expenditures	1,646,793	866,439	3,173,867	3,077,379	1,899,234
Capital Purchases and Equipment	18,992	415,745	203,236	203,236	7,236
Total Expenditures	\$1,665,785	\$1,282,184	\$3,377,103	\$3,280,615	\$1,906,470
Expenditures By Funds					
General Revenue	1,617,442	837,628	3,377,103	3,257,756	1,906,470
Federal Funds	11,264	-	-	22,859	-
Restricted Receipts	-	14,388	-	-	-
Operating Transfers from Other Funds	37,079	430,168	-	-	-
Total Expenditures	\$1,665,785	\$1,282,184	\$3,377,103	\$3,280,615	\$1,906,470

Personnel

Department Of State Elections and Civics

	Grade	FY 2017		FY 2018	
		FTE	Cost	FTE	Cost
Unclassified					
DIRECTOR OF ELECTIONS & CIVICS	08637A	1.0	92,849	1.0	97,675
DEPUTY DIRECTOR OF ELECTIONS	08632A	2.0	152,733	2.0	158,305
Subtotal		3.0	\$245,582	3.0	\$255,980
Total Salaries		3.0	\$245,582	3.0	\$255,980
Benefits					
Payroll Accrual			1,412		1,468
FICA			18,788		19,582
Retiree Health			14,661		15,308
Health Benefits			32,722		33,952
Retirement			64,686		66,556
Subtotal			\$132,269		\$136,866
Total Salaries and Benefits		3.0	\$377,851	3.0	\$392,846
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$125,950		\$130,949
Statewide Benefit Assessment			\$11,035		\$10,751
Payroll Costs		3.0	\$388,886	3.0	\$403,597
Purchased Services					
Information Technology			192,300		117,000
Legal Services			36,000		10,000
Other Contracts			560		160
Subtotal			\$228,860		\$127,160
Total Personnel		3.0	\$617,746	3.0	\$530,757
Distribution By Source Of Funds					
General Revenue		3.0	\$617,746	3.0	\$530,757
Total All Funds		3.0	\$617,746	3.0	\$530,757

The Program

Department Of State
State Library

Program Mission

The program provides cost-effective delivery of goods and services to other state programs.

Program Description

The State Library is responsible for providing reference and research services in the areas of law, legislation, rules and regulations, government reports, and Rhode Island history. This division operates and maintains the Legislative Reference Bureau that provides information on state laws and legislation. The State Library performs original cataloging for all state publications and maintains an online catalog of its holdings. The State Library is also a Federal Government Publications Depository and serves as the State Publications Clearinghouse, providing copies of state documents to facilities throughout Rhode Island. The Library is open to the public.

Statutory History

The State Library was established by a resolution of the General Assembly in 1852. Statutory authority is granted in R.I.G.L. 29-1. The authority to act as a clearinghouse for state publications is established in R.I.G.L. 29-7.

The Budget

Department Of State State Library

	2015 Audited	2016 Audited	2017 Enacted	2017 Revised	2018 Recommend
Expenditures By Subprogram					
Operations	535,336	554,048	554,149	630,151	723,385
Total Expenditures	\$535,336	\$554,048	\$554,149	\$630,151	\$723,385
Expenditures By Object					
Personnel	358,679	368,360	369,064	449,280	559,420
Operating Supplies and Expenses	39,972	34,003	40,034	35,821	18,915
Assistance and Grants	134,635	149,635	143,000	143,000	143,000
Subtotal: Operating Expenditures	533,286	551,998	552,098	628,101	721,335
Capital Purchases and Equipment	2,050	2,050	2,051	2,050	2,050
Total Expenditures	\$535,336	\$554,048	\$554,149	\$630,151	\$723,385
Expenditures By Funds					
General Revenue	535,336	554,048	554,149	630,151	723,385
Total Expenditures	\$535,336	\$554,048	\$554,149	\$630,151	\$723,385

Personnel

Department Of State State Library

	Grade	FY 2017		FY 2018	
		FTE	Cost	FTE	Cost
Unclassified					
DIRECTOR OF STATE ARCHIVES, LIBRARY & ADMINISTRATIVE ASSISTANT	08636A	1.0	79,753	1.0	82,669
STATE LIBRARIAN	05325A	2.0	132,012	2.0	132,012
ADMINISTRATIVE ASSISTANT	08630A	1.0	64,176	1.0	66,525
ADMINISTRATIVE ASSISTANT	05319A	1.0	40,958	1.0	42,191
Subtotal		5.0	\$316,899	5.0	\$323,397
Turnover		-	(56,461)	-	-
Subtotal		-	(\$56,461)	-	-
Total Salaries		5.0	\$260,438	5.0	\$323,397
Benefits					
Payroll Accrual			1,497		1,855
FICA			19,923		24,740
Retiree Health			15,548		19,339
Health Benefits			66,860		87,220
Retirement			71,386		87,361
Subtotal			\$175,214		\$220,515
Total Salaries and Benefits		5.0	\$435,652	5.0	\$543,912
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$87,130		\$108,782
Statewide Benefit Assessment			\$11,703		\$13,583
Payroll Costs		5.0	\$447,355	5.0	\$557,495
Purchased Services					
Buildings and Ground Maintenance			1,925		1,925
Subtotal			\$1,925		\$1,925
Total Personnel		5.0	\$449,280	5.0	\$559,420
Distribution By Source Of Funds					
General Revenue		5.0	\$449,280	5.0	\$559,420
Total All Funds		5.0	\$449,280	5.0	\$559,420

The Program

Department Of State Record Center

Program Mission

The program provides cost-effective delivery of goods and services to other state programs.

Program Description

There are services needed by state operated programs and activities which are provided on a centralized basis. The costs of these operations are borne by the user agencies through a charge system that allocates the cost of delivery of the services. The operations of these programs are shown for display purposes only, since the costs are reflected in the budget of the user agency both on an actual and budget basis.

The Internal Service Program operated by the Secretary of State is the Public Records Administration program, which is charged with overseeing records management functions within state government. The program provides central storage for non-permanent inactive government records and serves all state agencies. Inactive records are those records that agencies no longer require immediate access to, but that are not yet eligible for disposal because of administrative, fiscal, or legal requirements. Public Records Administration personnel provide records management advice, assistance, and consultation to all state agencies. They also work with agencies to develop records retention and disposition schedules, enabling timely disposal or retention as permanent records of the state. Comprehensive records management services encompass all records, regardless of format or media. Workshops are provided to state agency personnel on records management topics. In addition, the program promulgates regulations and publishes guidelines about records and records management functions. The program also oversees Administrative Records functions.

Statutory History

The Director of Administration is authorized by R.I.G.L. 35-5 to establish a system of rotating funds in any state department or agency. The authority of the Public Records Administration program is granted by R.I.G.L. 38-1 and R.I.G.L. 38-3. The authority of administrative records function is granted by R.I.G.L. 42-35.

The Budget

Department Of State Record Center

	2015 Audited	2016 Audited	2017 Enacted	2017 Revised	2018 Recommend
Expenditures By Subprogram					
Operations	817,194	914,723	907,177	808,527	807,345
Internal Services	<i>[817,194]</i>	<i>[914,723]</i>	<i>[907,177]</i>	<i>[808,527]</i>	<i>[807,345]</i>
Total Expenditures	\$817,194	\$914,723	\$907,177	\$808,527	\$807,345
Expenditures By Object					
Personnel	432,244	484,632	522,206	450,525	449,333
Operating Supplies and Expenses	383,429	426,398	384,971	354,309	354,319
Subtotal: Operating Expenditures	815,673	911,030	907,177	804,834	803,652
Capital Purchases and Equipment	1,521	3,693	-	3,693	3,693
Total Expenditures	\$817,194	\$914,723	\$907,177	\$808,527	\$807,345
Expenditures By Funds					
Other Funds	817,194	914,723	907,177	808,527	807,345
Total Expenditures	\$817,194	\$914,723	\$907,177	\$808,527	\$807,345

Personnel

Department Of State Record Center

	Grade	FY 2017		FY 2018	
		FTE	Cost	FTE	Cost
Unclassified					
DIRECTOR OF ARCHIVES AND RECORDS	08630A	0.6	52,161	0.6	50,128
COLLECTIONS SUPERVISOR CLERK	05327A	0.6	41,996	0.6	41,996
PRINCIPAL PLANNING AND PROGRAMMING	05328A	0.6	41,682	0.6	41,682
ADMINISTRATIVE ASSISTANT	05325A	0.6	40,484	0.6	40,484
SENIOR INFORMATION AND PUBLIC RELATION	05324A	0.6	38,808	0.6	38,808
ADMINISTRATIVE SECRETARY	05317A	0.6	31,697	0.6	31,697
ADMINISTRATIVE AIDE	05315A	0.6	25,625	0.6	25,625
Subtotal		4.2	\$272,453	4.2	\$270,420
Total Salaries		4.2	\$272,453	4.2	\$270,420
Benefits					
Payroll Accrual			1,566		1,551
FICA			20,842		20,687
Retiree Health			16,265		16,171
Health Benefits			50,470		53,136
Retirement			75,748		74,283
Subtotal			\$164,891		\$165,828
Total Salaries and Benefits		4.2	\$437,344	4.2	\$436,248
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$104,130		\$103,869
Statewide Benefit Assessment			\$12,941		\$12,845
Payroll Costs		4.2	\$450,285	4.2	\$449,093
Purchased Services					
Other Contracts			240		240
Subtotal			\$240		\$240
Total Personnel		4.2	\$450,525	4.2	\$449,333
Distribution By Source Of Funds					
Other Funds		4.2	\$450,525	4.2	\$449,333
Total All Funds		4.2	\$450,525	4.2	\$449,333

The Program

Department Of State Office of Public Information

Program Mission

To effectively disseminate vital government information to the public and to make the most of expanding technologies to enhance public access and awareness of the activities of state government.

Program Description

The Office of Public Information is designed to serve as a resource for the citizens of Rhode Island regarding the activities of state government. It gives members of the public the basic information they need to play a more active role in the decision-making process. This information is published in a variety of reports, which are available to the public free of charge. The material can also be accessed through the Internet, where the Secretary of State has an "On-Line Office" on the World Wide Web at <http://www.state.ri.us>. The Office of Public Information carries out the functions detailed below.

Compiles information and maintains a database on all legislation introduced in the General Assembly, including title, sponsor information, description, committee assignment, committee and floor actions.

Compiles information and maintains a database on all legislative hearings, including time and place of the meeting and lists of bills being considered.

Publishes the daily Legislative Meetings Report and the weekly Legislative Report which provides this information to the public.

Maintains a list of lobbyists and overseeing the enforcement of the state's lobbying law. Under the Administrative Procedures Act, the office is responsible for compiling the rules and regulations promulgated by each state agency.

Operates and maintains a clearinghouse for information related to all public meetings in the State of Rhode Island relative to the Open Meetings Law.

Operates and maintains the Documents and Distribution Office to provide delivery of printed state legislation, General Assembly journals and other state publications.

Statutory History

Article IV, paragraph 4 of the Rhode State Constitution, and R.I.G.L. 42-8 establish the Office of Secretary of State and the Secretary's role as the custodian of state records, charged with the collections, retention, and dissemination of these records for the citizens of the State of Rhode Island.

The Budget

Department Of State Office of Public Information

	2015 Audited	2016 Audited	2017 Enacted	2017 Revised	2018 Recommend
Expenditures By Subprogram					
Operations	512,149	450,744	524,232	549,703	612,562
Total Expenditures	\$512,149	\$450,744	\$524,232	\$549,703	\$612,562
Expenditures By Object					
Personnel	438,645	396,468	450,560	458,577	545,599
Operating Supplies and Expenses	71,904	50,552	72,072	89,526	65,363
Subtotal: Operating Expenditures	510,549	447,020	522,632	548,103	610,962
Capital Purchases and Equipment	1,600	3,724	1,600	1,600	1,600
Total Expenditures	\$512,149	\$450,744	\$524,232	\$549,703	\$612,562
Expenditures By Funds					
General Revenue	504,274	445,813	484,232	524,703	587,562
Restricted Receipts	7,875	4,931	40,000	25,000	25,000
Total Expenditures	\$512,149	\$450,744	\$524,232	\$549,703	\$612,562

Personnel

Department Of State Office of Public Information

	Grade	FY 2017		FY 2018	
		FTE	Cost	FTE	Cost
Unclassified					
DIRECTOR OF PROGRAMMING	08632A	1.0	94,192	1.0	94,192
DIRECTOR PUBLIC INFORMATION (SEC OF STATE)	08630A	1.0	76,281	1.0	76,281
ADMINISTRATIVE ASSISTANT	05325A	1.0	58,672	1.0	58,672
COPY EDITOR	08626A	1.0	55,040	1.0	56,982
Subtotal		4.0	\$284,185	4.0	\$286,127
Turnover		-	(45,867)	-	-
Subtotal		-	(\$45,867)	-	-
Total Salaries		4.0	\$238,318	4.0	\$286,127
Benefits					
Payroll Accrual			1,370		1,641
FICA			18,231		21,888
Retiree Health			14,228		17,112
Health Benefits			56,652		75,305
Retirement			63,169		75,609
Subtotal			\$153,650		\$191,555
Total Salaries and Benefits		4.0	\$391,968	4.0	\$477,682
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$97,992		\$119,421
Statewide Benefit Assessment			\$10,709		\$12,017
Payroll Costs		4.0	\$402,677	4.0	\$489,699
Purchased Services					
University and College Services			25,000		25,000
Legal Services			30,000		30,000
Other Contracts			900		900
Subtotal			\$55,900		\$55,900
Total Personnel		4.0	\$458,577	4.0	\$545,599
Distribution By Source Of Funds					
General Revenue		4.0	\$458,577	4.0	\$545,599
Total All Funds		4.0	\$458,577	4.0	\$545,599

Agency

Treasury Department

Agency Mission

The Office of the General Treasurer's mission is to protect the state's assets with sound financial investments, strengthen the state's financial position, encourage economic growth, operate with transparency and accountability, and ensure Rhode Islanders benefit from exceptional performance through all the programs the Office manages.

Agency Description

The Office of the General Treasurer is established under the Rhode Island Constitution as one of the five general officers subject to election by the voters. The General Treasurer is the custodian of State funds, charged with the safe and prudent management of the State's finances. The General Treasurer serves on the State Investment Commission, the State Retirement Board, the Public Finance Management Board, the Rhode Island Housing and Mortgage Finance Corporation, the Higher Education Assistance Board, the Rhode Island Student Loan Authority, the State Properties Commission and the Rhode Island Clean Water Finance Agency.

Programs and Sub-Programs are defined to streamline the administration of various functions and resources. Under the control and guidance of the General Treasurer include: the Employees' Retirement System, the administrative unit for participating public employee groups including state, teacher, judicial, state police and municipal employees; the Unclaimed Property Program which collects both tangible and intangible abandoned property for the purpose of returning the property to its rightful owner; the Investments and Finance Division provides investment and cash management services to state government and issues and manages the State's general obligation debt; the Business Office validates and distributes the State's imprest/benefit check payments, laser prints vendor and non-vendor checks, and reconciles the majority of the State accounts to the financial institutions and the State Controller's records; and the Crime Victim Compensation Program which compensates crime victims for financial losses suffered as a result of a violent crime. Other areas under the Treasurer's responsibility include the care and management of the Abraham Touro Fund and the Childhood Disease Fund.

Statutory History

Article IV of the Rhode Island Constitution and Title 42 Chapter 10 of the Rhode Island General Laws establishes the Office of the General Treasurer. Chapter 35 gives the guidelines for the investment of state funds. The Employees' Retirement System is governed by Chapters 16, 21, 36, 37, 38 and 45 of the Rhode Island General Laws. The sections that cover the Retirement System also cover the investing of the funds of the plan. Payments of funds by the Treasury Business Office are covered by 42-10 of the Rhode Island General Laws. Chapter 28 includes the Treasurer's responsibilities for the Temporary Disability and Unemployment Insurance Program. Title 12 Chapter 25 of the Rhode Island General Laws governs the Crime Victim Compensation Program. Title 33, Chapter 21 and 21.1 of the General Laws govern the Unclaimed Property Program.

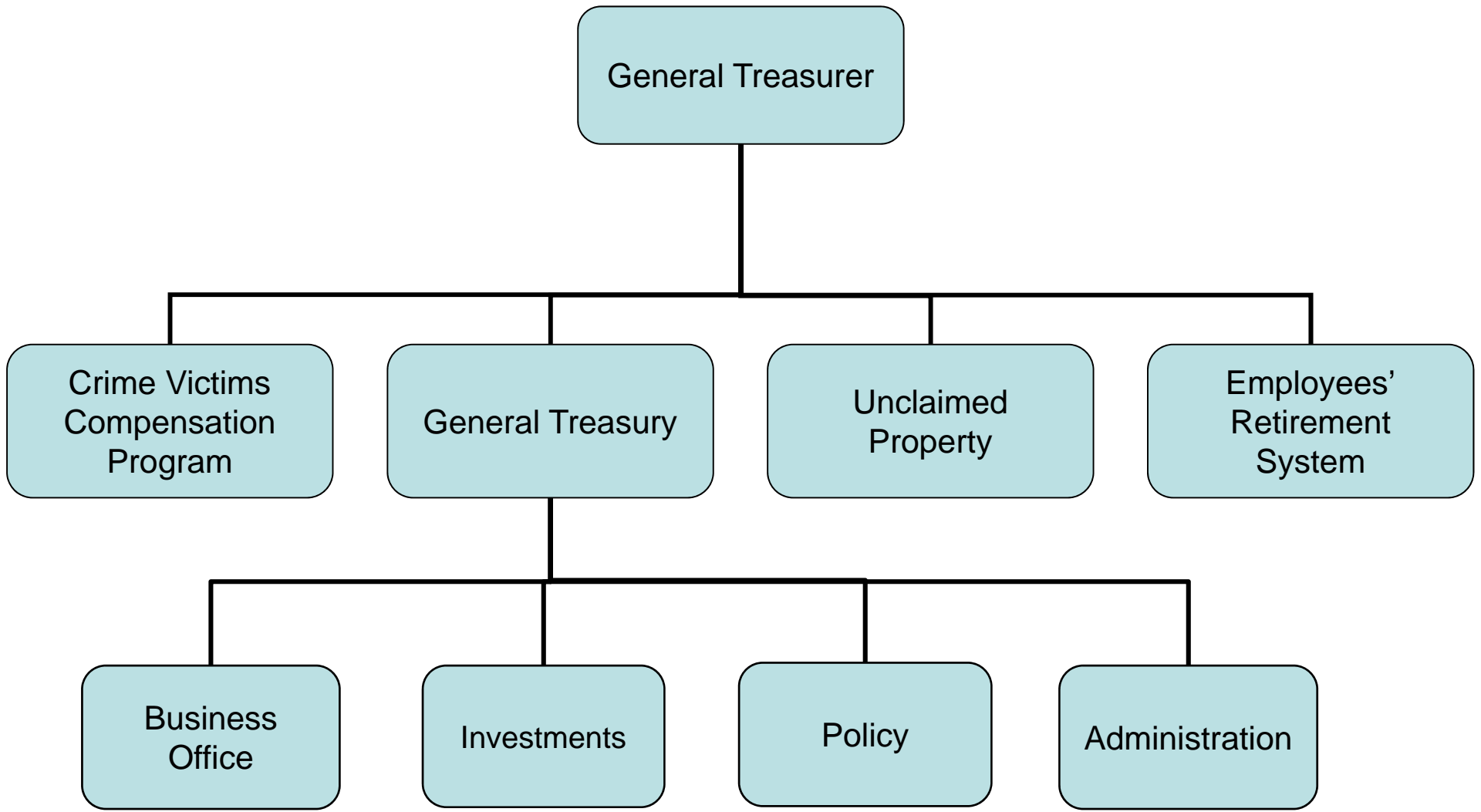
Budget

Treasury Department

	FY 2015 Audited	FY 2016 Audited	FY 2017 Enacted	FY 2017 Revised	FY 2018 Recommend
Expenditures By Program					
General Treasurer	2,663,634	10,878,721	3,386,783	11,432,629	11,297,246
State Retirement System	11,857,000	9,123,466	9,841,650	11,281,927	10,850,356
Unclaimed Property	25,371,922	27,634,370	22,348,728	24,443,953	23,903,500
Crime Victim Compensation Program	1,639,638	1,640,761	1,983,272	1,988,388	1,974,344
Total Expenditures	\$41,532,194	\$49,277,318	\$37,560,433	\$49,146,897	\$48,025,446
Expenditures By Object					
Personnel	15,238,403	12,812,727	13,461,000	15,268,171	14,743,105
Operating Supplies and Expenses	24,805,844	27,069,624	22,246,658	24,008,451	23,394,066
Assistance and Grants	1,456,810	1,307,005	1,785,000	1,785,000	1,788,000
Subtotal: Operating Expenditures	41,501,057	41,189,356	37,492,658	41,061,622	39,925,171
Capital Purchases and Equipment	31,137	60,195	67,775	85,275	100,275
Operating Transfers	-	8,027,767	-	8,000,000	8,000,000
Total Expenditures	\$41,532,194	\$49,277,318	\$37,560,433	\$49,146,897	\$48,025,446
Expenditures By Funds					
General Revenue	2,400,368	2,271,575	2,736,231	2,733,044	2,698,692
Federal Funds	649,120	741,266	952,881	905,808	890,337
Restricted Receipts	38,279,369	37,730,574	33,320,911	36,859,237	35,886,175
Other Funds	203,337	8,533,903	550,410	8,648,808	8,550,242
Total Expenditures	\$41,532,194	\$49,277,318	\$37,560,433	\$49,146,897	\$48,025,446
FTE Authorization	83.0	84.0	88.0	87.0	87.0

The Agency

Office of the General Treasurer



Personnel

Treasury Department Agency Summary

	Grade	FY 2017		FY 2018	
		FTE	Cost	FTE	Cost
Unclassified		87.0	6,144,293	87.0	6,325,518
Subtotal		87.0	\$6,144,293	87.0	\$6,325,518
Cost Allocation from Other Programs		17.9	1,431,648	17.9	1,585,717
Cost Allocation to Other Programs		(17.9)	(\$1,431,648)	(17.9)	(\$1,585,717)
Overtime		-	70,000	-	70,000
Turnover		-	(\$307,465)	-	(\$253,997)
Subtotal		-	(\$237,465)	-	(\$183,997)
Total Salaries		87.0	\$5,906,828	87.0	\$6,141,521
Benefits					
Payroll Accrual			33,897		35,239
Retiree Health			348,410		363,280
Health Benefits			1,030,049		1,038,884
FICA			439,037		454,058
Retirement			1,532,719		1,575,036
Subtotal			\$3,384,112		\$3,466,497
Total Salaries and Benefits		87.0	\$9,290,940	87.0	\$9,608,018
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$106,792		\$110,437
Statewide Benefit Assessment			\$274,026		\$281,237
Payroll Costs		87.0	\$9,564,966	87.0	\$9,889,255
Purchased Services					
Buildings and Ground Maintenance			69,000		150,000
Legal Services			589,500		524,500
Other Contracts			216,250		160,150
Information Technology			3,472,355		2,940,900
Clerical and Temporary Services			80,100		24,600
Management & Consultant Services			1,276,000		1,053,700
Subtotal			\$5,703,205		\$4,853,850
Total Personnel		87.0	\$15,268,171	87.0	\$14,743,105
Distribution By Source Of Funds					
General Revenue		25.6	\$2,177,740	25.6	\$2,166,627
Federal Funds		-	\$284,283	-	\$286,712
Restricted Receipts		59.4	\$12,197,587	59.4	\$11,782,771
Other Funds		2.0	\$608,561	2.0	\$506,995
Total All Funds		87.0	\$15,268,171	87.0	\$14,743,105

The Program

Treasury Department General Treasurer

Program Mission

To continue to deliver superior service to members of the public, other state agencies, and state vendors in all of its activities; to expand the use of technology to increase operational efficiency; and to improve the management of cash collection, investments and disbursement systems.

Program Description

The General Treasury Program provides the administrative support to the entire agency to ensure its operational efficiency and fiscal integrity. It is comprised of four sub-programs: Policy, Administration, Investments, and the Business Office.

Policy Division: This division provides media and public relations for the Treasurer, and directs policy and legislative activity of the Office.

Administrative Division: This division provides the administrative support to the entire office, including the Retirement System in terms of overall leadership in matters dealing with personnel, budgeting, financial controls, legal advice and management information systems.

Investment Division: This Division manages the State's borrowing and investments, provides daily fiduciary services to all of state government, monitors investment managers for compliance with State guidelines, acts as day to day interface between custodians, consultants, and fund managers on administrative matters and transfers of funds, provides reports to the State Investment Commission on investment performance, and implements SIC policy. This division is actively involved in both the issuance and servicing of state debt obligations. The division is also responsible for administration of the State's tax-deferred 529 plan for college savings, the CollegeboundSaver (formerly, Collegeboundfund.)

Business Office: This division validates and distributes imprest/benefit checks along with replacement of checks and the internal transfer of State funds for necessary payroll taxes and retirement contributions. This division is responsible for the reconciliation of the majority of State bank accounts both to the bank statement balances and to the Controller's records. Additionally, this division laser prints vendor and non- vendor checks and electronically transmits payment instructions to the appropriate banking institutions.

Statutory History

Chapter 35 gives the guidelines for the investment of State funds. Payments of funds by the Treasury Business Office are covered by 42-10 of the RIGL. Chapter 28 includes the Treasurer's responsibilities for the Department of Labor and Training Program. Chapter 16-57 includes the Treasurer's responsibilities for the management of the CollegeboundSaver Program.

The Budget

Treasury Department General Treasurer

	2015 Audited	2016 Audited	2017 Enacted	2017 Revised	2018 Recommend
Expenditures By Subprogram					
Policy	630,177	582,968	604,849	587,047	596,920
Administration Operations	209,746	8,497,920	467,772	8,614,439	8,507,125
Business Offices	1,208,948	1,215,225	1,320,108	1,260,717	1,212,093
Investments	614,763	582,608	994,054	970,426	981,108
Total Expenditures	\$2,663,634	\$10,878,721	\$3,386,783	\$11,432,629	\$11,297,246
Expenditures By Object					
Personnel	2,183,778	2,444,309	2,717,928	2,836,535	2,721,391
Operating Supplies and Expenses	470,720	401,507	645,430	572,669	552,430
Subtotal: Operating Expenditures	2,654,498	2,845,816	3,363,358	3,409,204	3,273,821
Capital Purchases and Equipment	9,136	5,138	23,425	23,425	23,425
Operating Transfers	-	8,027,767	-	8,000,000	8,000,000
Total Expenditures	\$2,663,634	\$10,878,721	\$3,386,783	\$11,432,629	\$11,297,246
Expenditures By Funds					
General Revenue	2,174,753	2,050,780	2,507,779	2,495,475	2,456,017
Federal Funds	285,544	294,038	328,594	288,346	290,987
Other Funds	203,337	8,533,903	550,410	8,648,808	8,550,242
Total Expenditures	\$2,663,634	\$10,878,721	\$3,386,783	\$11,432,629	\$11,297,246

Personnel

Treasury Department General Treasurer

	Grade	FY 2017		FY 2018	
		FTE	Cost	FTE	Cost
Unclassified					
CHIEF INVESTMENT OFFICER/DEPUTY TREASURER	08554A ³	1.0	187,899	1.0	250,000
CHIEF OF STAFF (TREASURY)	08548A	1.0	143,643	1.0	146,026
GENERAL TREASURER	00531F	1.0	117,637	1.0	117,637
GENERAL COUNSEL (TREASURY)	08543A	1.0	110,259	1.0	115,028
CHIEF FISCAL MANAGER (TREASURY)	08538A	1.0	109,917	1.0	88,798
DIRECTOR OF COMMUNICATIONS (TREASURY)	08541A	1.0	105,492	1.0	111,451
DEPUTY TREASURER/DIRECTOR OF POLICY	08539A ¹	1.0	100,541	1.0	111,111
LEGISLATIVE AFFAIRS DIRECTOR (TREASURY)	08535A ²	1.0	100,159	1.0	111,121
PENSION INVESTMENT ANALYST	08541A	1.0	98,340	1.0	103,109
CHIEF OPERATING OFFICER (TREASURY)	08538A	1.0	95,958	1.0	98,340
ASSOCIATE DIRECTOR OF FINANCE (TREASURY)	08536A	1.0	94,979	1.0	94,979
PUBLIC DEBT MANAGER (TREASURY)	08538A	1.0	93,575	1.0	93,575
CASH MANAGER (TREASURY INVESTMENTS)	08538A	1.0	88,798	1.0	93,575
FISCAL MANAGEMENT/DEBT ANALYST	00330A	1.0	88,296	1.0	88,296
DEPUTY COMMUNICATIONS DIRECTOR	08534A	1.0	82,746	1.0	84,433
ASSOCIATE DIRECTOR PARTICIPANT DIRECTED	08533A	1.0	81,081	1.0	81,081
INVESTMENT OFFICER (TREASURY)	08534A	1.0	80,346	1.0	100,000
FISCAL MANAGER/INVESTMENT OFFICER	00334A	1.0	78,437	1.0	100,000
SENIOR ADMINISTRATIVE CLERK (TREASURY)	00327A	1.0	77,159	1.0	77,159
PRINCIPAL AUDITOR	00328A	3.0	224,294	3.0	226,518
STATE RECEIPTS COORDINATOR & RET ACCT	00324A	1.0	68,167	1.0	68,167
TECHNICAL SUPPORT SPECIALIST (TREASURY)	08528A	1.0	67,199	1.0	67,199
INVESTMENT ANALYST	08530A	1.0	65,000	1.0	66,525
PROGRAM ADMINISTRATOR COLLEGE BOUND	08527A	1.0	60,214	1.0	60,214
CASH MANAGER/FISCAL OFFICER	08528A	1.0	59,421	1.0	61,561
SENIOR INVESTMENT OFFICER (TREASURY)	00324A	1.0	56,863	1.0	56,863
EXECUTIVE AIDE TO THE GENERAL TREASURER	08525A	1.0	56,620	1.0	59,593
ACCOUNTING & DEBT SERVICES SPECIALIST	00319A	1.0	51,951	1.0	51,951
ACCOUNTING & RESEARCH SERVICES SPECIALIST	00319A	1.0	51,951	1.0	51,951
SR ADMINISTRATIVE AIDE (TREASURY)	00317A	1.0	50,992	1.0	50,992
EXECUTIVE AIDE (TREASURY)	08523A	1.0	48,628	1.0	50,250
ADMINISTRATIVE ASSISTANT (TREASURY)	00322A	1.0	47,253	1.0	48,744
CONSTITUENT RELATIONS DIRECTOR (TREASURY)	08519A	1.0	46,258	1.0	47,228
COURIER/MEETINGS COORDINATOR (TREASURY)	00317A	1.0	44,341	1.0	44,341
ARCHIVES AND RECORDS RETENTION SPECIALIST	00315A	1.0	43,796	1.0	43,796
BUSINESS SERVICES SPECIALIST (TREAS BUSINESS)	00316A	1.0	43,078	1.0	43,078
POLICY AIDE	08512A	1.0	40,000	1.0	40,000
FIRST DEPUTY CLERK (TREASURY)	08512A	1.0	36,131	1.0	36,824
Subtotal		40.0	\$3,097,419	40.0	\$3,241,514

Personnel

Treasury Department General Treasurer

	Grade	FY 2017		FY 2018	
		FTE	Cost	FTE	Cost
Cost Allocation from Other Programs		0.5	41,234	0.5	43,456
Cost Allocation to Other Programs		(17.4)	(1,392,859)	(17.4)	(1,545,730)
Turnover		-	(203,661)	-	(130,636)
Subtotal		(16.8)	(\$1,555,286)	(16.8)	(\$1,632,910)
Total Salaries		23.2	\$1,542,133	23.2	\$1,608,604
Benefits					
Payroll Accrual			9,074		9,494
FICA			115,616		119,800
Retiree Health			92,054		96,228
Health Benefits			266,484		230,022
Retirement			405,135		417,248
Subtotal			\$888,363		\$872,792
Total Salaries and Benefits		23.2	\$2,430,496	23.2	\$2,481,396
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$104,944		\$107,141
Statewide Benefit Assessment			\$70,389		\$69,845
Payroll Costs		23.2	\$2,500,885	23.2	\$2,551,241
Purchased Services					
Information Technology			2,500		2,500
Clerical and Temporary Services			500		500
Management & Consultant Services			256,000		140,500
Legal Services			59,500		24,500
Other Contracts			17,150		2,150
Subtotal			\$335,650		\$170,150
Total Personnel		23.2	\$2,836,535	23.2	\$2,721,391
Distribution By Source Of Funds					
General Revenue		21.2	\$1,962,107	21.2	\$1,945,888
Federal Funds		-	\$265,867	-	\$268,508
Other Funds		2.0	\$608,561	2.0	\$506,995
Total All Funds		23.2	\$2,836,535	23.2	\$2,721,391

1 Actual paygrade is 08540A

2 Also known as 'Deputy Treasurer for Legislation and Outreach

3 Salary range \$200,000 to \$250,000

The Program

Treasury Department State Retirement System

Program Mission

In addition to statutory mandates, the major program objectives of the Employees' Retirement System of Rhode Island are the continued delivery of services to the active and retired membership. The investment objective for the State Pension Fund, set by the Retirement Board, is to meet or exceed an annual rate of return of 7.5%. The Investments subprogram within the General Treasurer program provides investment management and custody services under the auspices of the State Investment Commission.

Program Description

The Employees' Retirement System of Rhode Island provides retirement, disability, survivor, and death benefits, and a defined contribution retirement plan to eligible state employees, and public school teachers. Participating municipal employees belong to the Municipal Employees' Retirement System. The system also administers the Judicial Retirement Plan, the State Police Retirement Plan and the Teacher Survivor Benefit program for those teachers who are not covered by Social Security.

Statutory History

The Employees' Retirement System of Rhode Island is governed by Titles 16, 36, 38 and 45 of the Rhode Island General Laws. The Rhode Island Retirement Security Act of 2011 (RIRSA) made substantial changes to all administered retirement plans. These changes provided increased retirement security for plan members, saved \$4 billion dollars over 20 years, immediately reduced the unfunded liability of the plan by \$3 billion, re-amortizing over 25 years, suspended cost-of-living adjustments and created a hybrid defined-benefit/defined contribution plan designed to share investment risk fairly among all stakeholders. Several public employee unions and retiree groups filed suit. Litigation related to the implementation of RIRSA was ultimately settled in June, 2016 and the provisions of the settlement agreement were enacted into law effective for FY 2016. The settlement agreement retained greater than 95% of the savings related to RIRSA while providing enhancement to benefits of active employees and retirees.

The Budget

Treasury Department State Retirement System

	2015 Audited	2016 Audited	2017 Enacted	2017 Revised	2018 Recommend
Expenditures By Subprogram					
Defined Benefit	11,618,690	9,059,263	9,773,277	11,189,449	10,672,118
Defined Contribution	238,310	64,203	68,373	92,478	178,238
Total Expenditures	\$11,857,000	\$9,123,466	\$9,841,650	\$11,281,927	\$10,850,356
Expenditures By Object					
Personnel	11,181,562	8,490,884	8,795,350	10,317,127	9,840,756
Operating Supplies and Expenses	384,908	443,339	734,800	635,800	662,600
Assistance and Grants	269,274	145,633	280,000	280,000	283,000
Subtotal: Operating Expenditures	11,835,744	9,079,856	9,810,150	11,232,927	10,786,356
Capital Purchases and Equipment	21,256	43,610	31,500	49,000	64,000
Total Expenditures	\$11,857,000	\$9,123,466	\$9,841,650	\$11,281,927	\$10,850,356
Expenditures By Funds					
Restricted Receipts	11,857,000	9,123,466	9,841,650	11,281,927	10,850,356
Total Expenditures	\$11,857,000	\$9,123,466	\$9,841,650	\$11,281,927	\$10,850,356

Personnel

Treasury Department State Retirement System

	Grade	FY 2017		FY 2018	
		FTE	Cost	FTE	Cost
Unclassified					
EXECUTIVE DIRECTOR (RETIREMENT SYSTEM)	08545A	1.0	151,472	1.0	151,472
CHIEF FINANCIAL OFFICER (TREASURY)	08542A	1.0	117,412	1.0	117,412
ASSISTANT DIRECTOR-RETIREMENT SYS ADMIN & INVESTMENT ACCOUNTING MANAGER	08538A	1.0	113,091	1.0	113,091
DEPUTY GENERAL COUNSEL	08538A	1.0	113,091	1.0	113,091
PROJECT MANAGER	08534A	1.0	88,798	1.0	93,575
DIRECTOR OF MEMBER SERVICES (TREASURY)	08530A	1.0	87,179	1.0	87,179
BUSINESS ANALYST (TREASURY/RETIREMENT)	08534A	1.0	84,433	1.0	84,433
CONTROLLER (TREASURY RETIREMENT)	08531A	1.0	79,381	1.0	79,381
SENIOR ADMINISTRATIVE ASSISTANT (TREASURY)	08534A ⁵	1.0	77,678	1.0	77,678
CUSTOMER EXPERIENCE MANAGER (TREASURY)	00327A	2.0	152,682	2.0	152,682
DISABILITY PENSION MANAGER	08531A	1.0	74,823	1.0	78,264
OPERATIONS MANAGER (TREASURY RETIREMENT)	08531A ⁴	1.0	69,144	1.0	71,678
ADMINISTRATIVE ASSISTANT	08531A	1.0	68,210	1.0	70,703
WAGE & CONTRIBUTION ANALYST (TREASURY)	00325A	2.0	135,813	2.0	135,813
ACCOUNTING MANAGER (TREASURY)	00324A	1.0	66,814	1.0	66,814
LEGAL COUNSEL (TREASURY RETIREMENT)	08531A	1.0	66,715	1.0	69,144
PRINCIPAL ACCOUNTANT	08531A	1.0	66,715	1.0	69,144
ADMINISTRATIVE ASSISTANT (TREASURY)	00326A	1.0	64,547	1.0	64,547
SENIOR ACCOUNTANT (TREASURY/RETIREMENT)	00325A	2.0	126,110	2.0	126,110
SENIOR ADMINISTRATIVE AIDE	00325A	1.0	59,162	1.0	59,162
RETIREMENT ANALYST	00317A	1.0	52,508	1.0	52,508
IMAGING TECNICIAN	00323A	1.0	50,726	1.0	50,726
ADMINISTRATIVE AIDE	00315A	1.0	50,053	1.0	50,053
MEMBER SERVICES REPRESENTATIVE (TREASURY)	00316A	3.0	139,180	3.0	139,180
PROJECT COORDINATOR/COMPLIANCE ANALYST	00318A	1.0	45,747	1.0	45,747
ADMINISTRATIVE AIDE (TREASURY/RETIREMENT)	00325A	1.0	43,662	1.0	43,662
RETIREMENT AIDE (TREASURY)	08513A	1.0	39,678	1.0	39,678
DISABILITY ADMINISTRATIVE AIDE (TREASURY)	00315A	2.0	78,023	2.0	79,559
DISABILITY ADMINISTRATIVE AIDE (TREASURY)	00315A	1.0	38,702	1.0	39,477
Subtotal		35.0	\$2,401,549	35.0	\$2,421,963

Personnel

Treasury Department State Retirement System

	Grade	FY 2017		FY 2018	
		FTE	Cost	FTE	Cost
Cost Allocation from Other Programs		13.5	1,093,265	13.5	1,230,170
Cost Allocation to Other Programs		(0.5)	(38,789)	(0.5)	(39,987)
Overtime		-	35,000	-	35,000
Turnover		-	(94,065)	-	(96,879)
Subtotal		13.0	\$995,411	13.0	\$1,128,304
Total Salaries		48.0	\$3,396,960	48.0	\$3,550,267
Benefits					
Payroll Accrual			19,432		20,268
FICA			252,496		262,072
Retiree Health			200,695		210,277
Health Benefits			607,982		645,919
Retirement			883,123		912,024
Subtotal			\$1,963,728		\$2,050,560
Total Salaries and Benefits		48.0	\$5,360,688	48.0	\$5,600,827
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$111,774		\$116,781
Statewide Benefit Assessment			\$159,684		\$167,029
Payroll Costs		48.0	\$5,520,372	48.0	\$5,767,856
Purchased Services					
Information Technology			3,324,655		2,753,200
Clerical and Temporary Services			79,500		24,000
Management & Consultant Services			620,000		513,200
Legal Services			530,000		500,000
Other Contracts			173,600		132,500
Buildings and Ground Maintenance			69,000		150,000
Subtotal			\$4,796,755		\$4,072,900
Total Personnel		48.0	\$10,317,127	48.0	\$9,840,756
Distribution By Source Of Funds					
Restricted Receipts		48.0	\$10,317,127	48.0	\$9,840,756
Total All Funds		48.0	\$10,317,127	48.0	\$9,840,756

4 Aka 'Counselor Manager (Retirement)

5 aka Counselor Manager Retirement

The Program

Treasury Department Unclaimed Property

Program Mission

The core mission of the program is to return unclaimed property to its rightful owner, and until claimed, maintain custody of the property within the general fund to the benefit of all Rhode Island citizens. To fulfill this mission, the program maintains an active enforcement effort, ensuring that holders of unclaimed property are compliant with the law. This effort results in more property being received the State and being returned to their rightful owners. The program also maintains an active outreach campaign, leveraging print and digital media along with live events to advertise unclaimed property and raise awareness about the program.

Program Description

The Unclaimed Property Program is responsible for the collection of abandoned property and acts as custodian for both tangible and intangible abandoned property, with the mission of returning this property to its rightful owners. This division maintains data on all escheated properties by the State since the inception of the program. This program monitors compliance with the law via an internal review of reports filed, and an audit program that audits financial institutions, corporations, businesses, insurance companies, and municipalities.

Statutory History

Title 33 Chapter 21 and 21.1 of the General Laws govern the Unclaimed Property Program and were most recently updated during the 2011 legislative session. House Bill 5806 and Senate Bill 725 amended the advertising requirements of the program. House Bill 5755 and Senate Bill 727 established procedures for the disposal of 'de minimus' property.

The Budget

Treasury Department Unclaimed Property

	2015 Audited	2016 Audited	2017 Enacted	2017 Revised	2018 Recommend
Expenditures By Subprogram					
Operations	25,371,922	27,634,370	22,348,728	24,443,953	23,903,500
Total Expenditures	\$25,371,922	\$27,634,370	\$22,348,728	\$24,443,953	\$23,903,500
Expenditures By Object					
Personnel	1,448,172	1,490,217	1,517,815	1,685,486	1,748,079
Operating Supplies and Expenses	23,923,005	26,143,002	20,819,913	22,747,467	22,144,421
Subtotal: Operating Expenditures	25,371,177	27,633,219	22,337,728	24,432,953	23,892,500
Capital Purchases and Equipment	745	1,151	11,000	11,000	11,000
Total Expenditures	\$25,371,922	\$27,634,370	\$22,348,728	\$24,443,953	\$23,903,500
Expenditures By Funds					
Restricted Receipts	25,371,922	27,634,370	22,348,728	24,443,953	23,903,500
Total Expenditures	\$25,371,922	\$27,634,370	\$22,348,728	\$24,443,953	\$23,903,500

Personnel

Treasury Department Unclaimed Property

	Grade	FY 2017		FY 2018	
		FTE	Cost	FTE	Cost
Unclassified					
UNCLAIMED PROPERTY SUPERVISOR (TREASURY)	08534A	1.0	79,829	1.0	84,095
RETIREMENT ANALYST	00323A	1.0	65,466	1.0	65,466
SENIOR UNCLAIMED PROPERTY TECHNICIAN	00323A	1.0	53,516	1.0	55,308
APPLICATIONS COORDINATOR (TREASURY)	00318A	1.0	50,322	1.0	50,322
ASST ADMIN/CLERK (TRSY UNCLM P	00316A	3.0	126,603	3.0	128,683
UNCLAIMED PROPERTY CLERK (TREASURY)	00316A	1.0	41,641	1.0	43,078
Subtotal		8.0	\$417,377	8.0	\$426,952
Cost Allocation from Other Programs		3.4	261,039	3.4	274,286
Overtime		-	35,000	-	35,000
Turnover		-	(6,320)	-	(17,078)
Subtotal		3.4	\$289,719	3.4	\$292,208
Total Salaries		11.4	\$707,096	11.4	\$719,160
Benefits					
Payroll Accrual			3,884		3,957
FICA			51,042		52,083
Retiree Health			40,101		41,018
Health Benefits			122,084		128,474
Retirement			176,373		177,806
Subtotal			\$393,484		\$403,338
Total Salaries and Benefits		11.4	\$1,100,580	11.4	\$1,122,498
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$96,542		\$98,465
Statewide Benefit Assessment			\$31,906		\$32,581
Payroll Costs		11.4	\$1,132,486	11.4	\$1,155,079
Purchased Services					
Information Technology			130,000		170,000
Management & Consultant Services			400,000		400,000
Other Contracts			23,000		23,000
Subtotal			\$553,000		\$593,000
Total Personnel		11.4	\$1,685,486	11.4	\$1,748,079
Distribution By Source Of Funds					
Restricted Receipts		11.4	\$1,685,486	11.4	\$1,748,079
Total All Funds		11.4	\$1,685,486	11.4	\$1,748,079

The Program

Treasury Department

Crime Victim Compensation Program

Program Mission

The Program was created to help victims with the many costs associated with violent crime. The program provides financial compensation to crime victims for such expenses as medical bills, loss of earnings, crime scene cleaning and funeral expenses, up to \$25,000.

While no amount of compensation can erase the painful memories of violent crime, the program aims to lessen the financial burdens victims face during challenging difficult times.

Program Description

The Criminal Injuries Compensation Act of 1996, amended in 1999, enables the State of Rhode Island, through the Office of the General Treasurer, to compensate innocent victims of violent crimes for certain expenses directly attributable to the crime. The process includes, but is not limited to, the filing of claim forms, verification of medical bills and other documentation supporting the claim, and proof of dependency or lost wages were necessary. The program's staff reviews each application, gathers information relating to eligibility, and investigates the documentation offered in support of the application. The Program administrator makes an eligibility determination and awards or denies compensation under the applicable statute.

Statutory History

Title 12 Chapter 25 of the RIGL governs the victims of Violent Crimes Compensation Program. In 2011 the chapter was amended to increase the reimbursement limits for funeral expenses and to add crime-scene clean-up costs as an eligible expense. The statute was further amended in 2013 to add emergency compensation for relocation costs.

The Budget

Treasury Department Crime Victim Compensation Program

	2015 Audited	2016 Audited	2017 Enacted	2017 Revised	2018 Recommend
Expenditures By Subprogram					
Operations	1,639,638	1,640,761	1,983,272	1,988,388	1,974,344
Total Expenditures	\$1,639,638	\$1,640,761	\$1,983,272	\$1,988,388	\$1,974,344
Expenditures By Object					
Personnel	424,891	387,317	429,907	429,023	432,879
Operating Supplies and Expenses	27,211	81,776	46,515	52,515	34,615
Assistance and Grants	1,187,536	1,161,372	1,505,000	1,505,000	1,505,000
Subtotal: Operating Expenditures	1,639,638	1,630,465	1,981,422	1,986,538	1,972,494
Capital Purchases and Equipment	-	10,296	1,850	1,850	1,850
Total Expenditures	\$1,639,638	\$1,640,761	\$1,983,272	\$1,988,388	\$1,974,344
Expenditures By Funds					
General Revenue	225,615	220,795	228,452	237,569	242,675
Federal Funds	363,576	447,228	624,287	617,462	599,350
Restricted Receipts	1,050,447	972,738	1,130,533	1,133,357	1,132,319
Total Expenditures	\$1,639,638	\$1,640,761	\$1,983,272	\$1,988,388	\$1,974,344

Personnel

Treasury Department Crime Victim Compensation Program

	Grade	FY 2017		FY 2018	
		FTE	Cost	FTE	Cost
Unclassified					
ADMINISTRATOR (TREASURY CRIME VICTIMS)	08531A	1.0	66,715	1.0	69,144
DPTY PRGM ADMINISTRATOR(T C V C	08528A	1.0	63,707	1.0	67,068
APPLICATIONS COORDINATOR (TREAS CRIME	00318A	1.0	56,020	1.0	56,020
ADMINISTRATIVE AIDE (TREASURY)	00316A	1.0	41,506	1.0	42,857
Subtotal		4.0	\$227,948	4.0	\$235,089
Cost Allocation from Other Programs		0.5	36,110	0.5	37,805
Turnover		-	(3,419)	-	(9,404)
Subtotal		0.5	\$32,691	0.5	\$28,401
Total Salaries		4.5	\$260,639	4.5	\$263,490
Benefits					
Payroll Accrual			1,507		1,520
FICA			19,883		20,103
Retiree Health			15,560		15,757
Health Benefits			33,499		34,469
Retirement			68,088		67,958
Subtotal			\$138,537		\$139,807
Total Salaries and Benefits		4.5	\$399,176	4.5	\$403,297
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$89,102		\$90,022
Statewide Benefit Assessment			\$12,047		\$11,782
Payroll Costs		4.5	\$411,223	4.5	\$415,079
Purchased Services					
Information Technology			15,200		15,200
Clerical and Temporary Services			100		100
Other Contracts			2,500		2,500
Subtotal			\$17,800		\$17,800
Total Personnel		4.5	\$429,023	4.5	\$432,879
Distribution By Source Of Funds					
General Revenue		4.5	\$215,633	4.5	\$220,739
Federal Funds		-	\$18,416	-	\$18,204
Restricted Receipts		-	\$194,974	-	\$193,936
Total All Funds		4.5	\$429,023	4.5	\$432,879

Agency

Board Of Elections

Agency Mission

To protect the integrity of the electoral process and to effectively and efficiently administer the provisions of United States and Rhode Island election laws.

Agency Description

The Board of Elections supervises all elections and state and local referenda. The Board supervises the maintenance, preparation and delivery of electronic optical-scan voting equipment, election return forms, and other supplies used at each polling place. The Board instructs and certifies all election officials assigned to polls and prepares and distributes poll worker guides and related materials. The Board also appoints, trains, and assigns bi-partisan pairs of supervisors for the mail ballot program for institutionally confined persons. The Board certifies the results of all elections under its jurisdiction, including tabulating machine and mail ballot votes cast; conducts recounts; certifies results to the Secretary of State; issues certificates of election to candidates; and provides an official tabulation of returns.

The Board oversees voter registration and public education activities and provides all registration materials used in the state. The Board trains and supervises all individuals who serve as statewide voter registration agents. The agency conducts voter registration drives throughout the State and at each institution of higher education in Rhode Island. It oversees and coordinates the registration of voters at other state agencies and departments as part of the National Voter Registration Act. The Board carries out the mandates of the Rhode Island Campaign Contributions and Expenditures Reporting Act (the "Act"). The agency oversees and monitors the campaign finance activities of candidates, political action committees, and state vendors. The Board's responsibilities also include the development, printing and distribution of forms required for candidate, committee, and vendor reports as well as the publication of manuals describing and explaining the requirements set forth in the statutes. The Board reviews and makes available for inspection all reports filed, prepares summaries and an annual report, and is authorized to investigate suspected violations of the Act. The agency oversees and administers the public financing of campaigns program for each of the State's five general offices.

Statutory History

Chapter 825 of the Public Laws of 1901 created the "State Returning Board," which was replaced by Chapter 1040 of the Public Laws of 1941 which created the "Board of Elections" as a partisan board. Chapter 312 of the Public Laws of 1979 amended the law to establish the Board of Elections as a non-partisan board. R.I.G.L. 17-7 sets forth its duties and powers. R.I.G.L. 17-25 sets out the mandates of the Rhode Island Campaign Contributions and Expenditure Reporting Act (public financing of campaigns). R.I.G.L. 42-6 provides for the registration of voters at other state agencies. R.I.G.L. 17-27 provides for reporting requirements and monitoring of political contributions by state vendors. R.I.G.L. 17-19 provides for the use of an optical scan precinct count voting system in state elections.

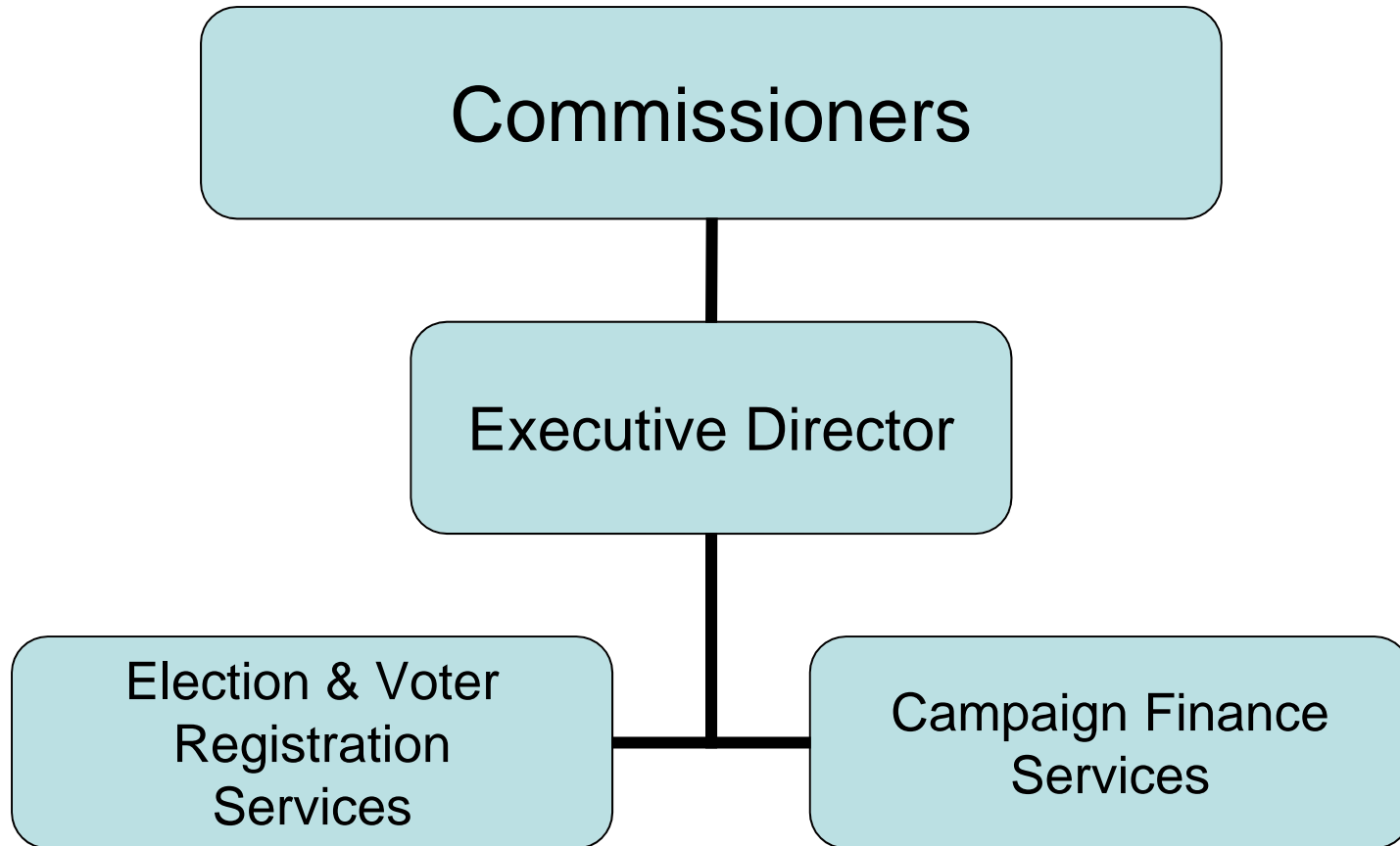
Budget

Board Of Elections

	FY 2015 Audited	FY 2016 Audited	FY 2017 Enacted	FY 2017 Revised	FY 2018 Recommend
Expenditures By Program					
Central Management	4,656,136	1,714,512	1,982,707	2,068,676	1,548,735
Total Expenditures	\$4,656,136	\$1,714,512	\$1,982,707	\$2,068,676	\$1,548,735
Expenditures By Object					
Personnel	1,356,115	1,174,884	1,519,827	1,467,146	1,336,505
Operating Supplies and Expenses	618,193	473,153	454,880	579,930	204,230
Assistance and Grants	2,620,273	28,345	-	-	-
Subtotal: Operating Expenditures	4,594,581	1,676,382	1,974,707	2,047,076	1,540,735
Capital Purchases and Equipment	61,555	38,130	8,000	21,600	8,000
Total Expenditures	\$4,656,136	\$1,714,512	\$1,982,707	\$2,068,676	\$1,548,735
Expenditures By Funds					
General Revenue	4,656,136	1,714,512	1,982,707	2,068,676	1,548,735
Total Expenditures	\$4,656,136	\$1,714,512	\$1,982,707	\$2,068,676	\$1,548,735
FTE Authorization	11.0	11.0	12.0	12.0	12.0

The Agency

Board of Elections



Personnel

Board Of Elections Central Management

	Grade	FY 2017		FY 2018	
		FTE	Cost	FTE	Cost
Unclassified					
EXECUTIVE DIRECTOR	00844A	1.0	145,994	1.0	126,951
PRINCIPAL PROJECTS MANAGER	00831A	1.0	86,924	1.0	86,924
SUPERVISING ACCOUNTANT	00831A	1.0	79,346	1.0	79,346
PLANNING AND PROGRAM DEVELOPMENT	00320A	3.0	150,638	3.0	151,987
ADMINISTRATIVE ASSISTANT	00823A	1.0	47,486	1.0	49,233
SENIOR ADMINISTRATIVE AIDE/TRAINING	00319A	1.0	45,071	1.0	46,673
CONFIDENTIAL SECRETARY	00817A	1.0	41,405	1.0	42,357
SENIOR RECEPTIONIST	00312A	1.0	40,681	1.0	40,681
CLERK/MACHINE DEMONSTRATOR/ASSISTANT	00313A	1.0	37,296	1.0	37,973
ADMINISTRATIVE ASSISTANT	00312A	1.0	36,317	1.0	36,965
COMMISSIONER-BOARD OF ELECTIONS	00510F	-	28,000	-	28,000
Subtotal		12.0	\$739,158	12.0	\$727,090
Overtime		-	10,189	-	-
Temporary and Seasonal		-	275,000	-	-
Turnover		-	(93,855)	-	-
Subtotal		-	\$191,334	-	-
Total Salaries		12.0	\$930,492	12.0	\$727,090
Benefits					
Payroll Accrual			3,663		4,041
Holiday			5,811		-
FICA			53,768		55,471
Retiree Health			36,847		47,008
Health Benefits			137,436		206,773
Retirement			141,521		182,972
Subtotal			\$379,046		\$496,265
Total Salaries and Benefits		12.0	\$1,309,538	12.0	\$1,223,355
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$86,212		\$101,946
Statewide Benefit Assessment			\$23,820		\$29,362
Payroll Costs		12.0	\$1,333,358	12.0	\$1,252,717

Personnel

Board Of Elections Central Management

	Grade	FY 2017		FY 2018	
		FTE	Cost	FTE	Cost
Purchased Services					
Information Technology			12,000		12,000
Clerical and Temporary Services			1,000		1,000
Legal Services			120,000		70,000
Other Contracts			788		788
Subtotal			\$133,788		\$83,788
Total Personnel		12.0	\$1,467,146	12.0	\$1,336,505
Distribution By Source Of Funds					
General Revenue		12.0	\$1,467,146	12.0	\$1,336,505
Total All Funds		12.0	\$1,467,146	12.0	\$1,336,505

Agency

Rhode Island Ethics Commission

Agency Mission

Ensure that public officials and employees adhere to the highest standards of ethical conduct, respect the public trust, avoid the appearance of impropriety, and not use their position for private gain or advantage.

Respond efficiently and thoroughly to public inquiries regarding requirements of the Code of Ethics for public officials and employees.

Thoroughly investigate complaints alleging violations of the provisions of the Code of Ethics in a timely manner.

Expand the use of technology in all aspects of the Commission's operations and ensuring greater public access to information and more effective use of investigative and informational resources.

Provide educational and informational seminars, programs and publications for public officials and employees and the public-at-large regarding codes of conduct for public servants and the workings of the Ethics Commission.

Agency Description

The independent, non-partisan Rhode Island Ethics Commission was established pursuant to an amendment to the Rhode Island Constitution, approved by the voters on November 4, 1986. The Commission's first members were appointed on July 25, 1987, pursuant to Title 36, Chapter 14 of the Rhode Island General Laws. The operations of the Ethics Commission include formulating policies and regulations relating to the conduct of public officials and employees; investigating and adjudicating complaints alleging violations of the Code of Ethics; maintaining files on more than 4,500 Financial Disclosure Statements per year; issuing advisory opinions to public officials and employees at their request regarding the application of the Code of Ethics to a specific situation, and educating public officials, employees and members of the public as to the requirements of the Code of Ethics and the functions of the Commission.

The staff of the Commission is headed by an Executive Director/Chief Prosecutor, who is hired by the Commission. The Commission's operations are funded solely with state general revenues.

Statutory History

Title 36 Chapter 14 of the Rhode Island General Laws establishes the Ethics Commission, sets forth the legislative provisions of the Code of Ethics and defines the Commission's advisory, investigative and adjudicative powers.

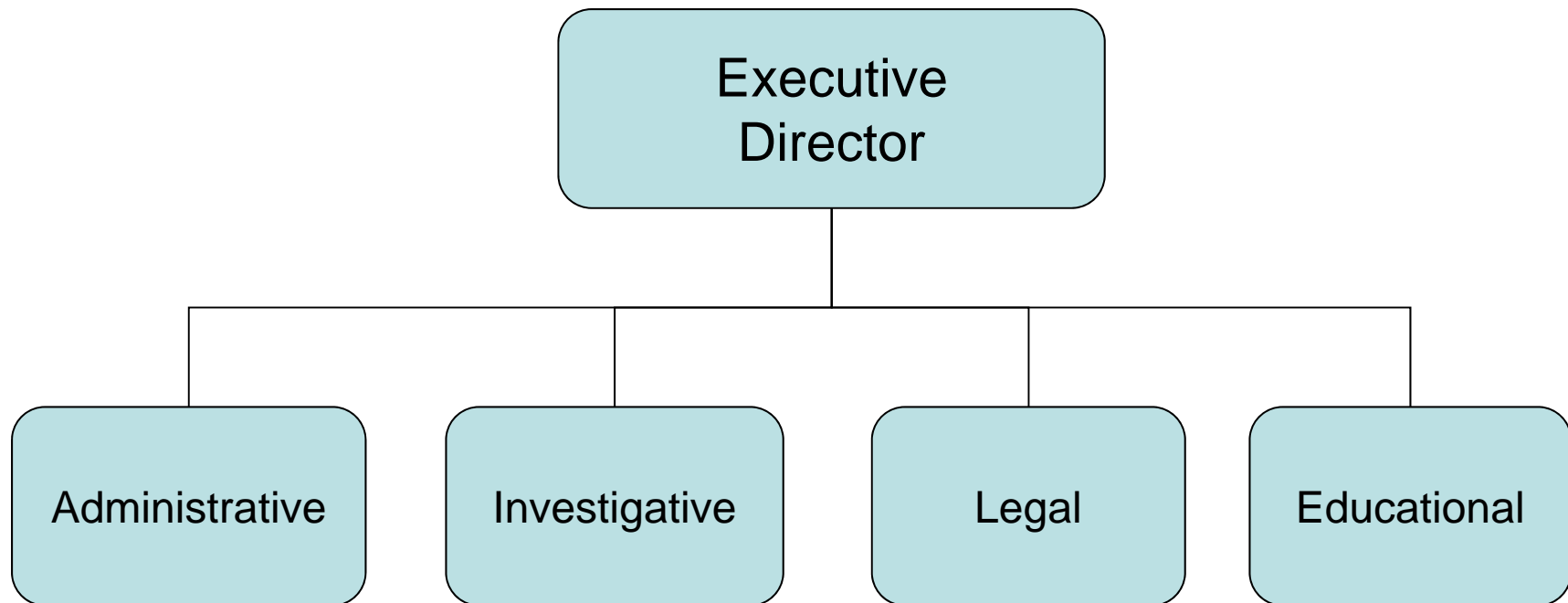
Budget

Rhode Island Ethics Commission

	FY 2015 Audited	FY 2016 Audited	FY 2017 Enacted	FY 2017 Revised	FY 2018 Recommend
Expenditures By Program					
RI Ethics Commission	1,579,038	1,546,331	1,653,383	1,631,610	1,665,873
Total Expenditures	\$1,579,038	\$1,546,331	\$1,653,383	\$1,631,610	\$1,665,873
Expenditures By Object					
Personnel	1,415,091	1,388,787	1,479,796	1,457,523	1,489,160
Operating Supplies and Expenses	152,916	154,218	169,261	169,261	172,387
Subtotal: Operating Expenditures	1,568,007	1,543,005	1,649,057	1,626,784	1,661,547
Capital Purchases and Equipment	11,031	3,326	4,326	4,826	4,326
Total Expenditures	\$1,579,038	\$1,546,331	\$1,653,383	\$1,631,610	\$1,665,873
Expenditures By Funds					
General Revenue	1,579,038	1,546,331	1,653,383	1,631,610	1,665,873
Total Expenditures	\$1,579,038	\$1,546,331	\$1,653,383	\$1,631,610	\$1,665,873
FTE Authorization	12.0	12.0	12.0	12.0	12.0

The Agency

Rhode Island Ethics Commission



Personnel

Rhode Island Ethics Commission

RI Ethics Commission

	Grade	FY 2017		FY 2018	
		FTE	Cost	FTE	Cost
Unclassified					
EXECUTIVE DIRECTOR/CHIEF PROSECUTOR	00845A	1.0	138,301	1.0	138,301
CHIEF OF THE OFFICE OF INVESTIGATIONS	00836A	1.0	107,197	1.0	107,197
STAFF ATTORNEY V	00836A	1.0	99,502	1.0	99,502
STAFF ATTORNEY IV	00834A	1.0	92,876	1.0	92,876
SENIOR CONFIDENTIAL INVESTIGATOR	00832A	1.0	82,418	1.0	82,418
STAFF ATTORNEY II	00830A ²	2.0	135,726	2.0	139,921
SPECIAL PROJECTS COORDINATOR	00829A	1.0	66,919	1.0	69,361
COORDINATOR, SPECIAL PROJECTS	00827A ¹	1.0	62,704	1.0	65,872
INVESTIGATOR I	00823A	1.0	57,792	1.0	57,792
ADMINISTRATIVE ASSISTANT	00816A	1.0	39,692	1.0	40,567
RESEARCH AIDE	00810A	1.0	34,730	1.0	35,333
Subtotal		12.0	\$917,857	12.0	\$929,140
Turnover		-	(11,559)	-	-
Subtotal		-	(\$11,559)	-	-
Total Salaries		12.0	\$906,298	12.0	\$929,140
Benefits					
Payroll Accrual			5,249		5,370
FICA			68,165		70,035
Retiree Health			54,105		55,562
Health Benefits			107,393		114,575
Retirement			240,589		243,454
Subtotal			\$475,501		\$488,996
Total Salaries and Benefits		12.0	\$1,381,799	12.0	\$1,418,136
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$115,150		\$118,178
Statewide Benefit Assessment			\$40,724		\$39,023
Payroll Costs		12.0	\$1,422,523	12.0	\$1,457,159
Purchased Services					
Information Technology			9,000		6,000
Clerical and Temporary Services			5,500		5,000
Legal Services			20,170		20,726
Other Contracts			330		275
Subtotal			\$35,000		\$32,001
Total Personnel		12.0	\$1,457,523	12.0	\$1,489,160
Distribution By Source Of Funds					
General Revenue		12.0	\$1,457,523	12.0	\$1,489,160
Total All Funds		12.0	\$1,457,523	12.0	\$1,489,160

Personnel

Rhode Island Ethics Commission

RI Ethics Commission

	Grade	FY 2017		FY 2018	
		FTE	Cost	FTE	Cost
<i>1 Former Administrative Officer position abolished 9/4/16 and replaced by Coordinator, Special Projects, position. No resulting personnel turnover as new hire was agency incumbent.</i>					
<i>2 On 8/28/16, Staff Attorney I position abolished upon hiring of second Staff Attorney II position. Agency has 2 Staff Attorney II positions as of that date. Newly created position vacant for 4.5 pp of FY 2017. Salary savings calculated as turnover.</i>					

Performance Measures

Rhode Island Ethics Commission

Online Filing of Financial Disclosure

The Ethics Commission is Constitutionally mandated to adopt provisions relating to financial disclosure of public officials. Approximately 4,000 elected and appointed public officials, candidates for elective office, and some public employees file annual financial statements with the Ethics Commission. Such statements are available for public inspection. In 2012, the Commission implemented an online financial disclosure filing system, which benefits both the filer and the Commission through cost savings, ease of filing, and greater efficiency. Filers may choose either to file online or to continue filing a hard copy form. The figures below represent the percentage of financial disclosures submitted online. [Note: 2016 data is as of 9/9/2016.]

	2014	2015	2016	2017	2018
Target	70%	75%	80%	80%	85%
Actual	68.9%	75.1%	79.3%	--	--

Performance for this measure is reported by calendar year.

Ethics Education Training Attendance

The Ethics Commission is statutorily authorized to educate public officials, employees and citizens about the State Code of Ethics. This education occurs through the Commission's website and educational publications, as well as via a public contact system whereby one member of the Commission staff is always on call to speak with members of the public having questions or concerns. The primary method of education is seminars, trainings and workshops provided to public officials, employees and members of the public. Sessions vary in duration and content depending upon the needs and goals of the agency or group receiving training. The figures below represent the number of ethics education training attendees.

	2014	2015	2016	2017	2018
Target	1,650	1,650	1,650	1,500	1,500
Actual	1,512	1,269	1,016	--	--

Performance for this measure is reported by state fiscal year.

Timeliness of Response to Access to Public Records Act Requests

The Ethics Commission is the repository for various public documents filed by, and concerning, public officials and employees. Such documents include yearly financial statements, conflict of interest statements ("recusal forms"), advisory opinions and request letters and complaint materials. Public access to these documents is crucial to fostering and maintaining openness and accountability in public service. RI's Access to Public Records Act (APRA) requires that public documents be made available within 10 business days of a request, unless an extension is necessary. Because of the importance of honoring these requests in a timely fashion, the Ethics Commission has committed to completing the majority of requests within a single business day. Records are made available electronically in most cases to avoid any cost to the person making the request. The figures below represent the percentage of APRA requests completed within one business day.

	2014	2015	2016	2017	2018
Target	85%	85%	85%	85%	85%
Actual	97.5%	93.6%	95.5%	--	--

Performance for this measure is reported by state fiscal year.

Agency

Executive Department

Agency Mission

To fulfill all responsibilities and duties in accordance with the Constitution and Laws of the State of Rhode Island.

To monitor all federal legislation and the federal budget for impact upon Rhode Island.

To process legislative and regulatory opportunities for the State of Rhode Island.

To obtain maximum federal funding for the State of Rhode Island.

To initiate reform and change in both government and the economy for the betterment of all Rhode Island Citizens.

Agency Description

The Office of the Governor is established under the Rhode Island Constitution as one of the general offices and is subject to election by the voters of the State. The Office of the Governor is responsible for the enactment of reform and change in state government for the betterment of the citizens of the State of Rhode Island. In accomplishing this task, the Office of the Governor coordinates the services of a staff of professionals to include the Legislative Affairs Office, Legal Office, Communications Office, and Constituent Affairs Office.

Statutory History

The Governor is the head of the Executive Branch of State government. Among the powers and duties of the Governor under the State Constitution are: faithfully executing the laws (Art IX, Section 2); commanding the state's military and naval forces (Article IX, Section 3); granting reprieves (Articles IX, Section 2); and pardons (Article IX, Section 13); convening special sessions of the general assembly (Article IX, Section 7); and; preparing and presenting to the general assembly an annual state budget (Article IX, Section 15). Each Administration creates its own Office of the Governor for the purpose of governing the State of Rhode Island. The Governor signed Executive Order 11-09 in September, 2011 establishing the Health Benefits Exchange within the Office of the Governor in response to the federal Affordable Care Act 1311 (d)(6). The functions of the exchange has been transferred to the Department of Administration.

The administration of Governor Gina M. Raimondo began on January 6, 2015.

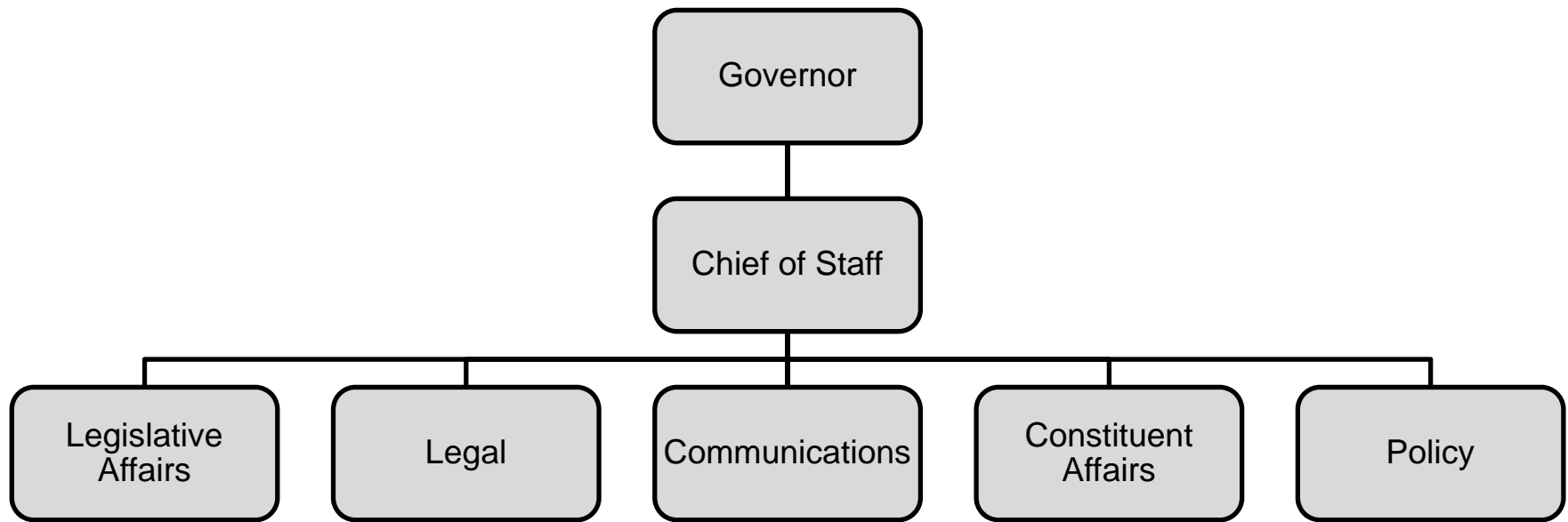
Budget

Executive Department

	FY 2015 Audited	FY 2016 Audited	FY 2017 Enacted	FY 2017 Revised	FY 2018 Recommend
Expenditures By Program					
Central Management	4,401,947	4,755,102	5,091,069	5,375,482	5,397,554
Office of Economic Recovery and Reinvestment	(1)	-	-	-	-
Rhode Island Health Benefits Exchange	(53)	-	-	-	-
Total Expenditures	\$4,401,893	\$4,755,102	\$5,091,069	\$5,375,482	\$5,397,554
Expenditures By Object					
Personnel	4,040,196	4,500,262	4,555,202	4,547,615	4,861,687
Operating Supplies and Expenses	342,678	250,030	265,967	557,967	265,967
Assistance and Grants	-	250	250,000	250,000	250,000
Subtotal: Operating Expenditures	4,382,874	4,750,542	5,071,169	5,355,582	5,377,654
Capital Purchases and Equipment	19,019	4,560	19,900	19,900	19,900
Total Expenditures	\$4,401,893	\$4,755,102	\$5,091,069	\$5,375,482	\$5,397,554
Expenditures By Funds					
General Revenue	4,401,947	4,755,102	5,091,069	5,375,482	5,397,554
Federal Funds	(53)	-	-	-	-
Restricted Receipts	(1)	-	-	-	-
Total Expenditures	\$4,401,893	\$4,755,102	\$5,091,069	\$5,375,482	\$5,397,554
FTE Authorization	45.0	45.0	45.0	45.0	45.0

The Agency

Executive Department



Personnel

Executive Department Central Management

	Grade	FY 2017		FY 2018	
		FTE	Cost	FTE	Cost
Unclassified					
LEGISLATIVE DIRECTOR (GOVERNORS OFFICE)	08350A	1.0	178,899	1.0	178,899
CHIEF OF STAFF (GOVERNOR'S OFFICE)	08353A	1.0	170,460	1.0	175,452
SENIOR LEGAL COUNSEL (GOVERNOR'S OFFICE)	08347A	1.0	155,385	1.0	155,385
DEPUTY CHIEF OF STAFF	08351A	1.0	155,381	1.0	159,932
DEPUTY CHIEF OF STAFF	08348A	2.0	292,603	2.0	301,172
DIRECTOR OF GOVERNOR'S OFFICE	08346A	1.0	146,026	1.0	150,797
GOVERNOR	00527F	1.0	139,695	1.0	139,695
DEPUTY COUNSEL	08345A	3.0	371,131	3.0	383,066
DIR OF COMMUNICATIONS (GOV OFF)	08346A	1.0	122,186	1.0	126,951
DEPUTY DIRECTOR OF COMMUNITCATIONS	08337A	1.0	112,643	1.0	117,412
SPECIAL COUNSEL	08338A	1.0	98,157	1.0	101,132
ASSISTANT DIRECTOR POLICY OFFICE	08339A	2.0	182,582	2.0	187,929
DIRECTOR OF PUBLIC ENGAGEMENT	08332A	1.0	82,752	1.0	84,990
DIRECTOR OF POLICY DEVELOPMENT	08335A	1.0	77,131	1.0	79,947
POLICY ADVISOR (GOVERNOR'S OFFICE)	08333A	1.0	74,012	1.0	74,012
DEPUTY DIRECTOR	08332A	1.0	69,243	1.0	71,780
SENIOR POLICY ANALYST/PUBLIC INFORMATION	08331A	1.0	69,144	1.0	71,169
EXEC ASSISTANT TO THE GOVERNOR	08327A	1.0	67,417	1.0	69,391
SPECIAL ASST TO THE GOVERNOR	08326A	1.0	64,853	1.0	66,752
OFFICE MANAGER (GOVERNOR'S OFFICE)	08328A	1.0	63,707	1.0	65,573
DIRECTOR OF PUBLIC AFFAIRS	08339A	1.0	61,751	1.0	63,559
LEGAL ADMINISTRATIVE ASSISTANT	08326A	1.0	58,779	1.0	60,521
POLICY ANALYST	00833A	2.0	114,368	2.0	117,717
DIR OF CONSTITUENT SVS	08325A	1.0	56,620	1.0	58,278
DIRECTOR OF SCHEDULING (GOVERNORS OFFICE)	08325A	1.0	56,620	1.0	58,278
ASSISTANT TO THE GOVERNOR FOR SPECIAL	08324A	2.0	110,080	2.0	113,964
ADMINISTRATIVE ASSISTANT	08325A	1.0	53,003	1.0	54,757
OUTREACH MANAGER	08324A	1.0	52,559	1.0	54,139
SPECIAL ASST TO THE GOVERNOR	08322A	1.0	48,916	1.0	50,349
APPOINTMENT SPECIAL ASSISTANT (GOVERNORS	08321A	1.0	48,658	1.0	50,083
CONSTITUENT SERV ASSOCIATE II	08319A	1.0	44,111	1.0	45,403
PROTOCOL MANAGER	08319A	1.0	44,070	1.0	45,361
SPEC ASST TO DEP CHF OF STAFF	08319A	1.0	42,860	1.0	42,860
CONSTITUENT SERV ASSOCIATE II	08319A	2.0	84,948	2.0	87,499
LEGISLATIVE AIDE (GOV OFF)	08317A	1.0	41,542	1.0	40,421
DIRECTOR OF APPOINTMENTS	00883F	1.0	40,000	1.0	75,000
SENIOR ADMINISTRATIVE AIDE	08317A	1.0	39,920	1.0	41,089
COMMUNICATIONS ASSOCIATE	08313A	1.0	36,471	1.0	37,539
Subtotal		45.0	\$3,728,683	45.0	\$3,858,253

Personnel

Executive Department Central Management

	Grade	FY 2017		FY 2018	
		FTE	Cost	FTE	Cost
Interdepartmental Transfer		-	(512,357)	-	(521,466)
Turnover		-	(258,556)	-	(231,175)
Subtotal		-	(\$770,913)	-	(\$752,641)
Total Salaries		45.0	\$2,957,770	45.0	\$3,105,612
Benefits					
Payroll Accrual			17,069		18,790
FICA			213,308		234,813
Retiree Health			176,576		194,702
Health Benefits			270,230		323,794
Retirement			779,258		846,730
Subtotal			\$1,456,441		\$1,618,829
Total Salaries and Benefits		45.0	\$4,414,211	45.0	\$4,724,441
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$98,094		\$104,988
Statewide Benefit Assessment			\$132,904		\$136,746
Payroll Costs		45.0	\$4,547,115	45.0	\$4,861,187
Purchased Services					
Other Contracts			400		400
Buildings and Ground Maintenance			100		100
Subtotal			\$500		\$500
Total Personnel		45.0	\$4,547,615	45.0	\$4,861,687
Distribution By Source Of Funds					
General Revenue		45.0	\$4,547,615	45.0	\$4,861,687
Total All Funds		45.0	\$4,547,615	45.0	\$4,861,687

Agency

Rhode Island Commission For Human Rights

Agency Mission

To enforce state and federal anti-discrimination laws.

Agency Description

The Rhode Island Commission for Human Rights enforces Rhode Island anti-discrimination laws in the areas of employment, housing, public accommodations, credit, and delivery of services. The employment and public accommodation statutes prohibit discrimination based on race, color, sex, religion, age, sexual orientation and gender identity/expression, disability and ancestral origin. The housing and credit statutes also prohibit discrimination based on marital status, familial status, housing status and association with members of a protected class. The housing statute additionally prohibits discrimination on the basis of status as a victim of domestic abuse. The delivery of service statute prohibits discrimination on the basis of disability.

The Commission's major program activities include outreach and education, intake, investigation, conciliation and administrative hearings. Staff members perform outreach and education activities voluntarily and frequently, after normal working hours. Intake involves the receipt and evaluation of inquiries. If the allegations present a prima facie case of discrimination, a formal charge of discrimination is prepared and forwarded to the respondent. Investigators conduct an impartial analysis of evidence obtained from both parties, compare all elements of the case and attempt to negotiate a resolution. Where resolution is not achieved, investigators make a recommendation on the merits of the charge to a Preliminary Investigating Commissioner ("PIC"). The PIC makes a formal ruling as to whether there is "Probable Cause" or "No Probable Cause" in respect to the allegations of the charge. A No Probable Cause ruling terminates proceedings at the Commission. Upon a "Probable Cause" ruling, the commission attempts to conciliate the matter. The parties have the opportunity to elect that the matter be heard in Superior Court. Where conciliation is unsuccessful, and the parties have elected to proceed at the commission, an administrative hearing is conducted. At the administrative hearing, evidence is admitted and sworn testimony is heard before a Commissioner; a court stenographer also is present. The commission renders a formal decision and order following an administrative hearing.

Statutory History

The commission was created and empowered in 1949 by Title 28, Chapter 5 of the General Laws of Rhode Island (the Fair Employment Practices Act). The commission has been given statutory responsibility to enforce the following laws: R.I.G.L. § 28-5-1 et seq.; R.I.G.L. § 34-37-1 et seq. (Fair Housing Practices Act); R.I.G.L. § 11-24-1 et seq. (Hotels and Public Places); R.I.G.L. §§ 23-6-22 and 23-6-23 (Prevention and Suppression of Contagious Diseases); R.I.G.L. § 42-87-1 et seq. (Civil Rights of People with Disabilities); and R.I.G.L. § 40-9.1-1 et seq. (Equal Rights of Blind and Deaf Persons to Public Facilities). In addition to state laws, the Commission assists the federal government in enforcing the following federal laws: Title VII of the Civil Rights Act of 1964, the Age Discrimination in Employment Act, the Americans with Disabilities Act and Title VIII of the Federal Fair Housing Law, as amended. During the 2013 legislative session, there was one substantive change to the laws enforced by the Commission. On July 15, 2013, Governor Lincoln Chafee signed into law the so-called "Ban the Box" legislation. The law, which became effective on January 1, 2014, amends the state Fair Employment Practices Act to prohibit employers from inquiring before a first interview, either via an employment application or otherwise, whether an applicant has been convicted of a crime; certain enumerated exceptions apply.

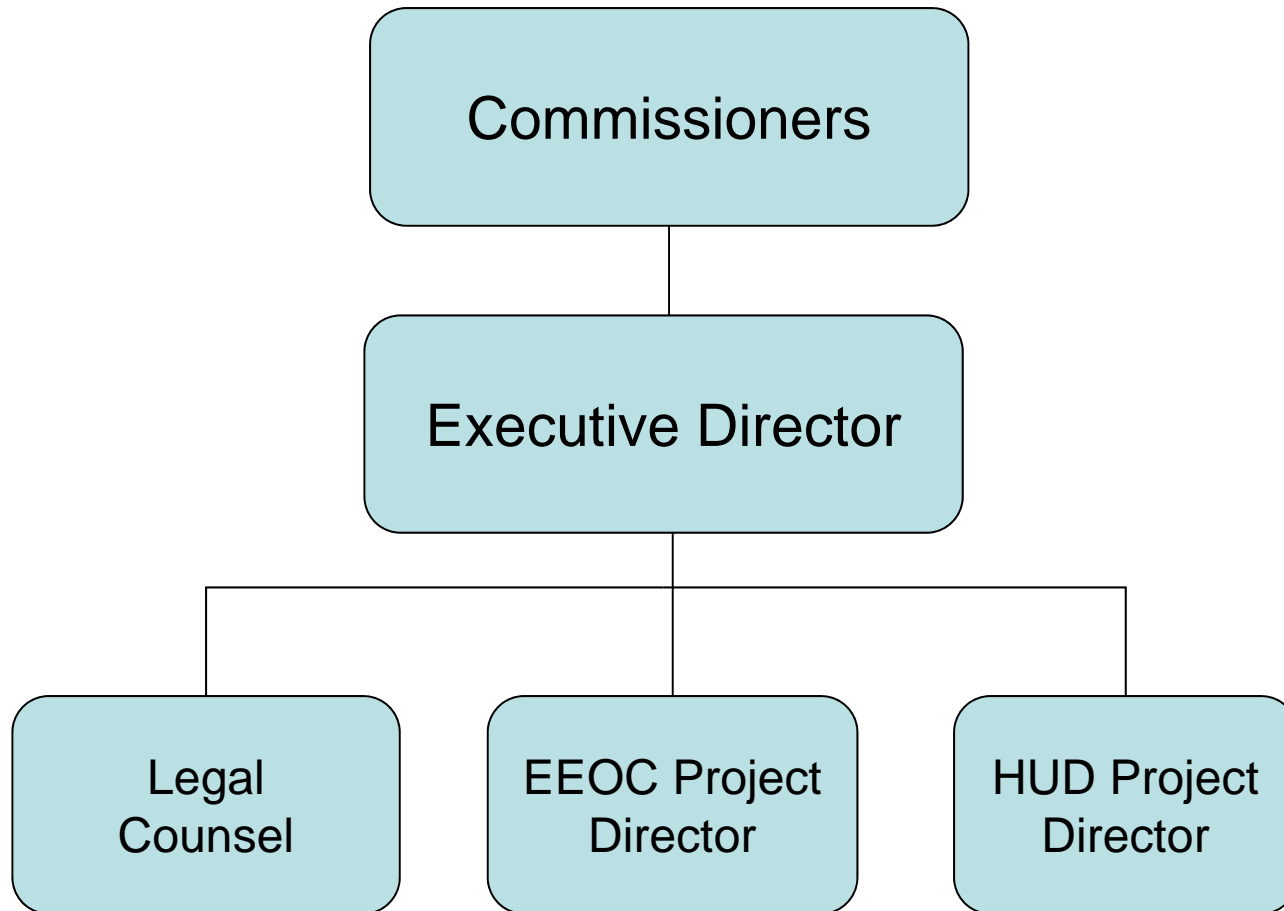
Budget

Rhode Island Commission For Human Rights

	FY 2015 Audited	FY 2016 Audited	FY 2017 Enacted	FY 2017 Revised	FY 2018 Recommend
Expenditures By Program					
Central Management	1,556,738	1,521,437	1,581,423	1,646,008	1,690,102
Total Expenditures	\$1,556,738	\$1,521,437	\$1,581,423	\$1,646,008	\$1,690,102
Expenditures By Object					
Personnel	1,298,126	1,267,406	1,317,051	1,375,592	1,418,681
Operating Supplies and Expenses	258,112	254,031	264,372	270,416	271,421
Assistance and Grants	500	-	-	-	-
Subtotal: Operating Expenditures	1,556,738	1,521,437	1,581,423	1,646,008	1,690,102
Total Expenditures	\$1,556,738	\$1,521,437	\$1,581,423	\$1,646,008	\$1,690,102
Expenditures By Funds					
General Revenue	1,225,335	1,242,374	1,258,128	1,247,603	1,258,074
Federal Funds	331,403	279,063	323,295	398,405	432,028
Total Expenditures	\$1,556,738	\$1,521,437	\$1,581,423	\$1,646,008	\$1,690,102
FTE Authorization	14.5	14.5	14.5	14.5	14.5

The Agency

Rhode Island Commission For Human Rights



Personnel

Rhode Island Commission For Human Rights Central Management

	Grade	FY 2017		FY 2018	
		FTE	Cost	FTE	Cost
Unclassified					
EXECUTIVE SECRETARY - HUMAN RIGHTS	00840A	1.0	103,134	1.0	108,376
STAFF ATTORNEY IV	00834A ¹	1.0	94,800	1.0	94,622
STAFF ATTORNEY III	00832A	1.0	69,243	1.0	71,780
HUD PROJECT DIRECTOR	00326A	1.0	64,770	1.0	66,891
EEOC PROJECT DIRECTOR - HUMAN RIGHTS	00325A	1.0	60,818	1.0	62,831
CHIEF CLERK	00E18A	1.0	54,528	1.0	56,195
SENIOR COMPLIANCE OFFICER (HUMAN RIGHTS)	00322A	3.5	186,091	3.5	191,147
INVESTIGATOR (HUMAN RIGHTS)	00319A	3.0	138,985	3.0	141,593
ADMINISTRATIVE AIDE (COMMISSION FOR HUMAN RIGHTS)	00313A	2.0	82,051	2.0	83,541
Subtotal		14.5	\$854,420	14.5	\$876,976
Turnover		-	(58,564)	-	(22,986)
Subtotal		-	(\$58,564)	-	(\$22,986)
Total Salaries		14.5	\$795,856	14.5	\$853,990
Benefits					
Payroll Accrual			4,700		4,922
FICA			62,069		65,328
Retiree Health			48,953		51,066
Health Benefits			188,137		177,057
Retirement			214,170		219,958
Subtotal			\$518,029		\$518,331
Total Salaries and Benefits		14.5	\$1,313,885	14.5	\$1,372,321
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$90,613		\$94,643
Statewide Benefit Assessment			\$37,337		\$37,110
Payroll Costs		14.5	\$1,351,222	14.5	\$1,409,431
Purchased Services					
Clerical and Temporary Services			17,670		2,550
Legal Services			1,850		1,850
Other Contracts			1,350		1,350
Training and Educational Services			3,500		3,500
Subtotal			\$24,370		\$9,250
Total Personnel		14.5	\$1,375,592	14.5	\$1,418,681
Distribution By Source Of Funds					
General Revenue		12.0	\$1,013,462	12.0	\$1,022,928
Federal Funds		2.5	\$362,130	2.5	\$395,753
Total All Funds		14.5	\$1,375,592	14.5	\$1,418,681

¹ FY 17 Cost includes one week of pay for a Staff Attorney IV who retired on July 8, 2016

Performance Measures

Rhode Island Commission For Human Rights

Cases Processed

The figures below represent the number of cases achieving a final disposition (e.g., settlement, No Probable Cause ruling, administrative closure, Decision & Order) as well as cases in which a ruling of Probable Cause has been rendered. Although Probable Cause rulings do not constitute closures, they are recorded in order to present an accurate record of casework completed by staff and Commissioners. The data is from internal agency case-tracking records.

	2014	2015	2016	2017	2018
Target	414	414	405	407	407
Actual	376	425	434	--	--

Performance for this measure is reported by state fiscal year.

Outreach Activities

The figures below represent the number of outreach activities conducted by agency staff in compliance with the statutory mandate of R.I.G.L. § 28-5-14. A higher number of outreach activities generally indicates a greater success at compliance with the statute. The data is from internal agency outreach tracking records.

	2014	2015	2016	2017	2018
Target	28	28	36	38	38
Actual	46	45	46	--	--

Performance for this measure is reported by state fiscal year.

Average Case Age at Closure

This performance measure assesses the average age, in days, of a case at final disposition. A lower number reflects the more expeditious processing of cases. The data is from internal agency case-tracking records.

	2014	2015	2016	2017	2018
Target	365 Days	365 Days	365 Days	365 Days	365 Days
Actual	308 Days	300 Days	331 Days	--	--

Performance for this measure is reported by state fiscal year.

Agency

Public Utilities Commission

Agency Mission

To provide fair regulation of public utilities, CATV, common carriers, and major energy facilities; ensure just and reasonable rates; ensure sufficient utility infrastructure to promote economic development; implement legislative mandates that facilitate renewable electric generation, improve water availability, deliver rapid communications and assure that Rhode Island is on the cutting edge of reliability and environmental quality regulation; and cooperate with other state and federal government agencies to coordinate efforts to meet the above objectives.

Agency Description

The Public Utilities Commission (PUC) comprises two distinct regulatory bodies: a three member Commission (Commission) and the Division of Public Utilities and Carriers (Division). The Public Utilities Commission serves as a quasi-judicial tribunal with jurisdiction, powers, and duties to implement and enforce the standards of conduct under §39-1-27.6 and to hold investigations and hearings involving the rates, tariffs, tolls, and charges, and the sufficiency and reasonableness of facilities and accommodations of railroad, ferry boats, gas, electric distribution, water, telephone, telegraph, and pipeline public utilities, the location of railroad depots and stations, and the control of grade crossings, the revocation, suspension or alteration of certificates issued pursuant to §39-19-4, appeals under §39-1-30, petitions under §39-1-31, and proceedings under §39-1-32. Through participation in the Energy Facility Siting Board, the Commission's chair also exercises jurisdiction over the siting of major energy facilities, pursuant to Chapter 42-98.

The Division, which is headed by an Administrator, who is not a Commissioner, exercises the jurisdiction, supervision, powers and duties not specifically assigned to the Commission, including the execution of all laws relating to public utilities and carriers and all regulations and orders of the Commission governing the conduct and charges of public utilities. The Division has exclusive jurisdiction over the rates, tariffs, tolls and charges, and the sufficiency, and reasonableness of facilities and accommodations of common carriers of property and passengers over the State's public roadways, pursuant to Chapters 39-12, 39-13, and 39-14. Additionally, the Division supervises and regulates Community Antenna Television Systems (CATV) in Rhode Island; certifies all public utilities; and has independent regulatory authority over the transactions between public utilities and affiliates, and all public utility equity and debt issuances. The Division's consumer and engineering sections handle approximately 23,000 telephone inquires annually. In addition, the consumer section conducts a high volume of informal reviews and formal evidentiary hearings under the Rules Governing the Termination of Residential Electric, Gas and Water Utility Services. The hearings provide a forum for consumers to develop payment plans, under the guidelines established in the rules.

Statutory History

The PUC and its predecessor agency, the Rhode Island Railroad Commission, have been regulating utilities in this state since 1839. The Railroad Commission was later abolished by an act of the legislature, leading to the creation of the more comprehensive Public Utility Commission in 1912. From 1981 to 1996, the duties of the Administrator of the Division and Chairperson of the Commission were combined in a single position. The Utility Restructuring Act of 1996 later divided these duties into two separate positions: Chairman of the Commission and Administrator, Division of Public Utilities and Carriers.

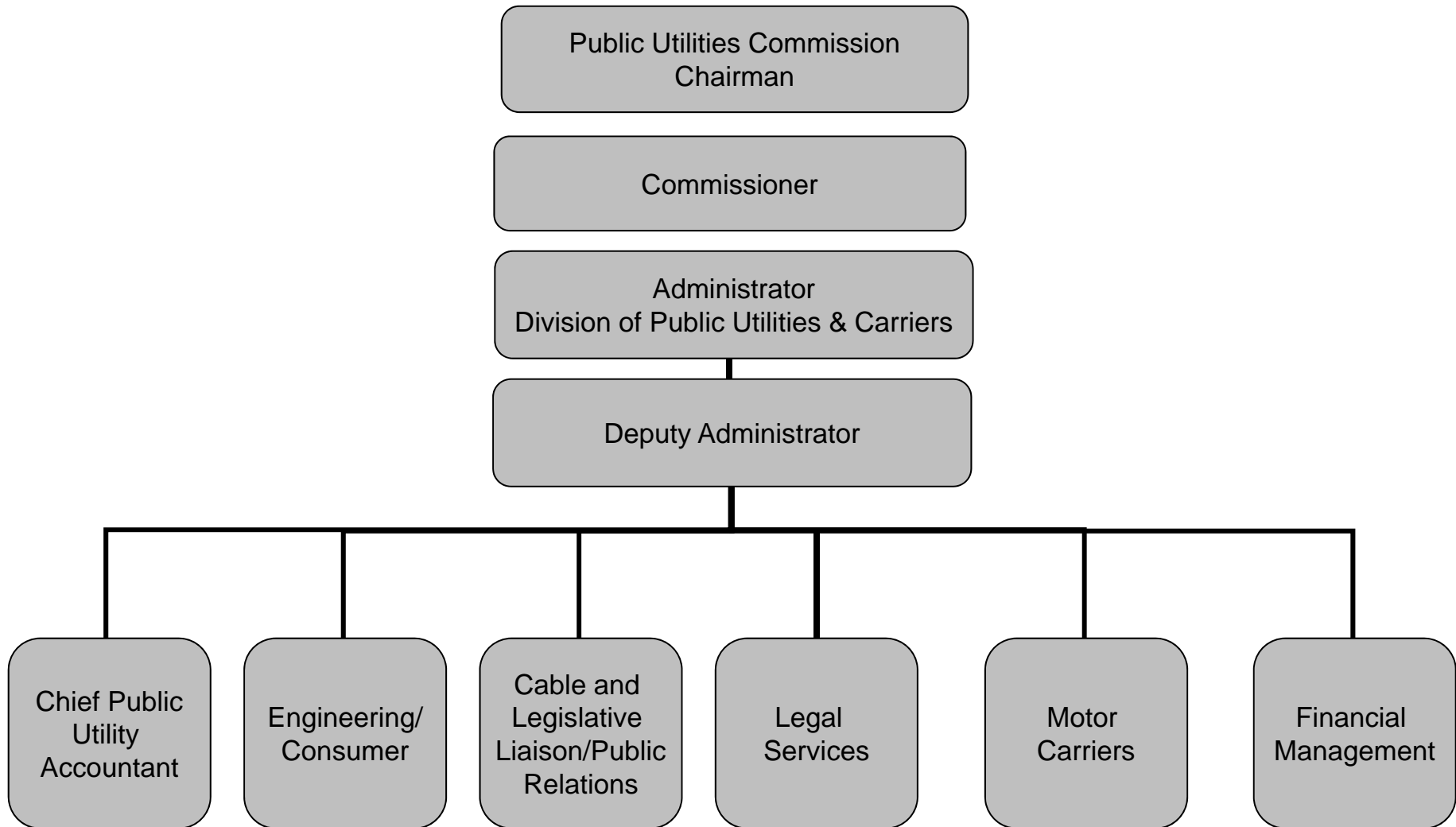
Budget

Public Utilities Commission

	FY 2015 Audited	FY 2016 Audited	FY 2017 Enacted	FY 2017 Revised	FY 2018 Recommend
Expenditures By Program					
Central Management	6,762,365	7,277,989	8,926,973	9,177,801	9,733,377
Total Expenditures	\$6,762,365	\$7,277,989	\$8,926,973	\$9,177,801	\$9,733,377
Expenditures By Object					
Personnel	6,135,296	6,627,828	7,925,105	8,122,597	8,536,490
Operating Supplies and Expenses	570,316	580,032	951,531	975,204	1,126,887
Assistance and Grants	-	-	337	-	-
Subtotal: Operating Expenditures	6,705,612	7,207,860	8,876,973	9,097,801	9,663,377
Capital Purchases and Equipment	56,753	70,129	50,000	80,000	70,000
Total Expenditures	\$6,762,365	\$7,277,989	\$8,926,973	\$9,177,801	\$9,733,377
Expenditures By Funds					
Federal Funds	81,792	107,180	104,669	128,000	129,225
Restricted Receipts	6,680,573	7,170,809	8,822,304	9,049,801	9,604,152
Total Expenditures	\$6,762,365	\$7,277,989	\$8,926,973	\$9,177,801	\$9,733,377
FTE Authorization	50.0	50.0	51.0	54.0	57.0

The Agency

Public Utilities Commission



Personnel

Public Utilities Commission Central Management

	Grade	FY 2017		FY 2018	
		FTE	Cost	FTE	Cost
Classified					
ASSISTANT DIRECTOR FOR LEGAL SERVICES (DOT)	00141A	1.0	125,504	1.0	125,504
CHIEF PUBLIC UTILITIES ACCOUNTANT	00140A	1.0	119,451	1.0	119,451
CHIEF OF LEGAL SERVICES	00139A	1.0	115,214	1.0	115,214
PUBLIC UTILITIES DEPUTY ADMINISTRATOR	00141A	1.0	104,582	1.0	102,860
ASSOCIATE PUBLIC UTILITIES ADMIN FOR OPER &	00136A	1.0	102,155	1.0	102,155
CHIEF FINANCIAL ANALYST	00138A	1.0	101,046	1.0	101,046
DEPUTY CHIEF OF LEGAL SERVICES	00137A ³	2.0	186,446	3.0	264,795
ASSISTANT TO CHIEF PUBLIC UTILITIES	0AB34A	1.0	92,450	1.0	92,450
ASSOCIATE PUBLIC UTILITIES ADMINISTRATOR	00136A	1.0	91,353	1.0	91,353
INVESTIGATIVE AUDITOR	00133A	1.0	90,896	1.0	90,896
ADMINISTRATOR, FINANCIAL MANAGEMENT	00137A	1.0	89,756	1.0	91,601
ASSOCIATE ADM CABLE TV & LEGIS LIAIS FOR	00136A	1.0	88,441	1.0	88,441
PUBLIC UTILITIES ANALYST V	0AB33A	4.0	344,802	4.0	346,447
CHIEF COMPLIANCE INSPECTOR	0AB30A	1.0	74,494	1.0	75,051
SENIOR LEGAL COUNSEL	00134A	3.0	222,686	3.0	222,427
CHIEF PROGRAM DEVELOPMENT	00134A ²	1.0	70,342	1.0	71,121
PUBLIC UTILITIES ANALYST IV	0AB27A	1.0	69,987	1.0	69,987
PRINCIPAL AUDITOR	0AB28A	1.0	65,248	1.0	65,248
PUBLIC UTILITIES ENGINEERING SPECIALIST II	0AB28A	4.0	251,898	4.0	254,660
PROGRAMMING SERVICES OFFICER	00131A	1.0	62,721	1.0	64,196
CHIEF CONSUMER AGENT (DPUC)	0AB24A	1.0	61,805	1.0	61,805
CHIEF FIELD INVESTIGATOR (MOTOR VEHICLES)	0AB24A	1.0	58,303	1.0	58,303
INFORMATION SERVICES TECHNICIAN II	0AB20A	1.0	48,246	1.0	49,499
MOTOR CARRIER COMPLIANCE INSPECTOR	0AB20A	2.0	96,068	2.0	96,068
PUBLIC UTILITIES ANALYST II	0AB22A	1.0	46,411	1.0	48,101
CONSUMER AGENT (DPUC)	0AB18A	5.0	220,802	5.0	221,521
INFORMATION SERVICES TECHNICIAN I	0AB16A	2.0	88,276	2.0	88,276
CUSTOMER SERVICE SPECIALIST I	0AB15A	1.0	39,678	1.0	39,678
FISCAL MANAGEMENT OFFICER	0AB26A ⁴	-	-	1.0	50,419
REGULATORY RESEARCH SPECIALIST	0AB25A ⁵	-	-	1.0	48,360
Subtotal		43.0	\$3,129,061	46.0	\$3,316,933
Unclassified					
ADMINISTRATOR, DIVISION OF PUBLIC UTILITIES &	00847A	1.0	145,462	1.0	141,259
CHAIRPERSON, PUBLIC UTILITIES COMMISSION	00842A	1.0	117,412	1.0	121,819
MEMBER, PUBLIC UTILITIES COMMISSION	00839A	2.0	221,684	2.0	231,142
PRINCIPAL POLICY ASSOCIATE	00837A	1.0	92,289	1.0	93,575
ADMINISTRATIVE ASSISTANT	00129A	1.0	83,799	1.0	83,799
STAFF ATTORNEY II	00830A	1.0	65,351	1.0	66,525
ADMINISTRATIVE ASSISTANT	00822A ¹	4.0	204,622	4.0	208,373
Subtotal		11.0	\$930,619	11.0	\$946,492

Personnel

Public Utilities Commission Central Management

	Grade	FY 2017		FY 2018	
		FTE	Cost	FTE	Cost
Overtime		-	52,500	-	52,500
Turnover		-	(237,993)	-	(89,245)
Subtotal		-	(\$185,493)	-	(\$36,745)
Total Salaries		54.0	\$3,874,187	57.0	\$4,226,680
Benefits					
Payroll Accrual			22,098		24,253
FICA			292,185		321,735
Retiree Health			231,154		252,619
Health Benefits			647,971		778,396
Retirement			1,004,946		1,083,456
Subtotal			\$2,198,354		\$2,460,459
Total Salaries and Benefits		54.0	\$6,072,541	57.0	\$6,687,139
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$112,454		\$117,318
Statewide Benefit Assessment			\$181,531		\$198,276
Payroll Costs		54.0	\$6,254,072	57.0	\$6,885,415
Purchased Services					
Information Technology			108,000		108,000
Clerical and Temporary Services			90,170		84,045
Management & Consultant Services			1,175,062		995,062
Legal Services			443,000		423,000
Other Contracts			23,293		11,968
Buildings and Ground Maintenance			29,000		29,000
Subtotal			\$1,868,525		\$1,651,075
Total Personnel		54.0	\$8,122,597	57.0	\$8,536,490
Distribution By Source Of Funds					
Federal Funds		1.0	\$121,752	1.0	\$122,967
Restricted Receipts		53.1	\$8,000,845	56.1	\$8,413,523
Total All Funds		54.0	\$8,122,597	57.0	\$8,536,490

1 2.0 FTE add in FY 2017 and FY 2018, one in Public Utility Commission and one in Division of Public Utilities and Carriers

3 1.0 FTE in Legal Services in FY 2018 to meet new statutory obligations and regulatory backlog

5 1.0 FTE add in FY 2018 in Policy Unit of Public Utilities Commission to meet increases in regulatory research and analysis resulting from existing statutes and new initiatives

2 1.0 FTE add in FY 2017 & FY 2018 in Policy Unit of Public Utilities Commission to meet increased workload from rate modernization and other filings

4 1.0 FTE add in FY 2018 in Public Utility Commission to meet increased workload for expanded rate and grid modernization dockets.

Performance Measures

Public Utilities Commission

Timeliness of Motor Carrier Applications and Reports

When the Division of Public Utilities and Carriers (DPUC) receives an application for authority to operate as a motor carrier (e.g., taxi, limousine, water taxi, etc.), it is docketed and a public hearing is scheduled and advertised. Following the hearing, DPUC issues a formal written report approving or denying the application. The figures below represent the percentage of motor carrier applications completed within 60 business days.

	2014	2015	2016	2017	2018
Target	95%	95%	95%	95%	95%
Actual	90.7%	91.7%	97.5%	--	--

Performance for this measure is reported by state fiscal year.

Timeliness of Cable Service and Telecom Inquiry Resolution

DPUC receives cable and telecom customer inquiries involving billing disputes, tariff issues, rate increases, interconnect issues, product installation, repairs, and service quality. The figures below represent the percentage of inquiries resolved within 60 business days.

	2014	2015	2016	2017	2018
Target	100%	100%	100%	100%	100%
Actual	99.8%	100%	98.8%	--	--

Performance for this measure is reported by state fiscal year.

Timeliness of Informal Consumer Payment Plan Process

Customers enroll in a payment plan in accordance with the Commission's rules and regulations to avoid utility service termination or to have their service restored. The figures below represent the percentage of informal consumer payment agreements processed within 60 days of an inquiry.

	2014	2015	2016	2017	2018
Target	90%	90%	90%	90%	90%
Actual	96.4%	95.2%	95.5%	--	--

Performance for this measure is reported by state fiscal year.

Timeliness of Consumer Billing Complaint Investigations

DPUC investigates complaints involving motor carriers and public utilities including electric, gas, and water providers. Non-payment related billing complaints are often attributable to clerical error, customer misunderstanding, and faulty utility meters. The figures below represent the percentage of non-payment related billing complaint investigations completed within 60 business days.

	2014	2015	2016	2017	2018
Target	90%	90%	90%	90%	90%
Actual	99.2%	97.9%	98.6%	--	--

Performance for this measure is reported by state fiscal year.

Quasi-Public Agencies

The Agency

Rhode Island Airport Corporation

Agency Operations

The Rhode Island Airport Corporation (Corporation) was created by the Rhode Island Commerce Corporation (formerly the Rhode Island Economic Development Corporation) on December 9, 1992, as a subsidiary public corporation, government agency, and public instrumentality, having a distinct legal existence from the state and the authority, and having many of the same powers and purposes of the authority. The Corporation is empowered to undertake the planning, development, management, acquisition, ownership, operation, repair, construction, renovation, sale, lease or other disposition of any airport facility, including the T.F. Green State Airport in Warwick, as well as the five general aviation airports: North Central, Quonset, Westerly, Newport, and Block Island Airports.

The powers of the Corporation are vested in its Board of Directors consisting of seven members. The Corporation does not have the power to issue bonds or notes or borrow money without the approval of the Rhode Island Commerce Corporation.

The Corporation leases the airports from the State of Rhode Island, through the Department of Transportation (DOT). Under the lease agreement, the State and DOT have assigned all rights to airport revenues, the proceeds of the State general obligation bonds issued for airport projects, federal grant agreements, insurance proceeds, all contracts including concession agreements with vendors and airlines, and all licenses and permits. The Corporation has agreed to reimburse the State for general obligation debt service after July 1, 1993, to the extent that money is available from its funds. In the event of insufficient funds, the unpaid debt shall accrue and be payable in the following year.

The Corporation assumes responsibility for the airports and undertaking capital improvements. The Corporation is intended to provide more flexibility in the provision of state match funds for all airport related projects by utilizing revenue bonds backed by revenue from parking, car rental, and other concessionaires, including landing fees paid by airlines, as well as a passenger facility charge that the federal government has empowered local airports to collect in order to finance capital improvements.

The Corporation is entitled to receive funds from the Federal Aviation Administration (FAA) on a matching fund basis to improve the state's airport system and finance various airport operations and improvements typically range between 75-90%.

The Corporation does not receive any state appropriations from the State of Rhode Island for the operation and maintenance and capital programs relating to T.F. Green and the five general aviation airports. All of the operating and maintenance expenses, as well as operating capital programs and projects, are funded directly by revenues derived from airport operations. The debt service related to historical general obligation bonds that were issued by the State of Rhode Island for airport related projects is the responsibility of the Corporation and the Corporation is current with respect to that responsibility.

The Budget

Rhode Island Airport Corporation

	FY 2015 Actual	FY 2016 Actual	FY 2017 Revised	FY 2018 Recommended
Revenue: (T.F. Green)				
Passenger Airline Revenues	23,728,300	23,417,100	24,461,200	23,421,600
Landing Fees - Cargo	612,300	682,000	650,000	682,500
General Aviation	292,000	319,200	300,000	319,200
Fuel Flowage Fees	878,000	808,100	814,300	830,600
Tiedown & Hanger Fees	1,263,700	1,403,200	1,471,000	1,630,400
Aircraft Registration	18,200	22,000	21,000	22,000
Concessions	3,628,300	3,743,600	3,795,000	3,870,900
Miscellaneous Revenues	186,300	192,100	160,000	163,200
Utilities Reimbursement	382,000	348,400	335,000	341,700
Terminal Rent-Non Airlines	1,031,400	1,031,100	943,800	962,700
Automobile Parking	11,383,400	13,398,600	13,800,000	14,761,000
Rental Car Parking	5,035,100	5,206,000	5,185,000	5,288,700
Off Airport Courtesy Fees	705,500	621,400	579,600	500,000
Bad Debt Recoveries (Expense), Net	(19,400)	-	-	-
Audit & Finance Charge	2,700	100	-	-
Federal Grants - FAA	501,800	312,100	268,300	268,300
Airport Support Fund - Revenue A65	825,000	869,600	1,080,000	1,090,000
Total Revenue	\$50,454,600	\$52,374,600	\$53,864,200	\$54,152,800
Personnel Expenses: (T.F. Green)				
Payroll	11,186,600	11,232,900	12,020,000	12,260,400
Payroll - Overtime	624,100	639,600	513,600	523,900
Snow Removal Overtime	417,100	193,300	211,300	215,500
Overtime-Holiday	340,100	361,200	318,000	324,400
Employee Retirement	1,030,700	1,184,200	1,084,300	1,086,000
FICA Tax	912,200	892,900	959,000	978,200
Long Term Disability & Life Insurance	159,700	164,600	170,000	178,500
Workers' Comp Insurance	409,800	446,100	460,000	469,200
Health Insurance	2,012,000	2,168,300	2,468,000	2,714,800
Total Personnel Expenses	\$17,092,300	\$17,283,100	\$18,204,200	\$18,750,900
Total Expenses - Operating	10,405,000	10,764,400	11,498,400	11,229,300
Total Expenditures	\$27,497,300	\$28,047,500	\$29,702,600	\$29,980,200
Net Income from Operations	\$22,957,300	\$24,327,100	\$24,161,600	\$24,172,600

The Budget

Rhode Island Airport Corporation

	FY 2015 Actual	FY 2016 Actual	FY 2017 Revised	FY 2018 Recommended
Outlying Airports				
Revenues	3,068,200	3,418,600	3,387,400	3,489,000
Payroll Expenses	(1,692,000)	(1,748,600)	(1,905,400)	(1,967,100)
Operating Expenses	(1,562,500)	(1,393,100)	(1,425,200)	(1,453,700)
Airport Management Fee	(165,500)	(171,000)	(155,500)	(158,600)
Net Gain (Loss) Outlying Airport	(\$351,800)	\$105,900	(\$98,700)	(\$90,400)
Depreciation & Amortization	20,268,800	22,655,100	23,700,000	24,064,000
Net Income(Loss) After Depreciation and Amortization	\$2,336,700	\$1,777,900	\$362,900	\$18,200
Other Income & Expenses				
Interest Income	102,600	152,100	150,000	160,000
Interest Expense	(4,300)	(500)	(46,500)	(42,700)
Interest Expense - All Bonds	(10,841,000)	(9,634,100)	(8,080,000)	(7,500,000)
Gain (Loss) on Sale of Assets	(700)	(21,800)	-	-
Miscellaneous Income (Expense)	415,600	600	-	-
Interest Income - PFC	7,000	16,600	12,000	12,000
Passenger Facility Charge	7,152,000	7,151,500	7,437,000	7,585,700
Federal Grants - FAA	42,046,600	37,543,400	42,295,900	18,013,000
Miscellaneous Grants & Contributions	3,148,800	(10,109,400)	(8,640,000)	-
Land Acquisition Program	(14,652,000)	(12,987,000)	(19,320,800)	(14,626,100)
Total Non-Operating Income & Exp	\$27,374,600	\$12,111,400	\$13,807,600	\$3,601,900
Intermodal Facility Operations (b)				
Facility Revenues	7,836,300	7,845,500	7,702,200	7,856,200
Operating Expenses	(1,553,400)	(1,314,000)	(1,470,000)	(1,499,400)
Depreciation	(4,559,000)	(4,586,500)	(4,620,000)	(4,620,000)
Interest Expense	(4,495,500)	(4,453,300)	(4,960,000)	(4,365,000)
Total Intermodal Facility, Net	(\$2,771,600)	(\$2,508,300)	(\$3,347,800)	(\$2,628,200)
Net Income	\$26,939,700	\$11,381,000	\$10,822,700	\$991,900

The Agency

Capital Center Commission

Agency Description

The Capital Center Commission is a public corporation and agency of the State of Rhode Island that was created by state enabling legislation in 1981, and is a public body of the City of Providence by City Council Ordinance enacted in 1982. Legislatively, it is responsible for the adoption, implementation, and administration of the public and private development within Capital Center through its Design and Development Regulations, which, in part, are more restrictive than the local zoning ordinance. The commission's Internal Operating Procedures establish an application and design review process, which is legislated as not to exceed ninety days. The goal is to assure compliance with the regulations and at the same time assure the developer of an early decision on its application for development.

The Capital Center Commission, a fifteen member board created by state legislation, is funded by the State of Rhode Island through the Rhode Island Commerce Corporation and through development fees assessed during the project application period.

One of the largest and most ambitious economic ventures of the State of Rhode Island and its capital city - Providence - is Capital Center, a seventy-seven acre northerly expansion of downtown Providence to the State Capitol. Through the cooperative and continuing efforts of public and private ownerships and interests, an infusion of over \$100 million of public and private funds has been committed for the planning and construction of public infrastructure improvements within the area. The public improvements, completed in mid 1994, include: new rights-of-way and utility lines, expansion of public open space, river walks along restaurants and retail uses, an amphitheater, and pedestrian bridges created, in part, by the relocation of two rivers.

Private development is controlled by Design and Development Regulations mandating, in part: use, height, building coverage, parking and service. Pedestrian and traffic circulation controls are also established to assure the movement of people and automobiles through Capital Center and to and from the downtown area. Forty-eight of the seventy-seven acres, representing twenty development sites, are designated for private development by public and private ownerships. To date, the following projects have been completed or are under construction: over 1.2 million square feet of retail space, one million square feet of office space, 1,052 hotel rooms and guest suites, 765 residential units, 7,800 structured parking spaces, over 6,900 permanent jobs, and over one billion dollars in private development.

Statutory History

Public Laws of 1981, Section 2, Chapter 332, as amended, establish the Capital Commission as a special development district, as governed by Title 45, Section 24.4 of the Rhode Island General Laws.

The Budget

Capital Center Commission

	FY 2015 Actual	FY 2016 Actual	FY 2017 Revised	FY 2018 Recommended
Operating Revenues				
State Grants	12,169	12,169	12,169	12,169
City Grants	-	-	-	-
Development/Permit Fees	7,075	18,726	3,000	3,000
Interest Income	-	-	-	-
Management Fees	-	-	-	-
Miscellaneous Income	-	-	-	-
Total Operating Revenues	19,244	30,895	15,169	15,169
Expenditures				
Salaries	-	-	-	-
Fringes	-	-	-	-
Rent and Utilities	-	-	-	-
Telephone	-	-	-	-
Print/Supplies	123	173	100	100
Postage	-	-	-	0
Meetings/Miscellaneous	1,131	363	500	334
Travel/Prof. Dev.	-	-	-	-
Dues, Ins. Subscriptions	-	-	-	-
Consultants	-	-	500	500
Bookkeeping Fees	-	1,050	1,250	1,250
Legal & Audit Fees	3,050	3,289	2,500	3,000
Total	4,304	4,875	4,850	5,184
Less Non Operating Revenue				
Interest Income	13	16	12	15
Non Operating Expense				
State House Parking Study	-	20,000	-	-
Advocacy and Support	-	10,000	10,000	10,000
Net Income(Loss)	14,953	26,036	10,331	10,000

The Agency

Rhode Island Infrastructure Bank

Bank Mission

The mission of the Bank is to provide low-cost loans and other financial assistance to cities, towns, sewer authorities, wastewater management districts, drinking water authorities, and water suppliers to finance capital improvements to the wastewater, drinking water, municipal road and bridge, and energy infrastructure projects in Rhode Island.

Bank Description

The Rhode Island Infrastructure Bank (the “Bank”) was established in 1989 (under the title of the Clean Water Finance Agency) as a body politic and corporate and public instrumentality of the state having a distinct legal existence from the state and not constituting a department of the state government. The Bank operates the following revolving loan funds.

The **Clean Water State Revolving Fund** (CWSRF) is jointly administered by the Agency and the Department of Environmental Management (DEM). DEM is responsible for the environmental and regulatory components of the CWSRF while the Agency is responsible for the financial components. The CWSRF is capitalized by the grants from the U.S. Environmental Protection Agency (EPA) with a match of 20 percent by the State of Rhode Island. The Agency reimburses DEM for its administrative expenses.

The **Drinking Water State Revolving Fund** (DWSRF) is jointly administered by the Agency and the Department of Health (DOH). DOH is responsible for the water quality and regulatory components of the DWSRF while the Agency is responsible for the financial components. Funding for the DWSRF comes from capitalization grants from the federal and state governments, and through the issuance of tax-exempt Agency revenue bonds or direct loans. The State of Rhode Island does not have any obligation for repayment of Bank revenue bonds. DOH is reimbursed for expenses via an administrative set-aside component of the capitalization grant.

The **Municipal Road and Bridge Revolving Fund** (MRBRF) is jointly administered by the Agency and the Department of Transportation (DOT). The RI DOT is be responsible for the regulatory components while the Bank is responsible for the financial components. The State provides the funding for this program. In June of 2015, the Rhode Island General Assembly enacted legislation authorizing the Bank to develop and administer several new loan programs, including the Efficient Buildings Fund, residential and commercial PACE programs, and the Brownfields revolving loan fund. The Bank will also be developing a program for providing financial assistance to water suppliers in the State using water quality protection charges.

Statutory History

Chapter 12.2 of Title 46 established the CWSRF. Chapter 12.8 of Title 46 established the DWSRF. Chapter 24-18 of Title 24 established the Municipal Road and Bridge Revolving Fund. In 2015, legislation was enacted to: (i) amend Chapter 46-12.2 to authorize the Bank to develop and administer the Efficient Buildings Fund; (ii) authorize the Bank to develop and administer the PACE program under Chapter 39-26.5; and (iii) authorize the Bank to develop and administer a Brownfields Revolving Fund under 23-19.16.

The Budget

Rhode Island Infrastructure Bank

	FY 2015 Actual	FY 2016 Actual	FY 2017 Revised	FY 2018 Recommended ⁽²⁾
Revenue				
Interest and Investment Income	28,937,443	31,299,655	31,553,588	32,815,732
Operating Grant Income	1,780,527	3,139,594	5,099,000	4,950,000
Loan Service Fees ⁽¹⁾	4,789,613	5,051,320	5,401,632	5,563,681
Loan Origination Fees	563,867	1,903,204	1,260,000	1,300,000
Other Revenue	-	-	-	200,000
Total Revenues	\$36,071,450	\$41,393,773	\$43,314,220	\$44,829,413
Operating Expenses				
Interest and Finance Expenses	31,318,445	26,794,563	34,726,198	35,420,722
Loan Principal Forgiveness	1,337,385	1,633,644	1,545,446	1,625,000
Administrative Expenses	2,034,325	5,503,076	2,207,947	2,296,265
Administrative Fees - DEM	788,077	628,224	732,000	740,000
Administrative Fees - DOH	58,471	66,294	83,500	100,000
Administrative Fees - DOT	-	-	-	-
DOH Set-Aside Programs	1,744,266	2,614,827	2,496,500	2,240,000
Total Operating Expenses	\$37,280,969	\$37,240,628	\$41,791,591	\$42,421,987
Other Revenues (Expenses)				
Federal & State Capitalization Grants	21,513,671	41,958,826	33,245,000	29,920,500
Excess Revenues over Expenses	\$20,304,152	\$46,111,971	\$34,767,629	\$32,327,926

(1) Service Fee portion of loan service fees is on a cash basis; loan origination fees are amortized over the life of the bonds/loan as per G.A.A.P.

(2) The FY 2018 Budget information has not been presented to, or approved by the Agency's Board of Directors. The Board normally reviews and accepts the budgets in the quarter before the start of the fiscal year.

The Agency

Rhode Island Convention Center Authority

Agency Operations

The Rhode Island Convention Center Authority was created in 1987 by the Rhode Island General Assembly as a public corporation, instrumentality and agency of the state, having a distinct legal existence from the state and not constituting a department of the government. The Authority is governed by a Board of Directors, which is comprised of eleven members, eight appointed by the Governor, two appointed by the mayor of the City of Providence and one appointed by the Providence City Council.

The Authority's original purpose was the construction, management and operation of a facility to house conventions, trade shows, exhibitions, displays, meetings, banquets, and other events, as well as facilities related thereto, such as parking lots and garages, connection walkways, hotels and office buildings, including any retail facilities incidental to and located within any of the foregoing, and to acquire, by purchase or otherwise, land to construct the complex. The Authority was authorized to lease the convention center and the related facilities to the state and undergo a bond and note issuance for facilities pursuant to the terms of a sublease agreement, dated as of November 1, 1991, as amended, by and between the state as sub lessor and the authority, as sub lessee (the "Sublease").

In recent years the Authority's primary venues, the Rhode Island Convention Center and the Dunkin' Donuts Center have faced stiff competition for patrons and events. The Dunkin' Donuts Center is fortunate to have two first class tenants in the AHL Providence Bruins and the Big East's PC Friars, however, unfortunate to be situated between the very aggressive Mohegan Sun Arena to the South and the Live Nation owned and operated Xfinity Amphitheater to the North. The ongoing focus is on alternative programming to combat the meetings, banquets and public shows, however it is somewhat undersized compared to nearby Boston and Hartford. Particular care has been taken to ensure that both facilities are maintained, renewed and upgraded to remain competitive.

The Authority continues the management of the Veterans Memorial Auditorium rebranded in FY 2011 as The Vets. The impact of the renovations completed in FY 2015 has already been realized by the increased number of and greater variety of offerings. This makes a strong case for recognition as a premier facility for fine arts, educational and children's programming.

Agency Objectives

Manage and operate the convention center complex, parking facilities and the Dunkin' Donuts Center. Furthermore to attract events to capture and promote positive economic impact for the City and the State. The Authority also has management oversight of the Vets under a lease agreement with DOA.

Statutory History

RIGL 42-99 "Convention Center Authority Act" created the Rhode Island Convention Center Authority.

The Budget

Rhode Island Convention Center Authority

	FY 2015 Actual	FY 2016 Actual	FY 2017 Revised	FY 2018 Recommended
Resources				
Opening Cash Balances	741,058	328,901	689,284	0
Operations	20,367,451	26,825,981	25,129,255	25,782,823
Investment Income	244	245	250	250
Hotel Room Tax	313,735	139,755	-	-
Net Bank Transfers/Misc Revenues	1,073,070	2,120,000	125,000	124,750
Total Resources	\$22,495,558	\$29,414,882	\$25,943,789	\$25,907,823
Expenditures				
Convention Center Authority	2,004,349	2,237,088	2,094,783	1,988,203
Convention Center Management	13,566,077	13,685,465	14,018,323	14,284,869
Dunkin' Donuts Center	6,809,837	11,258,751	8,269,389	8,687,906
Veterans' Memorial Auditorium	982,221	1,548,898	1,561,294	946,845
Subtotal Operations	23,362,484	28,730,202	25,943,789	25,907,823
Convention Center Debt Service	\$12,570,465	\$16,060,434	\$15,556,769	\$14,736,782
Dunkin Donuts Center Debt Service	6,905,826	6,908,000	6,907,794	6,836,683
Notes Payable	53,138	-	-	-
Veterans' Memorial Auditorium Capital	1,224,901	225,239	245,000	245,000
Convention Center Capital	738,286	2,062,540	1,000,000	1,000,000
Dunkin' Donuts Center Capital	94,241	1,675,086	2,787,500	1,850,000
Grand Total Expenditures	\$ 44,949,341	\$ 55,661,501	\$ 52,440,852	\$ 50,576,288
Balance from Operations	(\$22,453,783)	(\$26,246,619)	(\$26,497,063)	(\$24,668,465)
State Appropriation - Debt Service	20,976,048	22,973,038	22,464,563	21,573,465
State Appropriation - Renewal & Replacement	-	-	-	-
State Appropriation - RICAP - VMA	1,224,091	225,239	245,000	245,000
State Appropriation - RICAP - Conv. Center	556,211	2,062,540	1,000,000	1,000,000
State Appropriation - RICAP - D.D. Center	26,334	1,675,086	2,787,500	1,850,000
Final Cash Balances	\$328,901	\$689,284	\$0	\$0

Fiscal Year 2017 Dunkin' Donuts Center expenses and revenue reflect net food & beverage only.

The Agency

Rhode Island Commerce Corporation

Agency Mission

The Rhode Island Commerce Corporation's (hereafter "Commerce Corporation") mission is to enhance Rhode Island's business climate; retain and create jobs; help existing Rhode Island businesses succeed; identify opportunities to attract new companies and investment into the state and help develop our workforce to meet the needs of a 21st century economy.

Commerce Corporation, in partnership with industry, civic and government leaders, is advancing an economic strategy focused on fostering innovation and advanced industries, expanding and recruiting businesses, attracting tourists through a statewide marketing campaign, and equipping our workforce to succeed in the global economy.

Agency Description

Commerce Corporation is the official, full service, economic development organization for the State of Rhode Island. A quasi-public agency, Commerce Corporation serves as a government and community resource to help streamline business expansion in, and relocation to, Rhode Island. The agency assists companies with commercial real estate, business financing, workforce training, and other relevant issues. It is led by a board of directors, which includes the Governor, who serves as chair. Other board members include leaders from Rhode Island's business and labor communities as well as academic and healthcare institutions. The Governor appoints all twelve members. The board oversees the development and implementation of all state-level economic development initiatives and works with the Executive Director to advance the agency's objectives.

Statutory History

The Rhode Island Economic Development Corporation was created in 1995 by RIGL 42-64, replacing the former Department of Economic Development and the Rhode Island Port Authority. Effective January 1, 2014, the name Rhode Island Economic Development Corporation was changed to the Rhode Island Commerce Corporation per RIGL 42-64-1.1. The Commerce Corporation falls under the purview of the Secretary of Commerce per 42-64.19-6.

The Budget

Rhode Island Commerce Corporation

	FY 2015 Actual	FY 2016 Actual	FY 2017 Revised	FY 2018 Recommended
<u>State Appropriation</u>	4,044,514	7,394,514	7,434,514	7,474,514
Total State Appropriations:	4,044,514	7,394,514	7,434,514	7,474,514
<u>Other Revenues</u>				
Hotel Tax Revenue (Beginning FY 2016)	-	3,761,343	4,238,122	5,000,000
Finance Program Reimbursements ⁽²⁾	741,609	1,109,898	348,797	348,797
Grant Reimbursements ⁽²⁾	808,411	1,053,944	940,604	940,604
Other	545,225	643,458	151,970	151,970
Total Other Revenues:	2,095,245	6,568,643	5,679,493	6,441,371
TOTAL SOURCES (Cash, Revenues):	6,139,759	13,963,157	13,114,007	13,915,885
Total Operations (Personnel & Operating)	5,671,990	10,399,571	11,652,349	12,519,119
Grant/Partnership Expenses	275,874	1,173,043	1,461,658	1,396,766
New Tourism and Business Marketing Campaign	-	-	-	-
New Executive Office of Commerce Programs	-	-	-	-
Board Special Projects Fund ⁽³⁾	-	-	-	-
TOTAL EXPENSES:	5,947,864	11,572,614	13,114,007	13,915,885
Operating Surplus/(Deficit):	191,895	2,390,543	-	-
<u>Pass-through (only) Grants</u>				
<u>State</u>				
STAC Research Alliance (EPScore)	1,150,000	1,003,302	1,150,000	1,150,000
Innovative Matching Grants	500,000	849,104	1,000,000	1,000,000
Renewable Energy Fund	2,400,000	3,442,977	3,211,000	3,211,000
Legislative Grants	597,350	789,492	-	-
Airport Impact Aid	1,025,000	1,004,174	1,025,000	1,025,000
Chafee Center at Bryant	376,200	376,200	376,200	376,200
RI College and University Research Collaborative	-	-	150,000	150,000
Polaris Manufacturing Technical Assistance Program	-	-	-	550,000
National Security Infrastructure Program	-	-	-	200,000
Slater Centers of Excellence	-	3,000,000	-	3,000,000
Volvo Ocean Race	775,000	48,001	-	-
Other (AICU)	-	61,807	150,000	150,000
			96,560	96,560
Total:	6,823,550	10,575,057	7,062,200	10,812,200
<u>Federal</u>				
Port Security	-	-	-	-
MARAD	-	-	760,770	-
EMA/EDA	50,000	-	-	-

The Budget

Rhode Island Commerce Corporation

DOD SteamEngine	-	673,821	-	-
DOD SteamEngine II	-	113,157	2,047,524	739,319
PTAC	387,910	382,012	431,172	431,172
Broadband Rhode Island	620,449	4,053	-	-
Brownsfield Grant	-	1,978	-	-
State Small Business Credit Initiative	4,386,212	2,545,817	2,868,796	1,601,859
Total:	5,444,571	3,720,838	6,108,262	2,772,350

(1) Approximate balance; variances may exist due to cash budget vs. accrual accounting methods

(2) Personnel and indirect cost reimbursements as allowable

The Agency

Rhode Island Health and Educational Building Corporation

Agency Mission

To ensure that adequate financing is available for the education institutions and health care providers in the state to meet the needs of the citizens of Rhode Island.

Agency Description

The Rhode Island Health and Educational Building Corporation is a non-business corporation and component unit of the State of Rhode Island. The Corporation provides tax-exempt bond and lease financing to non-profit health and educational institutions in the state. Since its inception in 1966, the Corporation has assisted eligible institutions with over \$7.2 billion in financing without obligating the state's credit.

Under the direction of a five-member board of directors, appointed by the Governor, the Corporation has assisted hospitals, nursing homes, mental health centers, health care providers, adult day care centers, visiting nurse associations, private secondary schools, local educational authorities, and colleges and universities in obtaining low-cost financing.

The Corporation receives no state appropriations for its operations.

Statutory History

The Rhode Island Health and Educational Building Corporation was created by the General Assembly in 1967 with its duties and powers defined by R.I.G.L. 45-38.1 (as amended).

The Budget

Rhode Island Health and Educational Building Corporation

	FY 2015 Actual	FY 2016 Actual	FY 2017 Adopted	FY 2018 Projected
Operating Revenues				
Administrative Fees	2,306,840	2,432,947	2,450,000	2,500,000
Interest Income - Loans	34,828	32,455	40,000	45,000
Total Operating Revenues	2,341,668	2,465,402	2,490,000	2,545,000
Operating Expenses				
Administrative	1,557,403	1,652,684	2,346,150	1,600,000
Project Costs - Grants (SBA)	-	1,985,213	16,961,330	12,500,000
Depreciation	5,546	6,866	7,500	7,500
Total Operating Expenses	1,562,949	3,644,763	19,314,980	14,107,500
Non-Operating Revenues (Expenses)				
Loss on Disposal of Capital Assets	(1,863)	(2,393)	(1,500)	(1,500)
Net Investment Income	38,834	36,384	50,000	55,000
Interest Income - Loans (SBA)	-	-	40,500	70,800
State Appropriations (SBA)	-	20,366,366	9,100,000	7,000,000
Other Income (SBA)	-	827,824.00	-	-
Interest Earned on Restricted Cash (SBA)	-	5,893.00	12,000	12,000
Total Non-Operating Revenues	36,971	21,234,074	9,201,000	7,136,300
Change in Net Position	815,690	20,054,713	(7,623,980)	(4,426,200)
Net Position- End of Year	12,032,845	32,087,558	24,463,578	20,037,378

The Agency

Rhode Island Housing and Mortgage Finance Corporation

Agency Mission

To provide, improve and expand housing and housing-related community development services to, and specifically address issues of sanitary, safe and decent housing for, persons of low and moderate income, as well as to encourage the investment of private capital for the construction, rehabilitation, operation, retention, and maintenance of residential housing and housing-related community development efforts, to provide construction and mortgage loans, and to make grants in regard to housing needs.

Agency Description

The Rhode Island Housing and Mortgage Finance Corporation (the "Corporation") is a public instrumentality of the State established in 1973 by an Act of the Rhode Island General Assembly. The Corporation is governed by a seven-member board of commissioners. The Corporation was created to provide and improve housing to persons of low and moderate income, as well as to encourage the investment of private capital for the construction, rehabilitation, operation, retention, and maintenance of residential housing and health care facilities; to provide construction and mortgage loans; and to make grants in regard to housing needs. The Corporation also has the power to acquire and operate housing projects on an individual or partnership basis in order to meet the housing demands of the State and to create subsidiaries for the purpose of the development and preservation of affordable housing for low and moderate income families.

The Corporation also provides services for the federal Department of Housing and Urban Development as a contract administrator for various programs; technical assistance in the housing area to other governmental entities; and homeowner buying and counseling assistance to all Rhode Island citizens.

The Corporation also has the power to issue negotiable notes and bonds to achieve its corporate purpose, and has a separate credit rating for itself and its bond apart from the state.

Statutory History

The Rhode Island Housing and Mortgage Finance Corporation is authorized and empowered under Title 42, Chapter 55 of the Rhode Island General Laws.

The Budget

Rhode Island Housing and Mortgage Finance Corporation

	FY 2015 Actual	FY 2016 Actual	FY 2017 Revised	FY 2018 Recommended
Expenditure Report				
Personnel Services	15,020,197	17,499,051	20,900,000	22,000,000
Other Administrative Expenses	5,578,285	5,197,239	6,700,000	7,100,000
Programmatic Expenses	4,312,281	6,165,232	4,600,000	5,000,000
Provision for Loan Loss	11,125,941	8,583,914	5,200,000	7,000,000
REO Expenditures	4,069,772	2,727,602	3,300,000	3,000,000
Amortization and Depreciation	1,240,332	1,426,502	1,900,000	2,000,000
Total	\$41,346,808	\$41,599,540	\$42,600,000	\$46,100,000

^[1] The information for FY 2018 has neither been reviewed nor approved by the Board of Commissioners of Rhode Island Housing and Mortgage Finance Corporation and is subject to change.

The Agency

I-195 Redevelopment District Commission

Agency Description

The I-195 Redevelopment District Commission is an independent public instrumentality and body corporate and politic for the purposes of acquisition, management and administration of the I-195 Surplus Land pursuant to the I-195 Redevelopment Act of 2011. The Commission shall oversee, plan, implement, and administer the development of the areas within the district, as established in the governing legislation, consistent with and subject to the City of Providence comprehensive plan and zoning ordinances.

There are approximately forty acres located in the City of Providence due to the relocation of Interstate 195, of which nineteen acres are available for development, with the balance being roads, sidewalks and eight acres of public parks. The nineteen acres of developable surplus land is available for sale and commercial, industrial and residential development and beneficial reuse, including without limitation to support or encourage workforce development, housing, education and training, and the growth of “knowledge-based” jobs and industries.

The sale of the I-195 surplus land to the I-195 Redevelopment District Commission is a key element of the plan of finance for making the land usable for future development, thereby providing a means to pay back the supporting bonds, and advancing the economic success of the state.

Statutory History

RIGL 42-64.14 created the I-195 Redevelopment District Commission.

The Budget

I-195 Redevelopment District Commission

	FY 2015 Actual	FY 2016 Actual	FY 2017 Revised	FY 2018 Recommended
Opening Balance:		\$ 12,971	\$ (32,447)	\$ 19,236
Operating Revenues				
State Grants	559,778	793,325	1,010,000	1,167,250
Rhode Island Capital Plan Fund	293,760	259,557	351,683	300,000
Debt Issuance	-	-	-	-
Other Resources (grants)	155,207	204,972	-	-
Total Operating Revenues	\$1,008,745	\$ 1,257,854	\$ 1,361,683	\$ 1,467,250
Total Resources	\$1,008,745	\$ 1,270,825	\$ 1,329,236	\$ 1,486,486
Expenditures				
Salaries, Wages and Benefits	255,686	420,817	454,461	509,496
Contracted Professional Services	567,317	714,614	563,000	709,236
Operating Supplies and Expenses	172,771	167,841	292,539	267,754
Loan Repayment to EDC	-	-	-	-
Cost of Issuance	-	-	-	-
Capital Acquisition	-	-	-	-
Total	\$995,774	\$ 1,303,272	\$ 1,310,000	\$ 1,486,486
Net Income(Loss)	\$ 12,971	\$ (32,447)	\$ 19,236	\$ -

The Agency

Rhode Island Industrial Facilities Corporation

Agency Mission

The Corporation's objective is to encourage economic growth within the state by further developing industrial and recreational facilities.

Agency Description

The Rhode Island Industrial Facilities Corporation is a public body corporate of the State of Rhode Island and obtained this designation and authority from Section 45-37.1 of the General Laws. The Corporation is utilized to foster economic growth within the State of Rhode Island.

The purpose of the Rhode Island Industrial Facilities Corporation is to act as the issuer of tax-exempt and taxable revenue bonds, which are sold to private or public investors, on behalf of Rhode Island companies seeking financing. The role of the Rhode Island Industrial Facilities Corporation is to act as a conduit in a company's efforts to obtain financing from sources other than the Corporation. The Rhode Island Industrial Facilities Corporation is a title holder to the property and does not have financial exposure in connection with the bonds.

Statutory History

The Rhode Island Industrial Facilities Corporation was created in 1956 by Chapter 45-37.1 of the Rhode Island General Laws.

The Budget

Rhode Island Industrial Facilities Corporation

	FY 2015 Actual	FY 2016 Actual	FY 2017 Revised	FY 2018 Recommended
Receipts				
Bond Fees	49,900	43,484	50,000	55,000
Other	9,800	3	-	-
Interest	-	-	-	-
Total	\$59,700	\$43,487	\$50,000	\$55,000
Expenses				
Administration	39,100	30,757	30,757	33,757
Insurance	13,600	13,900	13,900	13,900
Other	17,200	17,456	17,500	17,500
Legal and Audit	1,400	5,997	1,500	1,500
Total	\$71,300	\$68,110	\$63,657	\$66,657
Net [1]	(\$11,600)	(\$24,623)	(\$13,657)	(\$11,657)

[1] Cash reserves are maintained by the RI Commerce Corporation to cover projected budget deficits for the Authority.

The Agency

Rhode Island Industrial-Recreational Building Authority

Agency Mission

The authority promotes economic growth in the state by providing insurance for qualifying mortgages of industrial and recreational facilities.

Agency Description

The Rhode Island Industrial-Recreational Building Authority was created for the purpose of nurturing economic growth within the State of Rhode Island by insuring mortgage payments on industrial or recreational projects approved by the authority. As required by statute, the Authority maintains a first security position in all projects. As of June 30, 2011, the total amount of mortgage insurance issued cannot exceed \$60,000,000 in the aggregate.

The State of Rhode Island, according to R.I.G.L. 42-34-15, is responsible for providing any additional resources that may be required to allow the mortgage insurance fund to meet its obligations.

Statutory History

The Rhode Island Industrial-Recreational Building Authority was created in 1958 by R.I.G.L. 42-34.

The Budget

Rhode Island Industrial - Recreational Building Authority

	FY 2015 Actual	FY 2016 Actual	FY 2017 Revised	FY 2018 Recommended
Receipts:				
Premiums	334,200	94,319	100,000	100,000
Commitment Fees	15,000	11	-	15,000
Interest	2,888	7,044	3,500	3,500
Rent	-	-	-	0
Total Receipts	\$352,088	\$101,374	\$103,500	\$118,500
Expenses:				
Administration	12,431	26,305	13,153	19,729
Legal and Audit [1]	183,184	175,837	175,000	175,000
Insurance	31,649	31,599	32,000	32,000
Total Expenses	\$227,264	\$233,741	\$220,153	\$226,729
Operating Income (Loss)	\$124,824	(\$132,367)	(\$116,653)	(\$108,229)
Est. Loss-Default	177,854	310,248	-	-
Net [2]	(\$53,030)	(\$442,615)	(\$116,653)	(\$108,229)

[1] Legal fees increased due to litigation related to CAPCO.

[2] Cash reserves are maintained by the Rhode Island General Treasurer's Office to cover projected budget deficits for the Authority.

The Agency

Narragansett Bay Commission

Agency Mission

The mission of the Narragansett Bay Commission is to maintain a leadership role in the protection and enhancement of water quality in Narragansett Bay and its tributaries by providing safe and reliable wastewater collection and treatment services to its customers at a reasonable cost and to ensure that the Field's Point and Bucklin Point Wastewater Treatment Facilities are in compliance with state and federal clean air and clean water acts.

Agency Description

The Narragansett Bay Commission (NBC) was created by the Rhode Island General Assembly in 1980. Charged with the acquisition and operation of the Field's Point Wastewater Treatment Facility in Providence and portions of the metropolitan Providence wastewater collection system, the NBC's fundamental purpose is to improve and preserve the environmental integrity of Narragansett Bay and its tributaries. Through legislation signed into law by the Governor, the NBC assumed ownership of the Bucklin Point Wastewater Treatment Facility in East Providence on January 1, 1992.

The NBC owns and operates Rhode Island's two largest wastewater treatment facilities, 110 miles of sewer interceptors, 61 combined sewer overflows, 32 tide gates and 6 pump stations, and provides wastewater collection and treatment services to over 360,000 residents and approximately 7,750 industrial and commercial customers in 10 communities throughout Rhode Island. These communities include Providence, North Providence, Johnston, Pawtucket, Central Falls, Cumberland, Lincoln and the northern portion of East Providence, and small sections of Cranston and Smithfield.

The NBC has a \$313.8 million five-year capital improvement budget for fiscal years 2018-2022. The NBC is governed by a 19-member Board and is organized into five divisions: the Executive Affairs, Construction Services, Administration and Finance, Operations and Engineering, and Planning, Policy and Regulation divisions. In addition, through the Clean Water Act, which sets guidelines for water-quality improvements, the NBC is responsible for reducing the amount of combined sewer overflow to local waterways within its service area.

Statutory History

R.I.G.L. 46-25 relates to the Narragansett Bay Commission: R.I.G.L. 46-25.1 relates to the merger of the Blackstone Valley District Commission and the Narragansett Bay Water Quality Management District Commission, and R.I.G.L. 46-25.2 relates to future acquisitions of wastewater treatment facilities.

The Budget

Narragansett Bay Commission

	FY 2015 Actual	FY 2016 Actual	FY 2017 Revised	FY 2018* Recommended
Expenditures by Object				
Personnel	21,766,376	22,401,349	25,225,531	25,982,297
Operating Supplies & Expenses	8,745,189	9,447,733	15,140,547	15,594,763
Special Services	7,469,034	5,748,754	1,237,150	1,274,265
Subtotal Operating Expenditures	\$37,980,599	\$37,597,836	\$41,603,228	\$42,851,325
Capital Outlays	2,831,086	3,652,894	5,479,500	3,734,000
Debt Service	39,503,789	42,907,662	45,137,622	47,813,167
Total Expenditures	\$80,315,474	\$84,158,392	\$92,220,350	\$94,398,492
Expenditures by Funds				
NBC User Fees/Misc Revenues				
Personnel	21,766,376	22,401,349	25,225,531	25,982,297
Operating Supplies & Expenses	8,745,189	9,447,733	15,140,547	15,594,763
Special Services	7,469,034	5,748,754	1,237,150	1,274,265
Capital Outlays	2,831,086	3,652,894	5,479,500	3,734,000
Debt Service	39,503,789	42,907,662	45,137,622	47,813,167
Total Expenditures	\$80,315,474	\$84,158,392	\$92,220,350	\$94,398,492

FY 2015 Actuals taken from the audited financial statements

FY 2016 Actuals taken from the audited financial statements.

FY 2017 taken from NBC's approved budget.

* FY 2018 information has neither been reviewed nor approved by the Narragansett Bay Commission's Board of Commissioners as it is compiled prior to the NBC's formal development of its FY 2018 budget.

The Agency

Rhode Island Public Transit Authority

Agency Mission

As the statewide public transit organization, RIPTA has a primary role to expand access and mobility opportunities for Rhode Islanders by undertaking actions and supportive strategies, directly and in collaboration with others, to provide a full range of alternatives to the single-occupant automobile. These key mobility strategies include: transit design and service strategies to improve the livability of communities and act as a stimulus for neighborhood renewal; technological advancements which increase travel options and convenience; and collaborative land use strategies and economic development initiatives that foster transit ridership and pedestrian movement through transit-friendly land use and development.

Agency Description

The Rhode Island Public Transit Authority (RIPTA) has primary responsibility for directing statewide fixed-route bus service and Americans with Disabilities Act paratransit service operations. RIPTA is managed under the direction of an eight-member policy Board of Directors. In addition to these core transit services, RIPTA operations also include program development and implementation of the statewide carpool program. RIPTA is committed to protecting the environment and providing safe, reliable, quality transit service that is responsive to customer needs with particular emphasis on Rhode Island's families, children, transit dependent populations, and elderly and disabled residents. RIPTA operates a fleet of 232 buses and trolleys. The authority's main facility is located in the City of Providence with a satellite operation on Aquidneck Island. The state's paratransit operation includes 98 vans currently operated. In FY 2016, 17.8 million passengers were carried on RIPTA's fixed-route bus service and an additional 373,629 passengers were transported on the state's coordinated paratransit service.

Statutory History

The authority was created as a body corporate and politic in 1964 by R.I.G.L. 39-18-2 to acquire, purchase, hold, use and dispose of any property, real, personal or mixed, tangible or intangible, or any interest therein necessary or desirable for carrying out the purposes of the authority.

The Budget

Rhode Island Public Transit Authority

	FY 2015 Actual	FY 2016 Actual	FY 2017 Revised	FY 2018 Recommended
Revenue				
Passenger Revenue	21,520,627	20,857,652	22,618,769	25,824,179
Special Revenue	1,034,319	839,256	857,230	766,415
Other Revenue	12,133,290	10,406,186	11,012,091	14,367,326
State Subsidy - Gasoline Tax ⁽¹⁾	42,960,320	44,068,106	43,473,544	43,431,921
Department of Human Services ⁽²⁾	812,083	815,243	1,022,472	1,019,099
Federal Subsidy	20,331,772	23,769,914	33,422,702	43,938,199
State General Revenue Assistance	-	2,000,000	900,000	-
State Highway Fund Revenues	-	2,723,453	4,469,796	4,269,796
Total Revenue	98,792,411	105,479,810	117,776,604	133,616,935
Expenses				
Salaries and Fringe Benefits ⁽³⁾	60,656,585	62,231,944	62,907,949	63,396,465
Salaries and Fringe Benefits - Federal	10,679,859	11,295,663	11,980,022	12,407,088
Contract Services	5,626,289	6,034,332	5,531,167	7,637,611
Contract Services - Federal	3,424,643	2,905,130	7,022,798	11,561,156
Operating Expenses	10,548,615	10,760,849	8,908,039	12,556,686
Operating Expenses - Federal	3,137,321	4,324,519	12,296,892	17,184,971
Utilities	1,974,345	1,722,080	1,795,366	1,799,438
Utilities - Federal	22,008	28,894	22,000	-
Insurance and Settlements	5,235,755	4,370,555	5,986,100	6,385,439
Capital Match	-	-	397,515	330,162
Debt Service	-	-	-	1,601,590
Revolving Loan Fund	-	-	460,091	661,733
Self Insurance Reserve	-	-	250,000	250,000
Other	22,694	25,742	27,000	27,000
Total Expenses:	\$ 101,328,114	\$ 103,699,708	\$ 117,584,939	\$ 135,799,339
Closing Surplus/(Deficit):	\$ (2,535,703)	\$ 1,780,102	\$ 191,665	\$ (2,182,404)

Surplus/(Deficit) W/O Debt Service

(\$580,814)

⁽¹⁾ Gas Tax amount estimated by Department of Revenue

⁽²⁾ Gas Tax funding provided through the Department of Human Services for the RIDE Program.

⁽³⁾ The Salary and Fringe Benefits expense does not include funding for the OPEB liability in any of the fiscal years above actual or budget. Only the pay-go portion of OPEB costs are included. The total unfunded OPEB liability as of June 30, 2016 is \$55,534,030

⁽⁴⁾ Depreciation is not included in FY 2015 (\$15,587,461) or FY 2016 (\$16,299,930)

⁽⁵⁾ FY 2017 budget assumes Senior/Disabled fare revenue does not commence until January 2017 with State General Revenues offsetting the delay

⁽⁶⁾ FY 2017 Revised Budget does not include an increase for ATU employees due to ongoing contract negotiations.

⁽⁷⁾ FY 2018 Budget assumes that the Authority is given match for its bus purchase and does not assume higher maintenance costs associated with extending the life of the 2005 fleet.

Budget approved by Rhode Island Public Transit Authority Board of Directors in September 2016.

The Agency

Quonset Development Corporation

Agency Mission

The Corporation develops and manages the Quonset Business Park in accordance with the QDC Master Plan and in the best interests of the citizens of Rhode Island to attract and retain successful businesses that provide high quality jobs.

The Corporation insures quality infrastructure, a high standard of design and aesthetics within the Park to create a favorable work environment compatible with the natural setting of the land and Narragansett Bay.

The Corporation develops and operates the Park in an environmentally sound manner, maintains excellent relationships with Park tenants, the Town of North Kingstown, and surrounding communities.

Agency Description

The Quonset Development Corporation (QDC) is a real estate development and management company organized as a subsidiary of the Rhode Island Commerce Corporation, formerly known as the Economic Development Corporation.

The Quonset Development Corporation's Board of Directors consists of eleven members. The Executive Director of the Rhode Island Commerce Corporation is the chairperson. The Governor appoints six members, the Town of North Kingstown appoints two members, the Town of Jamestown appoints one member, and the Town of East Greenwich appoints one member.

Statutory History

The Corporation was created in 2004 by Title 42, Chapter 64.10 of the Rhode Island General Laws, as a subsidiary of the Rhode Island Commerce Corporation, formerly known as the Economic Development Corporation.

The Budget

Quonset Development Corporation

	FY 2015 Actual	FY 2016 Actual	FY 2017 Revised	FY 2018 Recommended
Estimated Opening Balance Revenues:	\$19,441	\$41,254	(\$9,116)	\$5,259
Revenues from Operations				
Rental Income	7,789,649	7,260,840	7,590,488	7,362,627
Pier Income	1,551,848	1,720,462	1,629,440	1,750,000
Utility Sales	2,484,025	2,718,748	2,415,590	2,856,385
Rhode Island Capital Plan Fund	-	-	400,000	2,600,000
Other Income	606,874	421,154	627,421	678,682
	12,432,396	12,121,204	12,662,939	15,247,694
Total Resources	\$12,451,837	\$12,162,458	\$12,653,823	\$15,252,953
Expenditures				
Personnel Expenses	3,667,966	4,050,658	4,053,173	4,480,040
Operating Expenses	4,048,019	3,417,558	3,947,019	3,857,426
Debt Service	1,544,598	1,953,358	1,498,372	1,688,465
Capital Expenditures (1)	3,150,000	2,750,000	3,150,000	5,200,000
Total Expenditures	\$12,410,583	\$12,171,574	\$12,648,564	\$15,225,931
Closing Balance	\$41,254	(\$9,116)	\$5,259	\$27,022

(1) To be used for Capital Improvements Projects as well as other internal capital requirements. Projects may already be under contract.

The Agency

Rhode Island Resource Recovery Corporation

Agency Mission

The mission of the Corporation is to provide safe, environmentally compliant, clean and affordable solid waste and recycling services for the Rhode Island community. Its primary objectives are to extend the useful life of the Central Landfill to 2038 and beyond in order to provide long term affordable waste disposal services for the State's municipalities and to remain financially self-sufficient by self-funding all operational and capital requirements. To achieve these objectives the corporation manages as close as possible to a private sector company to ensure cost effective operations. The Rhode Island Department of Environmental Management has approved a license for additional landfill capacity estimated to extend the life of the current facility by approximately 22 years.

Agency Operations

The Corporation operates an integrated statewide system of solid waste management facilities and programs to provide waste reduction, recycling and disposal services. The goal of the corporation's solid waste management system is to minimize waste generated and maximize waste reduction and recycling to divert materials from the landfill.

The Corporation's materials recycling facility (MRF) is a 'single stream' facility, meaning recyclable paper no longer need to be kept separated from bottles and cans. This facility, in conjunction with grants and technical assistance provided to municipalities', supports the State's municipal recycling programs. Various metals, plastics and containers, paper cartons, as well as numerous types of paper and cardboard are delivered to the MRF, processed, and sold worldwide on the open market to be remanufactured.

The waste reduction program includes initiatives including (but not limited to): business waste assessments, promotion of leaf and yard debris composting, and information on reducing household waste. The corporation encourages backyard composting and sells subsidized composting bins to Rhode Island residents.

Other Corporation recycling initiatives target textiles, sharps, and plastic bags.

The Corporation has developed and implemented an integrated solid waste management system in the most environmentally sensitive and economical manner possible. The landfill is currently the foundation of the integrated system. All operations are conducted utilizing state-of-the-art technology, including double lining of the landfill and an integrated methane recovery system.

Statutory History

R.I.G.L. 23-19 defines the programs that are required of the Corporation.

The Budget

Rhode Island Resource Recovery Corporation

	FY 2015 Actual	FY 2016 Actual	FY 2017 Revised	FY 2018 Recommended ⁽¹⁾
Revenues:	\$54,041,039	\$55,809,412	\$50,867,750	\$48,265,750
Expenses:				
Personnel Costs	13,680,753	13,883,406	15,317,742	15,675,252
Contractual Services	12,507,628	10,014,298	9,928,067	9,468,055
Utilities	1,644,392	1,482,409	1,631,200	1,663,824
Repairs and Maintenance	3,205,406	3,685,265	4,003,300	4,073,676
Other Supplies and Expenses	3,719,039	3,231,968	4,982,450	4,991,904
Grants to Municipalities for Recycling	812,526	298,970	75,000	100,000
Bad Debts	81,091	56,412	100,000	100,000
Provision for landfill closure and post closure care and Superfund clean-up costs	21,017,348	13,575,957	4,002,639	3,677,188
Depreciation, depletion, and amortization	5,383,230	6,683,250	9,479,767	9,334,619
Total Expenses	\$62,051,413	\$52,911,935	\$49,520,165	\$49,084,518
Income (Loss) from Operations	(\$8,010,374)	\$2,897,477	\$1,347,585	(\$818,768)
Transfers to State of Rhode Island	-	-	-	-
Interest and investment revenue	907,860	3,044,742	1,267,711	1,300,687
Interest expense	(968,326)	(866,425)	(770,523)	(663,064)
Other income (expense)	2,729,626	1,893,219	-	-
Total nonoperating revenues (expenses)	\$2,669,160	\$4,071,536	\$497,188	\$637,623
Net Income (Loss) for the Year	(\$5,341,214)	\$6,969,013	\$1,844,773	(\$181,145)
Assets:				
Cash, Cash Equivalents & Investments	32,279,760	31,955,330	23,800,000	9,200,000
Accounts Receivable, Net	8,219,092	7,041,116	7,100,000	7,100,000
Property, Plant and Equipment, Net	74,339,641	74,333,838	80,000,000	92,300,000
Restricted Investments	3,679,058	2,476,206	2,500,000	-
Assets Held in Trust	84,319,289	90,784,649	95,000,000	100,000,000
Other Assets	4,767,009	8,536,226	8,500,000	8,500,000
Total Assets	\$207,603,849	\$215,127,365	\$216,900,000	\$217,100,000
Liabilities:				
Accounts Payable	16,753,722	8,220,553	8,500,000	8,500,000
Other Current Liabilities	651,558	664,044	700,000	700,000
Bonds/ Notes Payable	34,256,548	32,285,738	28,200,000	24,000,000
Superfund Cleanup, Closure & Post-Closure Costs	85,689,498	96,732,494	100,400,000	105,000,000
Total Liabilities	\$137,351,326	\$137,902,829	\$137,800,000	\$138,200,000
Retained Earnings	\$70,252,523	\$77,224,536	\$79,100,000	\$78,900,000
Total Liabilities and Retained Earnings	\$207,603,849	\$215,127,365	\$216,900,000	\$217,100,000

(1) The FY 2018 proposed budget reflects management's budget projections made in SFY 2016. They have not been approved by the Corporation's Board of Commissioners. The final budget numbers will likely be significantly different than the preliminary numbers reflected above due to the uncertainties involving estimated volumes and market prices for recyclables and closure/post closure liability estimates.

The Agency

Rhode Island Student Loan Authority

Agency Mission

The Authority has helped over 300,000 students and parents since its start in 1981. As of September 30, 2014, the Authority also holds \$378,273,575 in Federal Family Education Loans and \$395,143,328 in non-federal state based education loans. The bonds are not obligations of the State of Rhode Island and are solely an obligation of the Authority.

Agency Description

The Authority, a public corporation, governmental agency, and public instrumentality of the state, was established pursuant to an act of the Legislature in May, 1981 for the purpose of providing a comprehensive system of financial aid for needy students. The Authority originates over \$30,000,000 per year of low cost state based education loans for students pursuant to its enabling Act under its Rhode Island Fixed Rate Loan Program.

The Authority also provides several free services, the largest being the College Planning Center of Rhode Island (CPC). The CPC, staffed by experienced college financial aid and admission counselors, is open seven days a week during the peak financial aid season. The CPC operates out of three locations in Warwick, Bristol and Cumberland. The CPC is dedicated to increasing access to higher education through the several free services it provides. Its focus is to help provide parents and students with information on early awareness, college planning, admissions, and financial aid. It is a service that is used by over 14,000 Rhode Islanders each year. The CPC conducts financial aid nights at most high schools in Rhode Island and helps parents and students complete the Free Application for Federal Student Aid Form (FAFSA) and College Board Profile form.

The Authority, in association with the Association of Independent Colleges and Universities (AICURI), the Rhode Island Board of Governors for Higher Education (RIBGHE) and the Greater Providence Chamber of Commerce formed the bRIdge Program. This initiative focuses on connecting employees and students in Rhode Island through a statewide internship program. The bRIdge Job Board offers a website that allows employers to post internship opportunities and students to then view and apply for these internships. The Authority recently expanded the bRIdge program to offer student loan forgiveness for students who participate in qualified internship programs.

Statutory History

The authority was created in 1981 by Title 16 Chapter 62 of the Rhode Island General Laws. It is governed by a six-member board of directors, five of which are appointed by the Governor for staggered terms and the general treasurer (ex-officio).

The Budget

Rhode Island Student Loan Authority

	FY 2015 Actual	FY 2016 Actual	FY 2017 Revised	FY 2018 Recommended
Operating Revenues				
Student Loan Payments	30,107,194	29,183,024	28,187,603	27,060,099
Investments	343,085	452,053	440,071	352,057
Other	2,773,719	2,938,543	2,547,916	2,247,916
Total Operating Revenues	\$33,223,998	\$32,573,620	\$31,175,590	\$29,660,072
Operating Expenses				
Interest & Bond Expenses	13,529,903	13,577,216	14,239,719	13,527,733
Arbitrage Rebate Expense	491,975	436,864	790,918	553,643
Loan Servicing	3,534,332	3,561,013	3,859,257	3,859,257
Provision for Risk Share	1,748,597	1,413,833	2,931,449	2,491,732
Department of Education Loan fees	948,563	840,658	747,676	672,908
Personnel	3,464,171	3,540,644	3,726,333	3,726,333
Depreciation	71,673	74,821	106,491	101,166
Total Operating Expenses	\$23,789,214	\$23,445,049	\$26,401,843	\$24,932,772
Nonoperating Revenues				
Gain on Early Retirement of Bonds	\$20,000	\$119,500	\$0	\$0
Excess Revenues over Expenses	\$9,454,784	\$9,248,071	\$4,773,747	\$4,727,300

The Agency

Rhode Island Turnpike and Bridge Authority

Agency Operations

The Rhode Island Turnpike and Bridge Authority was created in 1954 by the Rhode Island General Assembly as a body corporate and politic with powers to construct, acquire, maintain, and operate bridge projects as defined by law. The Authority was responsible for the construction of the Claiborne Pell Bridge (formerly the Newport Bridge) which was opened for traffic in 1969, and has been responsible for the operation and maintenance of the Mount Hope Bridge between Portsmouth and Bristol, and the Claiborne Pell Bridge between Newport and Jamestown since 1964 and 1969 respectively. In 2013, the State of Rhode Island (the State) transferred custody, control and supervision of the land and improvements for the Jamestown Verrazzano Bridge between North Kingstown and Jamestown, and the Sakonnet River Bridge between Portsmouth and Tiverton to the Authority. Ownership and title of the Jamestown and the Sakonnet River Bridges remains with the State.

Day-to-day operations of the Authority are led by an Executive Director who oversees approximately 110 employees and reports to a five-member Board of Directors comprised of the Director of Transportation, who is a member ex-officio, and four members appointed by the Governor. Operating revenues and expenses result from providing services for the ongoing operations of the bridges. The principal operating revenue of the Authority is the collection of toll revenue from the users of the Claiborne Pell Bridge. Beginning July 1, 2014 the State enacted legislation that provides the Authority 3.5 cents of gasoline tax for every gallon sold in Rhode Island. The Authority's debt services consists of revenue bond obligation entered into during 2003, 2010 and 2016 maturing in 2017, 2039 and 2052 respectively. The proceeds of the Bond were used to pay off existing debt and the remaining proceeds are to be used to fund the update 10 year Capital Improvement Plan. In connection with the Bonds, the Authority entered into a Master Indenture of Trust (the Trust). Accounts of the Authority are maintained in compliance with the provisions Trust entered in with the Trustee of the Bonds. Bond covenants provide for non-system projects to the extent that revenues in excess of the obligations of the system flow.

Agency Objectives

The Authority is committed to maintaining and operating the bridges in a fiscally responsible manner which ensures their physical integrity and longevity, as these structures are integral to travel in the coastal area of Rhode Island and neighboring states.

Statutory History

Title 24 Chapter 12 of the General Laws of Rhode Island established the organization and function of the Rhode Island Turnpike and Bridge Authority. In January 1997 the legislature passed article 36 amending the law adding certain provisions in section 24-12-9. Article 20 of the 2013 appropriations act transfers the Sakonnet River Bridge and the Jamestown Verrazzano Bridge to the Authority. Article 21 of the 2015 appropriations act eliminates the authority to toll the Sakonnet River Bridge.

The Budget

Rhode Island Turnpike and Bridge Authority

	FY 2015 Actual	FY 2016 Actual	FY 2017 Revised	FY 2018 Recommended
Revenue				
Gas Tax Revenue	15,357,429	15,701,231	15,500,000	15,500,000
Tolls	19,409,850	20,357,220	17,775,000	17,775,000
Transponder	395,025	424,599	385,000	385,000
Miscellaneous	268,445	89,997	285,400	285,400
Total Revenue	35,430,749	36,573,047	33,945,400	33,945,400
Expenses				
Salaries and Wages	3,667,615	4,205,532	5,552,841	5,830,483
Utilities	514,057	431,090	580,000	609,000
Contractual Services	2,793,381	2,203,227	2,540,617	2,667,648
Other Supplies	1,005,930	833,271	888,800	933,240
Insurance	1,045,862	1,093,061	1,106,942	1,162,289
Repairs and Maintenance	1,262,026	590,864	520,000	546,000
Bridge Inspections	697,897	364,549	475,000	500,000
Transponder	171,247	164,467	192,000	200,000
All Other (includes depreciation)	10,543,154	12,968,380	12,968,380	12,968,380
Total Expenses	21,701,169	22,854,441	24,824,580	25,417,040
Operating Income	13,729,581	13,718,607	9,120,820	8,528,360
Non-Operating Revenues (Expenses)				
Interest Expense	(3,131,923)	(4,192,207)	(8,848,661)	(7,728,428)
Amortization of Bonds	-	273,128	819,384	928,636
Amortization of Bonds	(17,169)	(17,169)	(17,169)	(17,169)
Investment Income	360,044	696,755	500,000	500,000
Grant Revenue	177,500	-	-	-
Bond Issuance costs	(150,506)	(911,006)	-	-
Miscellaneous Income	161,407	141,073	54,600	54,600
Total Funding	(2,600,647)	(4,009,426)	(7,491,846)	(6,262,361)
Change in Net Assets	11,128,934	9,709,181	1,628,974	2,300,561
Debt Service				
Principal Payments on Bonds	32,720,000	62,855,000	4,810,000	5,920,000
Less Principal Payments				
Funded by Bond Refinancing	(30,000,000)	(60,000,000)	-	-
Department of Transportation Note	133,334	906,980	-	-
Total Debt Service	2,853,334	3,761,980	4,810,000	5,920,000

The Agency

Rhode Island Water Resources Board Corporate

Agency Operations

The Rhode Island Water Resources Board Corporate (RIWRB Corporate) was established by the General Assembly in 1970 as an agency with a distinct legal existence from the state. The RIWRB Corporate establishes water supply facilities and leases them to the cities, towns, districts and other municipal, quasi-municipal, private corporations and water supply companies. The RIWRB Corporate may contract for use of the facilities of such persons, or sell to those persons, the water derived from, carried by, or processed in the facilities. The RIWRB Corporate administers the Public Drinking Water Protection Program, which protects the quality and safety of public drinking water supplies. The RIWRB Corporate operates two funds: the Providence Project Fund has financed water quality/quantity improvement projects for the Providence Water Supply Board; the Water Quality Protection Fund secures revenue bonds, the proceeds from which are disbursed to fund contributors for the purposes of protecting water supplies and associated watersheds, wells and wellheads.

Agency Objective

Protect the quality and safety of the public drinking water supply by providing revenue bonding for the acquisition of properties surrounding watersheds and water supply facilities for the state and municipal water suppliers.

Statutory History

The Rhode Island Water Resources Board Corporate was established under R.I.G.L. 46-15.1 in 1970.

In 2009 the General Assembly passed Budget Article 5 that abolished the WRB Corporate program at the time the currently outstanding bonds are paid off, expected in 2016 (RIGL 46-15.1-22). The staff of the Water Resources Board is working with RI Clean Water Finance Agency to develop a memorandum of agreement to facilitate this transfer of responsibilities.

The Rhode Island Water Resources Board Corporate has been dissolved in FY 2017.

The Budget

Rhode Island Water Resources Board Corporate

	FY 2015 Actual	FY 2016 Revised	FY 2017 Recommended	FY 2018 Recommended
Public Drinking Water Protect Revenue Bond Services				
Water Surcharge [2]	950,000	1,000,000	-	-
Interest Income	53,650	59,350	-	-
Total	1,003,650	1,059,350	-	-
Expenditures by Funds				
Personnel/Purchased Services [3]	24,350	24,350	-	-
Capital Projects Debt Service	-	-	-	-
Subtotal: Water Quality Protection Charge	24,350	24,350	-	-
Other Funds				
Capital Debt Service 1997 Rev Bond [3]	-	-	-	-
Subtotal: Water Quality	-	-	-	-
Total Expenditures	24,350	24,350	-	-

[1] The Rhode Island Water Resources Board Corporate has been dissolved in FY 2017.